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# Return of Private Foundation

**Form 990-PF**

**Department of the Treasury**

**Internal Revenue Service**

**For calendar year 2013 or tax year beginning**, and ending **94-3038401**

## Name of foundation

**THE PACKARD HUMANITIES INSTITUTE**

**300 SECOND STREET**

**LOS ALTOS, CA 94022**

### Analysis of Revenue and Expenses

<table>
<thead>
<tr>
<th>Description</th>
<th>(a) Revenue and expenses per books</th>
<th>(b) Net investment income</th>
</tr>
</thead>
<tbody>
<tr>
<td>Contributions, gifts, grants, etc., received</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>1</td>
<td>1,860.</td>
<td>1,860.</td>
</tr>
<tr>
<td>2</td>
<td>6,372,739.</td>
<td>6,372,739.</td>
</tr>
<tr>
<td>3</td>
<td>39,551,302.</td>
<td>39,551,302.</td>
</tr>
<tr>
<td>4</td>
<td>189,042.</td>
<td>188,104.</td>
</tr>
<tr>
<td>5</td>
<td>46,114,943.</td>
<td>46,114,005.</td>
</tr>
<tr>
<td>6</td>
<td>149,009.</td>
<td>4,470.</td>
</tr>
<tr>
<td>7</td>
<td>1,743,859.</td>
<td>52,316.</td>
</tr>
<tr>
<td>8</td>
<td>915,760.</td>
<td>27,473.</td>
</tr>
<tr>
<td>9</td>
<td>164,942.</td>
<td>0.</td>
</tr>
<tr>
<td>10</td>
<td>86,200.</td>
<td>2,586.</td>
</tr>
<tr>
<td>11</td>
<td>2,150,560.</td>
<td>87,065.</td>
</tr>
<tr>
<td>12</td>
<td>2,493,923.</td>
<td>0.</td>
</tr>
<tr>
<td>13</td>
<td>512,687.</td>
<td>14,752.</td>
</tr>
<tr>
<td>14</td>
<td>71,498.</td>
<td>0.</td>
</tr>
<tr>
<td>15</td>
<td>2,857,940.</td>
<td>192,526.</td>
</tr>
<tr>
<td>16</td>
<td>11,419,134.</td>
<td>381,188.</td>
</tr>
<tr>
<td>17</td>
<td>6,092,160.</td>
<td>8,248,496.</td>
</tr>
<tr>
<td>18</td>
<td>17,511,294.</td>
<td>381,188.</td>
</tr>
<tr>
<td>19</td>
<td>28,603,649.</td>
<td>45,732,817.</td>
</tr>
</tbody>
</table>

### Adjusted net income

**(d) Disbursements for charitable purposes (cash basis only)**

**STATEMENT 1**
## Part II Balance Sheets

<table>
<thead>
<tr>
<th>Account Description</th>
<th>Beginning of Year</th>
<th>End of Year</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>(a) Book Value</td>
<td>(b) Book Value</td>
</tr>
<tr>
<td>1 Cash - non-interest-bearing</td>
<td>54,031,734.</td>
<td>103,994,375.</td>
</tr>
<tr>
<td>2 Savings and temporary cash investments</td>
<td>1,951,481.</td>
<td>1,571,078.</td>
</tr>
<tr>
<td>3 Accounts receivable</td>
<td>2,986,726.</td>
<td>3,254,872.</td>
</tr>
<tr>
<td>Less: allowance for doubtful accounts</td>
<td>81,055.</td>
<td>925,621.</td>
</tr>
<tr>
<td>4 Pledges receivable</td>
<td>426,393,604.</td>
<td>427,582,756.</td>
</tr>
<tr>
<td>5 Grants receivable</td>
<td>2,196,022.5</td>
<td>41,259,088.</td>
</tr>
<tr>
<td>6 Receivables due from officers, directors, trustees, and other disqualified persons</td>
<td>8,618,547.</td>
<td>6,434,400.</td>
</tr>
<tr>
<td>Less: accumulated depreciation</td>
<td>2,362,181.</td>
<td>6,256,366.</td>
</tr>
<tr>
<td>7 Other notes and loans receivable</td>
<td>128,482,271.</td>
<td>198,543,801.</td>
</tr>
<tr>
<td>8 Inventories for sale or use</td>
<td>13,257,383.</td>
<td>13,708,499.</td>
</tr>
<tr>
<td>9 Prepaid expenses and deferred charges</td>
<td>2,863,576.</td>
<td>1,007,476.</td>
</tr>
<tr>
<td>10 Investments - U.S. and state government obligations</td>
<td>3,771,131.</td>
<td>5,847,310.</td>
</tr>
<tr>
<td>11 Investments - land, buildings, and equipment, basis</td>
<td>19,892,090.</td>
<td>20,563,285.</td>
</tr>
<tr>
<td>12 Investments - mortgage loans</td>
<td>622,429,406.</td>
<td>762,824,672.</td>
</tr>
<tr>
<td>13 Investments - other</td>
<td>622,429,406.</td>
<td>762,824,672.</td>
</tr>
<tr>
<td>14 Land, buildings, and equipment, basis</td>
<td>622,429,406.</td>
<td>762,824,672.</td>
</tr>
<tr>
<td>Less: accumulated depreciation</td>
<td>622,429,406.</td>
<td>762,824,672.</td>
</tr>
<tr>
<td>15 Other assets (describe)</td>
<td>622,429,406.</td>
<td>762,824,672.</td>
</tr>
<tr>
<td>16 Total assets (to be completed by all filers - see the instructions. Also see page 1, item 1)</td>
<td>642,321,496.</td>
<td>783,387,957.</td>
</tr>
</tbody>
</table>

## Liabilities

<table>
<thead>
<tr>
<th>Account Description</th>
<th>Beginning of Year</th>
<th>End of Year</th>
</tr>
</thead>
<tbody>
<tr>
<td>17 Accounts payable and accrued expenses</td>
<td>13,257,383.</td>
<td>13,708,499.</td>
</tr>
<tr>
<td>18 Grants payable</td>
<td>2,863,576.</td>
<td>1,007,476.</td>
</tr>
<tr>
<td>19 Deferred revenue</td>
<td>3,771,131.</td>
<td>5,847,310.</td>
</tr>
<tr>
<td>20 Loans from officers, directors, trustees, and other disqualified persons</td>
<td>19,892,090.</td>
<td>20,563,285.</td>
</tr>
<tr>
<td>21 Mortgages and other notes payable</td>
<td>622,429,406.</td>
<td>762,824,672.</td>
</tr>
<tr>
<td>22 Other liabilities (describe)</td>
<td>622,429,406.</td>
<td>762,824,672.</td>
</tr>
<tr>
<td>23 Total liabilities (add lines 17 through 22)</td>
<td>642,321,496.</td>
<td>783,387,957.</td>
</tr>
</tbody>
</table>

## Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.

<table>
<thead>
<tr>
<th>Account Description</th>
<th>Beginning of Year</th>
<th>End of Year</th>
</tr>
</thead>
<tbody>
<tr>
<td>24 Unrestricted</td>
<td>622,429,406.</td>
<td>762,824,672.</td>
</tr>
<tr>
<td>25 Temporarily restricted</td>
<td>622,429,406.</td>
<td>762,824,672.</td>
</tr>
<tr>
<td>26 Permanently restricted</td>
<td>622,429,406.</td>
<td>762,824,672.</td>
</tr>
<tr>
<td>27 Capital stock, trust principal, or current funds</td>
<td>622,429,406.</td>
<td>762,824,672.</td>
</tr>
<tr>
<td>28 Paid-in or capital surplus, or land, bldg, and equipment fund</td>
<td>622,429,406.</td>
<td>762,824,672.</td>
</tr>
<tr>
<td>29 Retained earnings, accumulated income, endowment, or other funds</td>
<td>622,429,406.</td>
<td>762,824,672.</td>
</tr>
<tr>
<td>30 Total net assets or fund balances</td>
<td>622,429,406.</td>
<td>762,824,672.</td>
</tr>
<tr>
<td>31 Total liabilities and net assets/fund balances</td>
<td>642,321,496.</td>
<td>783,387,957.</td>
</tr>
</tbody>
</table>

## Part III Analysis of Changes in Net Assets or Fund Balances

<table>
<thead>
<tr>
<th>Event</th>
<th>Beginning of Year</th>
<th>End of Year</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30</td>
<td>622,429,406.</td>
<td>622,429,406.</td>
</tr>
<tr>
<td>2 Enter amount from Part I, line 27a</td>
<td>28,603,649.</td>
<td>28,603,649.</td>
</tr>
<tr>
<td>3 Other increases not included in line 2 (itemize)</td>
<td>111,791,617.</td>
<td>111,791,617.</td>
</tr>
<tr>
<td>4 Add lines 1, 2, and 3</td>
<td>762,824,672.</td>
<td>762,824,672.</td>
</tr>
<tr>
<td>5 Decreases not included in line 2 (itemize)</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30</td>
<td>762,824,672.</td>
<td>762,824,672.</td>
</tr>
</tbody>
</table>
### Part IV | Capital Gains and Losses for Tax on Investment Income

<table>
<thead>
<tr>
<th>(a)</th>
<th>(b)</th>
<th>(c)</th>
<th>(d)</th>
</tr>
</thead>
<tbody>
<tr>
<td>List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)</td>
<td>How acquired</td>
<td>Date acquired</td>
<td>Date sold</td>
</tr>
<tr>
<td></td>
<td></td>
<td>(mo., day, yr.)</td>
<td>(mo., day, yr.)</td>
</tr>
</tbody>
</table>

#### 1a PUBLICLY TRADED SECURITIES

<table>
<thead>
<tr>
<th></th>
<th>D</th>
<th>VARIOUS</th>
<th>VARIOUS</th>
</tr>
</thead>
<tbody>
<tr>
<td>(e) Gross sales price</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>a</td>
<td>210,223,421.</td>
<td></td>
<td>39,551,302.</td>
</tr>
<tr>
<td>b</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>c</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>d</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>e</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

- (i) F.M.V. as of 12/31/69
- (j) Adjusted basis as of 12/31/69
- (k) Excess of col. (j) over col. (i), if any
- (l) Gain (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))

<table>
<thead>
<tr>
<th>a</th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>b</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>c</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>d</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>e</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

2 Capital gain net income or (net capital loss)

- If gain, also enter in Part I, line 7
- If (loss), enter -0- in Part I, line 7

<table>
<thead>
<tr>
<th>2</th>
<th></th>
<th>N/A</th>
</tr>
</thead>
</table>

#### Part V | Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  
[X] Yes  [ ] No

Enter the appropriate amount in each column for each year; see the instructions before making any entries.

<table>
<thead>
<tr>
<th>(a)</th>
<th>(b)</th>
<th>(c)</th>
<th>(d)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Base period years</td>
<td>Adjusted qualifying distributions</td>
<td>Net value of noncharitable-use assets</td>
<td>Distribution ratio</td>
</tr>
<tr>
<td>Calendar year (or tax year beginning in)</td>
<td></td>
<td></td>
<td>(col. (b) divided by col. (c))</td>
</tr>
<tr>
<td>2012</td>
<td>76,905,462.</td>
<td>547,753,866.</td>
<td>.140401</td>
</tr>
<tr>
<td>2011</td>
<td>36,621,598.</td>
<td>640,988,792.</td>
<td>.057133</td>
</tr>
<tr>
<td>2010</td>
<td>22,986,056.</td>
<td>635,398,288.</td>
<td>.036176</td>
</tr>
<tr>
<td>2009</td>
<td>23,642,383.</td>
<td>561,006,930.</td>
<td>.042143</td>
</tr>
<tr>
<td>2008</td>
<td>26,972,362.</td>
<td>674,875,563.</td>
<td>.039966</td>
</tr>
</tbody>
</table>

2 Total of line 1, column (d)

3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years

4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5

5 Multiply line 4 by line 3

6 Enter 1% of net investment income (1% of Part I, line 27b)

7 Add lines 5 and 6

8 Enter qualifying distributions from Part XII, line 4

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.

See the Part VI instructions.
<table>
<thead>
<tr>
<th>Part VI</th>
<th>Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1a</td>
<td>Exempt operating foundations described in section 4940(d)(2), check here □ and enter &quot;N/A&quot; on line 1.</td>
</tr>
<tr>
<td></td>
<td>Date of ruling or determination letter: __________________________________________________________</td>
</tr>
<tr>
<td></td>
<td>(attach copy of letter if necessary-see instructions)</td>
</tr>
<tr>
<td>b</td>
<td>Domestic foundations that meet the section 4940(e) requirements in Part V, check here □ X and enter 1%</td>
</tr>
<tr>
<td></td>
<td>of Part I, line 27b</td>
</tr>
<tr>
<td>c</td>
<td>All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).</td>
</tr>
<tr>
<td>2</td>
<td>Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)</td>
</tr>
<tr>
<td>3</td>
<td>Add lines 1 and 2</td>
</tr>
<tr>
<td>4</td>
<td>Subtract A income tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)</td>
</tr>
<tr>
<td>5</td>
<td>Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-</td>
</tr>
<tr>
<td>6</td>
<td>Credits/Payments:</td>
</tr>
<tr>
<td>a</td>
<td>2013 estimated tax payments and 2012 overpayment credited to 2013</td>
</tr>
<tr>
<td>b</td>
<td>Exempt foreign organizations - tax withheld at source</td>
</tr>
<tr>
<td>c</td>
<td>Tax paid with application for extension of time to file (Form 8868)</td>
</tr>
<tr>
<td>d</td>
<td>Backup withholding erroneously withheld</td>
</tr>
<tr>
<td>7</td>
<td>Total credits and payments. Add lines 6a through 6d</td>
</tr>
<tr>
<td>8</td>
<td>Enter any penalty for underpayment of estimated tax. Check here □ if Form 2220 is attached</td>
</tr>
<tr>
<td>9</td>
<td>Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed □</td>
</tr>
<tr>
<td>10</td>
<td>Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount paid</td>
</tr>
<tr>
<td>11</td>
<td>Enter the amount of line 10 to be: Credited to 2014 estimated tax □</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Part VII-A</th>
<th>Statements Regarding Activities</th>
</tr>
</thead>
<tbody>
<tr>
<td>1a</td>
<td>During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?</td>
</tr>
<tr>
<td>1b</td>
<td>Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?</td>
</tr>
<tr>
<td>1c</td>
<td>Did the foundation file Form 1120-POL for this year?</td>
</tr>
<tr>
<td>2</td>
<td>Has the foundation engaged in any activities that have not previously been reported to the IRS?</td>
</tr>
<tr>
<td>3</td>
<td>Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments?</td>
</tr>
<tr>
<td>4a</td>
<td>Did the foundation have unrelated business gross income of $1,000 or more during the year?</td>
</tr>
<tr>
<td>5</td>
<td>Was there a liquidation, termination, dissolution, or substantial contraction during the year?</td>
</tr>
<tr>
<td>6</td>
<td>Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:</td>
</tr>
<tr>
<td>7</td>
<td>Did the foundation have at least $5,000 in assets at any time during the year?</td>
</tr>
<tr>
<td>8a</td>
<td>Enter the states to which the foundation reports or with which it is registered (see instructions)</td>
</tr>
<tr>
<td>8b</td>
<td>If the answer is &quot;Yes&quot; to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G?</td>
</tr>
<tr>
<td>9</td>
<td>Is the foundation claiming status as a private operating foundation within the meaning of section 4942(g)(3) or 4942(g)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)?</td>
</tr>
<tr>
<td>10</td>
<td>Did any persons become substantial contributors during the tax year?</td>
</tr>
</tbody>
</table>

Form 990-PF (2013)
Part VII-A | Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges?
   If "Yes," attach statement (see instructions)
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?

Website address: WWW.PACKHUM.ORG

The books are in care of A. ASTRAS Telephone no. (650) 948-0150
Located at 300 SECOND STREET, LOS ALTOS, CA Zip+4 94002

Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year

15 At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ITALY

Part VII-B | Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly):
   (1) Engage in the sale or exchange, or leasing of property with a disqualified person?
   (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from)
      a disqualified person?
   (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
   (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
   (5) Transfer any income or assets to a disqualified person (or make any of either available
      for the benefit or use of a disqualified person)?
   (6) Agree to pay money or property to a government official? (Exception. Check "No"
      if the foundation agreed to make a grant to or to employ the official for a period after
      termination of government service, if terminating within 90 days.)

b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations
   section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?
   Organizations relying on a current notice regarding disaster assistance check here

1b

1c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected
   before the first day of the tax year beginning in 2013?

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation
   defined in section 4942(j)(3) or 4942(j)(5)):
   a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning
      before 2013?

2a

2b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect
   valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach
   statement - see instructions.)

2b

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?

3a

3b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after
   May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose
   of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C,
   Form 4720, to determine if the foundation had excess business holdings in 2013.)

3b

4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?

4a

4b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that
   had not been removed from jeopardy before the first day of the tax year beginning in 2013?

4b

Form 990-PF (2013)
Part VII-B | Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:
   (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?
   [ ] Yes [X] No
   (2) Influence the outcome of any specific public election (see section 4955); to carry on, directly or indirectly, any voter registration drive?
   [X] Yes [ ] No
   (3) Provide a grant to an individual for travel, study, or other similar purposes?
   [ ] Yes [X] No
   (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?
   [X] Yes [ ] No
   (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?
   [ ] Yes [X] No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?
   [X] Yes [ ] No

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?
   [X] Yes [ ] No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?
   [X] Yes [ ] No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?
   If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?
   [ ] Yes [X] No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

Part VIII | Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

<table>
<thead>
<tr>
<th>(a) Name and address</th>
<th>(b) Title, and average hours per week devoted to position</th>
<th>(c) Compensation (If not paid, enter -0-)</th>
<th>(d) Contributions to employee benefit plans and related compensation</th>
<th>(e) Expense account, other allowances</th>
</tr>
</thead>
<tbody>
<tr>
<td>SEE STATEMENT 12</td>
<td>149,010. 48,017. 2,178.</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

<table>
<thead>
<tr>
<th>(a) Name and address of each employee paid more than $50,000</th>
<th>(b) Title, and average hours per week devoted to position</th>
<th>(c) Compensation</th>
<th>(d) Contributions to employee benefit plans and related compensation</th>
<th>(e) Expense account, other allowances</th>
</tr>
</thead>
<tbody>
<tr>
<td>WILKINS POE 300 SECOND ST, LOS ALTOS, CA 94022 40.00</td>
<td>EDITOR-PROGRAMMER 153,485. 59,429. 1,161.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>MATTHEW YOW - 26155 ROCKWELL CANYON RD, SANTA CLARITA, CA 91355 40.00</td>
<td>FACILITY MANAGER 125,672. 55,361. 1,362.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>PAUL CORNEILSON - 11-A MT AUBURN ST, CAMBRIDGE, MA 02138 40.00</td>
<td>MANAGING EDITOR 108,878. 58,512. 538.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>MARK KNOLL - MUSIC EDITOR 40.00</td>
<td>81,662. 54,386. 717.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>CYNTHIA MORTENSEN - PROGRAM MANAGER 300 SECOND ST, LOS ALTOS, CA 94022 40.00</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total number of other employees paid over $50,000</td>
<td></td>
<td></td>
<td></td>
<td>15</td>
</tr>
</tbody>
</table>

Form 990-PF (2013)

323551
10-10-13

11190825 099815 5HV3N9 2013.04020 THE PACKARD HUMANITIES INST 5HV3N91
3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

<table>
<thead>
<tr>
<th>(a) Name and address of each person paid more than $50,000</th>
<th>(b) Type of service</th>
<th>(c) Compensation</th>
</tr>
</thead>
<tbody>
<tr>
<td>BAR ARCHITECTS - 901 BATTERY STREET, SUITE 300, SAN FRANCISCO, CA 94111</td>
<td>ARCHITECT</td>
<td>3,152,337.</td>
</tr>
<tr>
<td>FOUNDING FATHERS INC</td>
<td></td>
<td></td>
</tr>
<tr>
<td>PRINCETON UNIVERSITY, PRINCETON, NJ 08544</td>
<td>EDITORIAL WORK</td>
<td>836,870.</td>
</tr>
<tr>
<td>MCKINSTRY ESSENTION INC</td>
<td>COMMISSIONING</td>
<td></td>
</tr>
<tr>
<td>P.O. BOX 24567, SEATTLE, WA 98124</td>
<td>SERVICES</td>
<td>270,357.</td>
</tr>
<tr>
<td>WILLIAMS &amp; NOTARO &amp; ASSOCIATES LLC - 3928</td>
<td></td>
<td></td>
</tr>
<tr>
<td>FENDER DRIVE SUITE 220, FAIRFAX, VA 22030</td>
<td>ENGINEERING</td>
<td>263,333.</td>
</tr>
<tr>
<td>MCL CONSULTING GROUP, LLC</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4718 HALKETT AVENUE, ROSEMEAD, CA 91770</td>
<td>CONSULTING</td>
<td>252,647.</td>
</tr>
</tbody>
</table>

Total number of others receiving over $50,000 for professional services 6

Part IX-A | Summary of Direct Charitable Activities
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

<table>
<thead>
<tr>
<th>Description</th>
<th>Expenses</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 CONSTRUCTION COSTS FOR THE BUILDING OF A FILM ARCHIVE AND PRESERVATION CENTER IN SANTA CLARITA, CA</td>
<td>71,244,569.</td>
</tr>
<tr>
<td>2 SEE STATEMENT 13</td>
<td>3,609,982.</td>
</tr>
<tr>
<td>3 FILM PRESERVATION AND DIGITIZATION PROJECTS</td>
<td>1,365,096.</td>
</tr>
<tr>
<td>4 COSTS FOR THE PROJECT AT THE HERCULANEUM</td>
<td>217,361.</td>
</tr>
</tbody>
</table>

Part IX-B | Summary of Program-Related Investments
Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 N/A</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td></td>
</tr>
</tbody>
</table>

All other program-related investments. See instructions.

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>3</td>
<td></td>
</tr>
</tbody>
</table>

Total. Add lines 1 through 3 0.
### Part X Minimum Investment Return

<table>
<thead>
<tr>
<th></th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:</td>
</tr>
<tr>
<td>a</td>
<td>Average monthly fair market value of securities</td>
</tr>
<tr>
<td>b</td>
<td>Average of monthly cash balances</td>
</tr>
<tr>
<td>c</td>
<td>Fair market value of all other assets</td>
</tr>
<tr>
<td>d</td>
<td>Total (add lines 1a, b, and c)</td>
</tr>
<tr>
<td>e</td>
<td>Reduction claimed for blockage or other factors reported on lines 1a and</td>
</tr>
<tr>
<td></td>
<td>1e (attach detailed explanation)</td>
</tr>
<tr>
<td>2</td>
<td>Acquisition indebtedness applicable to line 1 assets</td>
</tr>
<tr>
<td>3</td>
<td>Subtract line 2 from line 1d</td>
</tr>
<tr>
<td>4</td>
<td>Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)</td>
</tr>
<tr>
<td>5</td>
<td>Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4</td>
</tr>
<tr>
<td>6</td>
<td>Minimum investment return. Enter 5% of line 5</td>
</tr>
</tbody>
</table>

### Part XI Distributable Amount

<table>
<thead>
<tr>
<th></th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Minimum investment return from Part X, line 6</td>
</tr>
<tr>
<td>2a</td>
<td>Tax on investment income for 2013 from Part VI, line 5</td>
</tr>
<tr>
<td>2b</td>
<td>(This does not include the tax from Part VI.)</td>
</tr>
<tr>
<td>2c</td>
<td>Add lines 2a and 2b</td>
</tr>
<tr>
<td>3</td>
<td>Distributable amount before adjustments. Subtract line 2c from line 1</td>
</tr>
<tr>
<td>4</td>
<td>Recoveries of amounts treated as qualifying distributions</td>
</tr>
<tr>
<td>5</td>
<td>Add lines 3 and 4</td>
</tr>
<tr>
<td>6</td>
<td>Deduction from distributable amount (see instructions)</td>
</tr>
<tr>
<td>7</td>
<td>Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1</td>
</tr>
</tbody>
</table>

### Part XII Qualifying Distributions

<table>
<thead>
<tr>
<th></th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:</td>
</tr>
<tr>
<td>a</td>
<td>Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26</td>
</tr>
<tr>
<td>b</td>
<td>Program-related investments - total from Part IX-B</td>
</tr>
<tr>
<td>2</td>
<td>Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes</td>
</tr>
<tr>
<td>3</td>
<td>Amounts set aside for specific charitable projects that satisfy the:</td>
</tr>
<tr>
<td>a</td>
<td>Suitability test (pner IRS approval required)</td>
</tr>
<tr>
<td>b</td>
<td>Cash distribution test (attach the required schedule)</td>
</tr>
<tr>
<td>4</td>
<td>Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4</td>
</tr>
<tr>
<td>5</td>
<td>Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b</td>
</tr>
<tr>
<td>6</td>
<td>Adjusted qualifying distributions. Subtract line 5 from line 4</td>
</tr>
</tbody>
</table>

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.
### Part XIII  Undistributed Income

<table>
<thead>
<tr>
<th></th>
<th>(a) Corpus</th>
<th>(b) Years prior to 2012</th>
<th>(c) 2012</th>
<th>(d) 2013</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td></td>
<td></td>
<td></td>
<td>25,772,107.</td>
</tr>
<tr>
<td>2</td>
<td></td>
<td></td>
<td></td>
<td>0.</td>
</tr>
<tr>
<td>3</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>a From 2008</td>
<td>9,715,037.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>b From 2009</td>
<td>23,642,383.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>c From 2010</td>
<td>22,986,056.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>d From 2011</td>
<td>5,582,659.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>e From 2012</td>
<td>48,176,255.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>f Total of lines 3a through e</td>
<td>110,102,390.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4</td>
<td></td>
<td></td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>5</td>
<td></td>
<td></td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>6</td>
<td></td>
<td></td>
<td>170,115,418.</td>
<td></td>
</tr>
<tr>
<td>a Corpus</td>
<td>Add lines 3f, 4c, and 4e Subtract line 5</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>b Prior years' undistributed income. Subtract line 4b from line 2b</td>
<td>0.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed</td>
<td>0.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>d Subtract line 6c from line 6b. Taxable amount - see instructions</td>
<td>0.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.</td>
<td>0.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014</td>
<td>0.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>7</td>
<td></td>
<td></td>
<td></td>
<td>0.</td>
</tr>
<tr>
<td>8</td>
<td></td>
<td></td>
<td>9,715,037.</td>
<td></td>
</tr>
<tr>
<td>9</td>
<td></td>
<td></td>
<td>160,400,381.</td>
<td></td>
</tr>
<tr>
<td>10</td>
<td></td>
<td></td>
<td></td>
<td>0.</td>
</tr>
<tr>
<td>a Excess from 2009</td>
<td>23,642,383.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>b Excess from 2010</td>
<td>22,986,056.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>c Excess from 2011</td>
<td>5,582,659.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>d Excess from 2012</td>
<td>48,176,255.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>e Excess from 2013</td>
<td>60,013,028.</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
### Part XIV: Private Operating Foundations

#### 1. If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling:

- [ ] 4942(j)(3)
- [ ] 4942(j)(5)

#### 2. Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed:

- [ ] 85% of line 2a
- [ ] Qualifying distributions from Part XII, line 4 for each year listed
- [ ] Amounts included in line 2c not used directly for active conduct of exempt activities
- [ ] Qualifying distributions made directly for active conduct of exempt activities.

#### 3. Complete 3a, b, or c for the alternative test relied upon:

- **a.** "Assets" alternative test - enter:
  1. Value of all assets
  2. Value of assets qualifying under section 4942(j)(3)(B)(i)
- **b.** "Endowment" alternative test - enter:
  2/3 of minimum investment return shown in Part X, line 6 for each year listed
- **c.** "Support" alternative test - enter:
  1. Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)
  2. Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iv)
  3. Largest amount of support from an exempt organization
  4. Gross investment income

### Part XV: Supplementary Information

**Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.**

#### 1. Information Regarding Foundation Managers:

- List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

**NONE**

- List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**NONE**

#### 2. Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

- Check here [ ] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- **a.** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

**N/A**

- **b.** The form in which applications should be submitted and information and materials they should include:

**N/A**

- **c.** Any submission deadlines:

**N/A**

- **d.** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**N/A**
### Grants and Contributions Paid During the Year or Approved for Future Payment

<table>
<thead>
<tr>
<th>Recipient</th>
<th>If recipient is an individual, show any relationship to any foundation manager or substantial contributor</th>
<th>Foundation status of recipient</th>
<th>Purpose of grant or contribution</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>a Paid during the year</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>SEE STATEMENT 14A</td>
<td></td>
<td></td>
<td><strong>8,113,260.</strong></td>
<td></td>
</tr>
<tr>
<td>Total</td>
<td></td>
<td></td>
<td><strong>8,113,260.</strong></td>
<td></td>
</tr>
<tr>
<td><strong>b Approved for future payment</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>SEE STATEMENT 14B</td>
<td></td>
<td></td>
<td><strong>285,000.</strong></td>
<td></td>
</tr>
<tr>
<td>Total</td>
<td></td>
<td></td>
<td><strong>285,000.</strong></td>
<td></td>
</tr>
</tbody>
</table>
### Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

<table>
<thead>
<tr>
<th>Unrelated business income</th>
<th>Excluded by section 512, 513, or 514</th>
<th>Related or exempt function income</th>
</tr>
</thead>
<tbody>
<tr>
<td>(a) Business code</td>
<td>(b) Amount</td>
<td>(c) Exclusion code</td>
</tr>
<tr>
<td>1. Program service revenue:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>a. BOOK SALES - C.P.E. BACH</td>
<td></td>
<td></td>
</tr>
<tr>
<td>b. MOZART OPERA PACSIMILE</td>
<td></td>
<td></td>
</tr>
<tr>
<td>c. SALES</td>
<td></td>
<td></td>
</tr>
<tr>
<td>d. BOOK SALES - IN SEARCH OF GREECE</td>
<td></td>
<td></td>
</tr>
<tr>
<td>e. ROYALTIES</td>
<td></td>
<td></td>
</tr>
<tr>
<td>f. Fees and contracts from government agencies</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2. Membership dues and assessments</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3. Interest on savings and temporary cash investments</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4. dividends and interest from securities</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5. Net rental income or (loss) from real estate:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>a. Debt-financed property</td>
<td></td>
<td></td>
</tr>
<tr>
<td>b. Not debt-financed property</td>
<td></td>
<td></td>
</tr>
<tr>
<td>6. Net rental income or (loss) from personal property</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7. Other investment income</td>
<td></td>
<td></td>
</tr>
<tr>
<td>8. Gain or (loss) from sales of assets other than inventory</td>
<td></td>
<td></td>
</tr>
<tr>
<td>9. Net income or (loss) from special events</td>
<td></td>
<td></td>
</tr>
<tr>
<td>10. Gross profit or (loss) from sales of inventory</td>
<td></td>
<td></td>
</tr>
<tr>
<td>11. Other revenue:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>a. GRANT REFUND</td>
<td></td>
<td></td>
</tr>
<tr>
<td>12. Subtotal. Add columns (b), (d), and (e)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>13. Total. Add line 12, columns (b), (d), and (e)</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

(See worksheet in line 13 instructions to verify calculations.)

### Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No.  ▼

Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation’s exempt purposes (other than by providing funds for such purposes).

---

SEE STATEMENT 14
**Part XVII**  
Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1. Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?  
   - Transfers from the reporting foundation to a noncharitable exempt organization of:  
     1a. (1) Cash  
     1a(1)  
     1a(2)  
     - Other assets  
     1b(1)  
     1b(2)  
     - Sales of assets to a noncharitable exempt organization  
     1b(3)  
     - Purchases of assets from a noncharitable exempt organization  
     1b(4)  
     - Rental of facilities, equipment, or other assets  
     1b(5)  
     - Reimbursement arrangements  
     1b(6)  
     - Loans or loan guarantees  
     - Performance of services or membership or fundraising solicitations  
     1c  

2. If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

<table>
<thead>
<tr>
<th>(a) Line no</th>
<th>(b) Amount involved</th>
<th>(c) Name of noncharitable exempt organization</th>
<th>(d) Description of transfers, transactions, and sharing arrangements</th>
</tr>
</thead>
<tbody>
<tr>
<td>N/A</td>
<td></td>
<td>N/A</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>N/A</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>N/A</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>N/A</td>
<td></td>
</tr>
</tbody>
</table>

2a. Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?  
   - Yes [ ]  
   - No [X]  

b. If "Yes," complete the following schedule.

<table>
<thead>
<tr>
<th>(a) Name of organization</th>
<th>(b) Type of organization</th>
<th>(c) Description of relationship</th>
</tr>
</thead>
<tbody>
<tr>
<td>N/A</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Signature**  
Albert C. Ash  
Date: 10-17-14  
Title: CFO

**Preparer**  
SHARON L. ZORBA  
Date: 10/10/14  
Firm's name: DELOITTE TAX LLP  
Address: 225 WEST SANTA CLARA STREET, SAN JOSE, CA 95113  
Phone: 408-704-4000

**FTIN**  
P00125475  
EIN: 86-1065772

Form 990-PF (2013)

---

11190825 099815 5HV3N9  
2013.04020 THE PACKARD HUMANITIES INST 5HV3N91
### Form 990-PF Other Income Statement 1

<table>
<thead>
<tr>
<th>Description</th>
<th>(A) Revenue Per Books</th>
<th>(B) Net Investment Income</th>
<th>(C) Adjusted Net Income</th>
</tr>
</thead>
<tbody>
<tr>
<td>Book Sales - C.P.E. Bach</td>
<td>121,176</td>
<td>121,176</td>
<td></td>
</tr>
<tr>
<td>Mozart Opera Facsimile Sales</td>
<td>24,899</td>
<td>24,899</td>
<td></td>
</tr>
<tr>
<td>Book Sales - In Search of Greece</td>
<td>41,946</td>
<td>41,946</td>
<td></td>
</tr>
<tr>
<td>Royalties</td>
<td>83</td>
<td>83</td>
<td></td>
</tr>
<tr>
<td>Grant Refund</td>
<td>938</td>
<td>0</td>
<td></td>
</tr>
<tr>
<td><strong>Total to Form 990-PF, Part I, Line 11</strong></td>
<td><strong>189,042</strong></td>
<td><strong>188,104</strong></td>
<td></td>
</tr>
</tbody>
</table>

### Form 990-PF Legal Fees Statement 2

<table>
<thead>
<tr>
<th>Description</th>
<th>(A) Expenses Per Books</th>
<th>(B) Net Investment Income</th>
<th>(C) Adjusted Net Income</th>
<th>(D) Charitable Purposes</th>
</tr>
</thead>
<tbody>
<tr>
<td>General Legal Fees</td>
<td>164,942</td>
<td>0</td>
<td></td>
<td>157,683</td>
</tr>
<tr>
<td>To FM 990-PF, PG 1, LN 16A</td>
<td>164,942</td>
<td>0</td>
<td></td>
<td>157,683</td>
</tr>
</tbody>
</table>

### Form 990-PF Accounting Fees Statement 3

<table>
<thead>
<tr>
<th>Description</th>
<th>(A) Expenses Per Books</th>
<th>(B) Net Investment Income</th>
<th>(C) Adjusted Net Income</th>
<th>(D) Charitable Purposes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Audit/Tax Services</td>
<td>86,200</td>
<td>2,586</td>
<td></td>
<td>83,614</td>
</tr>
<tr>
<td>To Form 990-PF, PG 1, LN 16B</td>
<td>86,200</td>
<td>2,586</td>
<td></td>
<td>83,614</td>
</tr>
</tbody>
</table>
### FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

<table>
<thead>
<tr>
<th>DESCRIPTION</th>
<th>(A) EXPENSES PER BOOKS</th>
<th>(B) NET INVESTMENT INCOME</th>
<th>(C) ADJUSTED NET INCOME</th>
<th>(D) CHARITABLE PURPOSES</th>
</tr>
</thead>
<tbody>
<tr>
<td>CONSULTANTS/RESEARCHERS</td>
<td>1,890,359.</td>
<td>0.</td>
<td></td>
<td>1,834,473.</td>
</tr>
<tr>
<td>INVESTMENT CUSTODY FEES</td>
<td>87,065.</td>
<td>87,065.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>OTHER CONSULTING</td>
<td>8,851.</td>
<td>0.</td>
<td></td>
<td>8,447.</td>
</tr>
<tr>
<td>MUSIC EDITING</td>
<td>158,300.</td>
<td>0.</td>
<td></td>
<td>150,508.</td>
</tr>
<tr>
<td>PHOTOGRAPHY</td>
<td>2,885.</td>
<td>0.</td>
<td></td>
<td>2,338.</td>
</tr>
<tr>
<td>RECORDING PERFORMERS</td>
<td>3,100.</td>
<td>0.</td>
<td></td>
<td>3,100.</td>
</tr>
<tr>
<td><strong>TO FORM 990-PF, PG 1, LN 16C</strong></td>
<td><strong>2,150,560.</strong></td>
<td><strong>87,065.</strong></td>
<td></td>
<td><strong>1,998,866.</strong></td>
</tr>
</tbody>
</table>

### FORM 990-PF TAXES STATEMENT 5

<table>
<thead>
<tr>
<th>DESCRIPTION</th>
<th>(A) EXPENSES PER BOOKS</th>
<th>(B) NET INVESTMENT INCOME</th>
<th>(C) ADJUSTED NET INCOME</th>
<th>(D) CHARITABLE PURPOSES</th>
</tr>
</thead>
<tbody>
<tr>
<td>EXCISE TAXES</td>
<td>2,482,364.</td>
<td>0.</td>
<td></td>
<td>0.</td>
</tr>
<tr>
<td>CA FILING FEES</td>
<td>186.</td>
<td>0.</td>
<td></td>
<td>186.</td>
</tr>
<tr>
<td>MA FILING FEES</td>
<td>609.</td>
<td>0.</td>
<td></td>
<td>609.</td>
</tr>
<tr>
<td>NY FILING FEES</td>
<td>1,500.</td>
<td>0.</td>
<td></td>
<td>1,500.</td>
</tr>
<tr>
<td>SALES TAX/PROPERTY TAX</td>
<td>9,264.</td>
<td>0.</td>
<td></td>
<td>8,723.</td>
</tr>
<tr>
<td><strong>TO FORM 990-PF, PG 1, LN 18</strong></td>
<td><strong>2,493,923.</strong></td>
<td><strong>0.</strong></td>
<td></td>
<td><strong>11,018.</strong></td>
</tr>
</tbody>
</table>

### FORM 990-PF OTHER EXPENSES STATEMENT 6

<table>
<thead>
<tr>
<th>DESCRIPTION</th>
<th>(A) EXPENSES PER BOOKS</th>
<th>(B) NET INVESTMENT INCOME</th>
<th>(C) ADJUSTED NET INCOME</th>
<th>(D) CHARITABLE PURPOSES</th>
</tr>
</thead>
<tbody>
<tr>
<td>PROPERTY MANAGEMENT</td>
<td>28,582.</td>
<td>0.</td>
<td></td>
<td>28,551.</td>
</tr>
<tr>
<td>TELEPHONE &amp; COMMUNICATIONS</td>
<td>50,911.</td>
<td>1,527.</td>
<td></td>
<td>49,593.</td>
</tr>
<tr>
<td>PHOTOCOPYING</td>
<td>3,468.</td>
<td>0.</td>
<td></td>
<td>3,468.</td>
</tr>
<tr>
<td>INSURANCE</td>
<td>96,506.</td>
<td>2,895.</td>
<td></td>
<td>93,911.</td>
</tr>
<tr>
<td>COMPUTER EXPENSES</td>
<td>13,052.</td>
<td>0.</td>
<td></td>
<td>14,762.</td>
</tr>
<tr>
<td>BOOKS &amp; SUBSCRIPTIONS</td>
<td>73,321.</td>
<td>0.</td>
<td></td>
<td>73,532.</td>
</tr>
<tr>
<td>MISCELLANEOUS EXPENSES</td>
<td>1,672.</td>
<td>0.</td>
<td></td>
<td>1,677.</td>
</tr>
<tr>
<td>BANK FEES</td>
<td>8,325.</td>
<td>0.</td>
<td></td>
<td>8,325.</td>
</tr>
<tr>
<td>STORAGE COSTS</td>
<td>153,941.</td>
<td>0.</td>
<td></td>
<td>151,283.</td>
</tr>
<tr>
<td>MEMBERSHIPS &amp; DUES</td>
<td>5,119.</td>
<td>0.</td>
<td></td>
<td>5,119.</td>
</tr>
<tr>
<td>ADVERTISING FEES</td>
<td>42,184.</td>
<td>0.</td>
<td></td>
<td>41,307.</td>
</tr>
</tbody>
</table>
### THE PACKARD HUMANITIES INSTITUTE

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>SUPPLIES &amp; POSTAGE</td>
<td>29,810</td>
<td>0.</td>
</tr>
<tr>
<td>CONTRACTORS</td>
<td>75,856</td>
<td>0.</td>
</tr>
<tr>
<td>BOOK DISTRIBUTION</td>
<td>87,002</td>
<td>0.</td>
</tr>
<tr>
<td>DIRECT COSTS- SANTA CLARITA</td>
<td>1,047,624</td>
<td>0.</td>
</tr>
<tr>
<td>DIRECT COSTS- FILM LAB LA</td>
<td>616,000</td>
<td>0.</td>
</tr>
<tr>
<td>OPERATING EXPENSES</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>STAFF DEVELOPMENT</td>
<td>2,315</td>
<td>0.</td>
</tr>
<tr>
<td>PUBLICATION COSTS FOR BOOKS</td>
<td>368,233</td>
<td>188,104</td>
</tr>
<tr>
<td>DIRECT COSTS- FILM ARCHIVE</td>
<td>152,328</td>
<td>0.</td>
</tr>
<tr>
<td>MAINTENANCE AND REPAIR</td>
<td>1,691</td>
<td>0.</td>
</tr>
</tbody>
</table>

**To Form 990-PF, PG 1, LN 23**

<table>
<thead>
<tr>
<th>Total</th>
<th>2,857,940</th>
<th>192,526</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Total</strong></td>
<td><strong>2,723,232</strong></td>
<td></td>
</tr>
</tbody>
</table>

---

### FOOTNOTES

**BARBARA WRIGHT IS AN OFFICER OF PHI. HER FIRM, FINCH MONTGOMERY WRIGHT LLP, PROVIDES LEGAL SERVICES TO PHI. FEES FOR THESE SERVICES ARE PAID TO THE FIRM AND ARE FOR LEGAL SERVICES, NOT FOR SERVICES IN HER CAPACITY AS AN OFFICER.**

**MEMBERS OF THE FOUNDATION'S BOARD OF DIRECTORS SERVE WITHOUT COMPENSATION. THE FOUNDATION PAID A PREMIUM OF $20,685 FOR DIRECTORS' AND OFFICERS' INSURANCE FOR 2013, WHICH IS TREATED AS A NON TAXABLE FRINGE BENEFIT.**

---

**16** STATEMENT(S) 6, 7

17421007 099815 5HV3N9

2013.04030 THE PACKARD HUMANITIES INST 5HV3N91
### Depreciation of Assets Not Held for Investment

<table>
<thead>
<tr>
<th>Description</th>
<th>Cost or Other Basis</th>
<th>Accumulated Depreciation</th>
<th>Book Value</th>
<th>Fair Market Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Office Furniture and Equipment</td>
<td>1,041,809.</td>
<td>756,802.</td>
<td>285,007.</td>
<td>285,007.</td>
</tr>
<tr>
<td>Computer Hardware/Software</td>
<td>953,794.</td>
<td>834,563.</td>
<td>119,231.</td>
<td>119,231.</td>
</tr>
<tr>
<td>Video Equipment</td>
<td>65,297.</td>
<td>65,297.</td>
<td>0.</td>
<td></td>
</tr>
<tr>
<td>Library</td>
<td>9,077.</td>
<td>9,077.</td>
<td>0.</td>
<td></td>
</tr>
<tr>
<td><strong>To 990-PF, Part II, Ln 14</strong></td>
<td>8,618,547.</td>
<td>2,362,181.</td>
<td>6,256,366.</td>
<td>6,256,366.</td>
</tr>
</tbody>
</table>

### Corporate Stock

<table>
<thead>
<tr>
<th>Description</th>
<th>Book Value</th>
<th>Fair Market Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Exchange Traded Funds</td>
<td>133,487,889.</td>
<td>133,487,889.</td>
</tr>
<tr>
<td>Fixed Income Securities</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td><strong>Total to Form 990-PF, Part II, Line 10B</strong></td>
<td>427,582,756.</td>
<td>427,582,756.</td>
</tr>
</tbody>
</table>

### Other Investments

<table>
<thead>
<tr>
<th>Description</th>
<th>Valuation Method</th>
<th>Book Value</th>
<th>Fair Market Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Limited Partnerships</td>
<td>FMV</td>
<td>41,259,088.</td>
<td>41,259,088.</td>
</tr>
<tr>
<td><strong>Total to Form 990-PF, Part II, Line 13</strong></td>
<td></td>
<td>41,259,088.</td>
<td>41,259,088.</td>
</tr>
</tbody>
</table>
### FORM 990-PF OTHER ASSETS STATEMENT 11

<table>
<thead>
<tr>
<th>DESCRIPTION</th>
<th>BEGINNING OF YR BOOK VALUE</th>
<th>END OF YEAR BOOK VALUE</th>
<th>FAIR MARKET VALUE</th>
</tr>
</thead>
<tbody>
<tr>
<td>ASSETS HELD FOR CHARITABLE PURPOSES</td>
<td>108,875,213.</td>
<td>178,936,743.</td>
<td>178,936,743.</td>
</tr>
<tr>
<td>TO FORM 990-PF, PART II, LINE 15</td>
<td>128,482,271.</td>
<td>198,543,801.</td>
<td>198,543,801.</td>
</tr>
</tbody>
</table>

### FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 12

See Statement 7

<table>
<thead>
<tr>
<th>NAME AND ADDRESS</th>
<th>TITLE AND AVRG HRS/WK</th>
<th>COMPENSATION</th>
<th>EMPLOYEE BEN PLAN CONTRIB</th>
<th>EXPENSE ACCOUNT</th>
</tr>
</thead>
<tbody>
<tr>
<td>DAVID W. PACKARD</td>
<td>PRES/CHAIR/DIRECTOR</td>
<td>0.00</td>
<td>0.0</td>
<td>0.0</td>
</tr>
<tr>
<td>PHI, 300 SECOND STREET LOS ALTOS, CA 94022</td>
<td>PART TIME</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>SUSAN PACKARD ORR</td>
<td>VICE PRES./DIRECTOR</td>
<td>0.00</td>
<td>0.0</td>
<td>0.0</td>
</tr>
<tr>
<td>PHI, 300 SECOND STREET LOS ALTOS, CA 94022</td>
<td>PART TIME</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>BARBARA P. WRIGHT</td>
<td>SECRETARY</td>
<td>0.00</td>
<td>0.0</td>
<td>0.0</td>
</tr>
<tr>
<td>PHI, 300 SECOND STREET LOS ALTOS, CA 94022</td>
<td>PART TIME</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>ALBERTA ASTRAS</td>
<td>TREASURER &amp; CFO</td>
<td>30.00</td>
<td>149,010.00</td>
<td>48,017.00</td>
</tr>
<tr>
<td>PHI, 300 SECOND STREET LOS ALTOS, CA 94022</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>G. GERVAISE DAVIS III</td>
<td>DIRECTOR</td>
<td>0.00</td>
<td>0.0</td>
<td>0.0</td>
</tr>
<tr>
<td>PHI, 300 SECOND STREET LOS ALTOS, CA 94022</td>
<td>PART TIME</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>WALTER B. HEWLETT</td>
<td>DIRECTOR</td>
<td>0.00</td>
<td>0.0</td>
<td>0.0</td>
</tr>
<tr>
<td>PHI, 300 SECOND STREET LOS ALTOS, CA 94022</td>
<td>PART TIME</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>WILLIAM A. JOHNSON</td>
<td>DIRECTOR</td>
<td>0.00</td>
<td>0.0</td>
<td>0.0</td>
</tr>
<tr>
<td>PHI, 300 SECOND STREET LOS ALTOS, CA 94022</td>
<td>PART TIME</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
THE PACKARD HUMANITIES INSTITUTE

PAMELA M. PACKARD
PHI, 300 SECOND STREET
LOS ALTOS, CA 94022
DIRECTOR
0.00
PART TIME

CHRISTOPH J. WOLFF
PHI, 300 SECOND STREET
LOS ALTOS, CA 94022
DIRECTOR
0.00
PART TIME

RICHARD HODGES
PHI, 300 SECOND STREET
LOS ALTOS, CA 94022
DIRECTOR
0.00
PART TIME

ARIANNA PACKARD MARTELL
PHI, 300 SECOND STREET
LOS ALTOS, CA 94022
DIRECTOR
0.00
PART TIME

WOODLEY PACKARD
PHI, 300 SECOND STREET
LOS ALTOS, CA 94022
DIRECTOR
0.00
PART TIME

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII

149,010.  48,017.  2,178.

FORM 990-PF
SUMMARY OF DIRECT CHARITABLE ACTIVITIES
STATEMENT 13

ACTIVITY TWO


EXPENSES
3,609,982.

FORM 990-PF
RELATIONSHIP OF ACTIVITIES TO THE ACCOMPLISHMENT OF EXEMPT PURPOSES
STATEMENT 14

LINE  EXPLANATION OF RELATIONSHIP OF ACTIVITIES

1A  PHI'S CURRENT OPERATING ACTIVITIES CONSIST OF:
    EDITING AND PUBLISHING THE MUSICAL SCORES OF CARL PHILIPP EMANUEL BACH (C.P.E. BACH), WHO WAS A SON OF THE FAMOUS JOHANN SEBASTIAN BACH. THE YOUNGER BACH WAS AN IMPORTANT AND PROLIFIC COMPOSER, BUT MOST OF HIS WORKS HAVE NOT BEEN PUBLISHED IN MODERN TIMES. PHI IS IN THE PROCESS OF PUBLISHING A COMPREHENSIVE MULTI-VOLUME EDITION OF ALL OF C.P.E. BACH'S COMPOSITIONS.
1B EDITING AND PUBLISHING FACSIMILE EDITIONS OF MOZART'S OPERAS. PHI HAS MADE DIGITAL PHOTOGRAPHS OF THE ORIGINAL SCORES AND IS PUBLISHING FACSIMILES OF 7 OF MOZART'S OPERAS. PHI'S EXEMPT PURPOSES ARE SERVED BY MAKING THIS MUSIC AVAILABLE TO MANY PROFESSIONAL MUSICIANS, SCHOLARS, STUDENTS, LIBRARIES, COLLEGES, AND UNIVERSITIES IN THE U.S. AND ABROAD WHO WOULD OTHERWISE NOT HAVE AN OPPORTUNITY TO OBTAIN ACCESS TO THEM IN ANY FORM. AS THESE VOLUMES ARE COMPLETED, PHI MAKES THEM AVAILABLE FOR SALE, ON A NON-DISCRIMINATORY BASIS, TO ANY INTERESTED INDIVIDUAL OR INSTITUTION FOR A NOMINAL SALES PRICE WHICH DOES NOT COVER THE FULL COST OF PRODUCING AND DISTRIBUTING THE PUBLISHED VOLUMES.

1D PUBLISHING THE BOOK "IN SEARCH OF GREECE", A CATALOGUE OF AN EXHIBIT OF DRAWINGS BY DODWELL, LOANED BY PHI FOR AN EXHIBIT AT THE BRITISH MUSEUM.
The Packard Humanities Institute     FEIN: 94-3038401
Form 990-PF (2013) Part XV, 3a     Grants Paid     Statement 14A

American School of Classical Studies in Athens     6-8 Charlton Street, Princeton, NJ 08540
Purpose: Excavations in the ancient Agora (marketplace) of Athens
Foundation Status: PC
Amount: $317,000.00     Grant # 13-1596

Bach Archive, Leipzig     Thomaskirchhof 15-16, 04109 Leipzig, Germany
Purpose: Research, publications, Library and Museum- 2013
Foundation Status: NC
Amount: $229,000.00     Grant # 13-1594

Bach Archive, Leipzig     Thomaskirchhof 15-16, 04109 Leipzig, Germany
Purpose: Funds for Senior Research Fellow to prepare a catalogue of the autograph manuscripts of J.C. Bach
Foundation Status: NC
Amount: $85,000.00     Grant # 13-1599

City of Leipzig     Neue Rathaus, Martin Luther Ring 4-6, 04109 Leipzig, Germany
Purpose: Acquisition of the Peters Music Library
Foundation Status: PC
Amount: $655,000.00     Grant # 12-1586

Founding Fathers Papers Inc.     Robertson Hall/ Washington & Prospect Streets, Princeton University, Princeton, NJ 08544
Purpose: Support for operating expenses for the 2013-2014 editions of the Founding Fathers Papers
Foundation Status: PC
Amount: $1,300,000.00     Grant # 13-1602

George Eastman House     Motion Picture Department, Rochester, NY 14607
Purpose: Motion Picture Department Staffing
Foundation Status: PC
Amount: $269,500.00     Grant # 13-1593

George Eastman House     Motion Picture Department, Rochester, NY 14607
Purpose: Funding for the Gleason Works Conservation Center
Foundation Status: PC
Amount: $250,000.00     Grant # 13-1606

Istituto Packard per i Beni Culturali     Via Paoli 25, 56126 Pisa, Italy
Purpose: Initial funding to establish foundation for continued conservation work at Herculaneum
Foundation Status: NC
Amount: $200,000.00     Grant # 13-1598

Ohio State University     OSU Research Foundation, Columbus, OH 43210
Purpose: Excavations at Isthmia
Foundation Status: PC
Amount: $100,000.00     Grant # 13-1601

Page 1 of 4
Opera San Jose  
2149 Paragon Drive, San Jose, CA 95131
Purpose: Support for professional memberships, conference attendance, and travel expenses
Foundation Status: PC
Amount: $20,000.00 Grant # 13-1595

PHI Directors' Matching Grants Program  
The Packard Humanities Institute, 300 Second Street, Los Altos, CA 94022
Purpose: Matching Grants to public charities (other than Type III supporting organizations) made by PHI Directors (details available on request.)
Foundation Status: PC
Amount: $45,000.00 Grant # 12-1907

PHI Directors' Matching Grants Program  
The Packard Humanities Institute, 300 Second Street, Los Altos, CA 94022
Purpose: Matching Grants to public charities (other than Type III supporting organizations) made by PHI Directors (details available on request.)
Foundation Status: PC
Amount: $65,000.00 Grant # 13-1908

Regents of the University of California  
c/o UCLA Film Archive, 1015 North Cahuenga Boulevard, Hollywood, CA 90038
Purpose: Staffing expenses for UCLA Film Archive personnel
Foundation Status: PC
Amount: $951,550.00 Grant # 12-1589

Regents of the University of California  
c/o UCLA Film Archive, 1015 North Cahuenga Boulevard, Hollywood, CA 90038
Purpose: Facilities operating costs for the UCLA Film Archive
Foundation Status: PC
Amount: $489,550.00 Grant # 12-1590

Regents of the University of California  
c/o Pacific Film Archive, 2625 Durant Avenue, Berkeley, CA 94720
Purpose: Support for the Pacific Film Archive in Berkeley, CA
Foundation Status: PC
Amount: $100,000.00 Grant # 13-1600

Regents of the University of California  
c/o UCSC, Office of Sponsored Projects, Santa Cruz, CA 95064
Purpose: Support for Satyajit Ray Film and Study Collection
Foundation Status: PC
Amount: $60,000.00 Grant # 13-1604

Regents of the University of California  
c/o UCLA Film Archive, 1015 North Cahuenga Boulevard, Hollywood, CA 90038
Purpose: Facilities operating costs for the UCLA Film Archive
Foundation Status: PC
Amount: $350,000.00 Grant # 13-1607
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<th>Organization</th>
<th>Address</th>
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<th>Foundation Status</th>
<th>Amount</th>
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<td>Regents of the University of California</td>
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<td>Regents of the University of California</td>
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<td>Saxon Academy of Sciences</td>
<td>Karl-Tauchnitzstr. 1, 04107 Leipzig, Germany</td>
<td>Bach Repertorium Research Project</td>
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<td>Stanford Theatre Foundation</td>
<td>300 Second Street, Los Altos, CA 94022</td>
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<td>University of Texas at Austin</td>
<td>Office of Sponsored Projects, P.O. Box 7726, Austin, TX 78713</td>
<td>Archaeological research at Metaponto and Croton, Italy and at Chersonesos, Ukraine</td>
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<td>Archaeological research at Metaponto and Croton, Italy and at Chersonesos, Ukraine</td>
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University of Western Australia  
Research Grants Office, M459, 35 Stirling Highway, Crawley, WA 6009, Australia  
Purpose: Aerial Photographic Archive for Archaeology in the Middle East  
Foundation Status: NC  
Amount: $350,000.00  
Grant # 13-1605  

Grand total: $8,113,260.00
The Packard Humanities Institute       FEIN: 94-3038401
Form 990-PF (2013) Part XV, 3b   Grants Approved for Future Payment   Statement 14B

PHI Directors' Matching Grants Program       The Packard Humanities Institute, 300 Second Street, Los Altos, CA 94022

Purpose:  Matching Grants to public charities (other than Type III supporting organizations) made by PHI Directors (details available on request.)

Foundation Status: PC
Amount:  $155,000.00  Grant date: 6/30/13  Grant # 13-1908
Approved for Future Payment:  $90,000.00

Saxon Academy of Sciences       Karl-Tauchnitzstr. 1, 04107 Leipzig, Germany

Purpose:  Bach Repertorium Research Project
Foundation Status: NC
Amount:  $195,000.00  Grant date: 12/20/13  Grant # 13-1614
Approved for Future Payment:  $195,000.00

Grand total:  $285,000.00
The Packard Humanities Institute  FEIN: 94-3038401
Form 990-PF (2013) Part VII - B, (5)c  Expenditure Responsibility  Statement 15

Bach Archive, Leipzig  Thomaskirchhof 15-16, 04109 Leipzig, Germany
Purpose: Historical research, conservation and publication of music by Bach.
Amount: $275,000.00  Grant date: 2/27/01  Grant # 01-1160
Expended: $275,000.00
Reports: 3/22/02, 7/27/03, 2/29/04, 11/10/05, 11/29/07, 12/16/08, 1/28/09, 12/16/09, 4/1/11, 8/6/12
During 2013, no reports were received from the grantee. PHI has made a diligent effort and has received the outstanding report in 2014.
Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Bach Archive, Leipzig  Thomaskirchhof 15-16, 04109 Leipzig, Germany
Purpose: Renovation of historic concert hall in Leipzig, Germany.
Amount: $465,000.00  Grant date: 2/28/01  Grant # 01-1161
Expended: $465,000.00
Reports: 1/29/03, 7/28/03, 2/29/04, 11/10/05, 7/25/07, 12/20/07, 4/30/08, 1/28/09, 12/16/09, 4/1/11, 8/6/12
During 2013, no reports were received from the grantee. PHI has made a diligent effort and has received the outstanding report in 2014.
Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Bach Archive, Leipzig  Thomaskirchhof 15-16, 04109 Leipzig, Germany
Purpose: Renovation of historic concert hall in Leipzig, Germany.
Amount: $385,000.00  Grant date: 4/25/02  Grant # 02-1209
Expended: $385,000.00
Reports: 9/2/03, 10/7/04, 6/16/05, 11/10/05, 7/25/07, 11/29/07, 4/30/08, 1/28/09, 12/16/09, 4/1/11, 8/6/12
During 2013, no reports were received from the grantee. PHI has made a diligent effort and has received the outstanding report in 2014.
Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Bach Archive, Leipzig  Thomaskirchhof 15-16, 04109 Leipzig, Germany
Purpose: Historical research, conservation and publication of music by Bach.
Amount: $400,000.00  Grant date: 3/10/03  Grant # 03-1243
Expended: $400,000.00
Reports: 10/7/04, 6/16/05, 11/10/05, 4/4/06, 1/19/07, 8/8/07, 4/30/08, 9/25/08, 1/28/09, 12/16/09, 3/4/10, 3/26/10, 4/1/11, 8/6/12
During 2013, no reports were received from the grantee. PHI has made a diligent effort and has received the outstanding report in 2014.
Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.
The Packard Humanities Institute    FEIN: 94-3038401
Form 990-PF (2013) Part VII - B, (5)c    Expenditure Responsibility    Statement 15

Bach Archive, Leipzig    Thomaskirchhof 15-16, 04109 Leipzig, Germany
Purpose: Historical research, conservation and publication of music by Bach.
Amount: $310,000.00    Grant date: 4/29/04    Grant # 04-1287
Expended: $310,000.00
Reports: 6/16/05, 11/10/05, 4/4/06, 1/19/07, 8/8/07, 4/30/08, 1/28/09, 12/16/09, 4/1/11, 8/6/12
During 2013, no reports were received from the grantee. PHI has made a diligent effort and has received the outstanding report in 2014.
Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Bach Archive, Leipzig    Thomaskirchhof 15-16, 04109 Leipzig, Germany
Purpose: Renovation of historic concert hall in Leipzig, Germany.
Amount: $1,100,000.00    Grant date: 11/5/07    Grant # 07-1433
Expended: $1,100,000.00
Reports: 4/30/08, 9/25/08, 1/28/09, 5/14/09, 12/16/09, 3/4/10, 3/29/10, 4/1/11, 4/18/11, 8/6/12
During 2013, no reports were received from the grantee. PHI has made a diligent effort and has received the outstanding report in 2014.
Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Bach Archive, Leipzig    Thomaskirchhof 15-16, 04109 Leipzig, Germany
Purpose: Bach family research, publications, library, museum and general operations
Amount: $146,500.00    Grant date: 6/2/10    Grant # 10-1528
Expended: $125,498.87
Reports: 4/18/11, 12/14/11, 1/24/12, 10/19/12
During 2013, no reports were received from the grantee. PHI has made a diligent effort and has received the outstanding report in 2014.
Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Bach Archive, Leipzig    Thomaskirchhof 15-16, 04109 Leipzig, Germany
Purpose: Completion of W. F. Bach Edition
Amount: $282,000.00    Grant date: 6/2/10    Grant # 10-1529
Expended: $37,131.68
Reports: 4/18/11, 12/14/11, 1/24/13
Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

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The Packard Humanities Institute  FEIN: 94-3038401
Form 990-PF (2013) Part VII - B, (5)c  Expenditure Responsibility  Statement 15

Bach Archive, Leipzig  Thomaskirchhof 15-16, 04109 Leipzig, Germany
Purpose: Bach family research, publications, library, museum and general operations
Amount: $171,000.00  Grant date: 3/8/11  Grant # 11-1541
Expended: $140,370.70
Reports: 12/14/11, 8/6/12, 10/19/12, 1/24/13
Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Bach Archive, Leipzig  Thomaskirchhof 15-16, 04109 Leipzig, Germany
Purpose: Research, publications, Library and Museum- 2013
Amount: $229,000.00  Grant date: 3/1/13  Grant # 13-1594
Expended: $0.00
Reports: No report due in 2013
Diversion and Verification:
To the knowledge of PHI, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Bach Archive, Leipzig  Thomaskirchhof 15-16, 04109 Leipzig, Germany
Purpose: Funds for Senior Research Fellow to prepare a catalogue of the autograph manuscripts of J.C. Bach
Amount: $85,000.00  Grant date: 7/15/13  Grant # 13-1599
Expended: $0.00
Reports: No report due in 2013
Diversion and Verification:
To the knowledge of PHI, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

British Academy  10 Carleton House Terrace, London SW1Y 5AH, United Kingdom
Purpose: Oxford Medieval Latin Dictionary
Amount: $800,000.00  Grant date: 3/14/11  Grant # 11-1542
Expended: $731,948.00
Reports: 11/23/12, 5/31/13
Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

British Institute of Archaeology at Ankara  10 Carleton House Terrace, London SW1Y 5AH, United Kingdom
Purpose: Renovation of the library.
Amount: $75,000.00  Grant date: 2/13/02  Grant # 02-1198
Expended: $75,000.00
Reports: 6/12/03, 3/16/04, 11/29/05, 8/15/07, 10/24/08, 11/19/09, 3/30/11, 6/25/13
Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.
The Packard Humanities Institute    FEIN: 94-3038401
Form 990-PF (2013) Part VII - B, (5)c    Expenditure Responsibility    Statement 15

British Museum    Great Russell Street, London WC1B 3DG, United Kingdom
Purpose: Funding for exhibit - Dodwell: Beyond the Grand Tour
Amount: $200,000.00    Grant date: 7/16/12    Grant # 12-1581
Expended: $177,322.02
Reports: 1/18/13, 7/12/13
Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

British School at Rome    Via Gramsci 61, 00197 Rome, Italy
Purpose: Expansion and renovation of the library.
Amount: $1,000,000.00    Grant date: 4/28/00    Grant # 00-1098
Expended: $1,000,000.00
Reports: 10/30/01, 10/23/01, 4/19/02, 12/11/02, 11/14/04, 12/6/05, 12/19/05, 11/29/06, 8/29/07, 4/26/08, 7/17/09, 10/28/09, 5/12/10, 2/28/11, 8/7/12, 8/7/13
Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

British School at Rome    Via Gramsci 61, 00197 Rome, Italy
Purpose: Expansion and renovation of the library.
Amount: $425,000.00    Grant date: 4/16/02    Grant # 02-1204
Expended: $425,000.00
Reports: 12/13/02, 3/31/03, 10/6/04, 10/14/04, 12/6/05, 8/29/07, 11/29/07, 4/26/08, 7/17/09, 5/12/10, 2/28/11, 8/7/12, 8/7/13
Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

British School at Rome    Via Gramsci 61, 00197 Rome, Italy
Purpose: Renovation of the library.
Amount: $500,000.00    Grant date: 2/28/03    Grant # 03-1241
Expended: $500,000.00
Reports: 3/31/04, 10/14/04, 12/6/05, 8/29/07, 11/29/07, 4/26/08, 7/17/09, 10/28/09, 5/12/10, 2/28/11, 8/7/12, 8/7/13
Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

British School at Rome    Via Gramsci 61, 00197 Rome, Italy
Purpose: Conservation of the ancient Roman town of Herculaneum
Amount: $2,000,000.00    Grant date: 12/27/06    Grant # 06-1389
Expended: $2,000,000.00
Reports: 6/14/07, 12/14/08, 5/6/10, 12/20/11, 7/4/12, 8/7/13
Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.
British School at Rome  Via Gramsci 61, 00197 Rome, Italy
Purpose: Conservation of the ancient Roman town of Herculaneum.
Amount: $3,400,000.00  Grant date: 1/23/08  Grant # 08-1445
Expended: $3,400,000.00
Reports: 7/15/09, 7/17/09, 10/16/09, 5/3/10, 5/6/10, 2/28/11, 12/20/11, 7/4/12, 8/7/13
Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

British School at Rome  Via Gramsci 61, 00197 Rome, Italy
Purpose: Conservation of the ancient Roman town of Herculaneum.
Amount: $3,000,000.00  Grant date: 3/4/09  Grant # 09-1486
Expended: $3,000,000.00
Reports: 7/17/09, 10/16/09, 5/3/10, 5/6/10, 5/10/10, 4/18/11, 7/4/12, 8/7/13
Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

British School at Rome  Via Gramsci 61, 00197 Rome, Italy
Purpose: Herculaneum Conservation
Amount: $3,000,000.00  Grant date: 5/11/10  Grant # 10-1522
Expended: $3,000,000.00
Reports: 6/9/10, 3/31/11, 4/1/11, 4/18/11, 7/4/12, 8/7/13
Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

British School at Rome  Via Gramsci 61, 00197 Rome, Italy
Purpose: Staffing expenses for extended Library hours
Amount: $132,130.00  Grant date: 2/7/11  Grant # 11-1539
Expended: $54,427.78
Reports: 7/4/12
During 2013, no reports were received from the grantee. PHI has made a diligent effort and has received the outstanding report in 2014.
Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

British School at Rome  Via Gramsci 61, 00197 Rome, Italy
Purpose: Conservation of Telephus Roof
Amount: $500,000.00  Grant date: 3/21/11  Grant # 11-1545
Expended: $476,510.87
Reports: 4/18/11, 7/4/12, 8/8/12, 8/7/13
Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.
British School at Rome  Via Gramsci 61, 00197 Rome, Italy
Purpose: Conservation of the ancient Roman town of Herculaneum
Amount: $1,014,900.00  Grant date: 2/3/12  Grant # 12-1559
Expended: $1,014,900.00
Reports: 8/7/13
Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

British School at Rome  Via Gramsci 61, 00197 Rome, Italy
Purpose: Herculaneum Conservation Museum Project
Amount: $254,400.00  Grant date: 5/22/12  Grant # 12-1579
Expended: $149,787.07
Reports: 8/7/13
Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

British School at Rome  Via Gramsci 61, 00197 Rome, Italy
Purpose: Herculaneum Conservation Project work at the basilica area near Via Mare
Amount: $560,000.00  Grant date: 9/7/12  Grant # 12-1584
Expended: $58,997.87
Reports: 8/7/13
Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

British School at Rome  Via Gramsci 61, 00197 Rome, Italy
Purpose: Conservation of the ancient Roman town of Herculaneum
Amount: $1,000,000.00  Grant date: 12/12/12  Grant # 12-1591
Expended: $23,745.96
Reports: 8/7/13
Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Butrint Foundation  64a The Close, Norwich NR1 4DH, United Kingdom
Purpose: Archaeological excavation and study in Albania.
Amount: $600,000.00  Grant date: 8/10/99  Grant # 99-1068
Expended: $600,000.00
Reports: 2/2/00, 3/24/00, 4/13/00, 8/17/00, 11/7/00, 1/24/01, 3/6/01, 4/6/01, 7/30/01, 10/23/01, 3/20/02, 3/27/02, 6/24/02, 3/31/03, 6/30/03, 11/15/04, 12/5/05, 8/2/07, 12/30/07, 12/31/07, 11/20/09, 12/14/09, 4/20/11, 2/2/13
Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Page 6 of 15
Butrint Foundation  64a The Close, Norwich NR1 4DH, United Kingdom
Purpose: Archaeological excavation and study in Albania.
Amount: $1,250,000.00  Grant date: 10/15/01  Grant # 01-1193
Expended: $1,250,000.00
Reports: 3/5/03, 3/30/04, 11/13/04, 12/8/05, 12/13/05, 5/11/06, 10/10/06, 12/31/07, 11/20/09, 12/14/09, 4/20/11, 2/15/13
Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Butrint Foundation  64a The Close, Norwich NR1 4DH, United Kingdom
Purpose: Archaeological excavation and study in Albania.
Amount: $774,000.00  Grant date: 2/13/05  Grant # 05-1319
Expended: $774,000.00
Reports: 7/18/05, 11/28/05, 2/27/06, 12/11/07, 12/31/07, 5/8/08, 1/2/09, 12/14/09, 4/20/11, 2/15/13, 12/31/13
Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Butrint Foundation  64a The Close, Norwich NR1 4DH, United Kingdom
Purpose: Archaeological excavation and study in Albania.
Amount: $912,100.00  Grant date: 1/4/06  Grant # 06-1351
Expended: $912,100.00
Reports: 3/30/07, 12/11/07, 5/8/08, 1/2/09, 12/14/09, 4/20/11, 2/15/13
Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Butrint Foundation  64a The Close, Norwich NR1 4DH, United Kingdom
Purpose: Excavations and study of the ancient site of Butrint in Albania.
Amount: $794,287.00  Grant date: 1/16/07  Grant # 07-1398
Expended: $794,287.00
Reports: 7/3/08, 1/2/09, 12/14/09, 3/9/10, 4/20/11, 2/15/13
Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Butrint Foundation  64a The Close, Norwich NR1 4DH, United Kingdom
Purpose: Archaeological Research in Albania.
Amount: $991,901.00  Grant date: 1/16/07  Grant # 07-1399
Expended: $991,901.00
Reports: 7/3/08, 7/14/08, 1/2/09, 12/14/09, 4/20/11, 2/15/13, 10/15/13
Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.
Butrint Foundation  64a The Close, Norwich NR1 4DH, United Kingdom
Purpose: Support for archaeological excavation and study in Albania.
Amount: $1,189,000.00  Grant date: 1/23/08  Grant # 08-1443
Expended: $1,189,000.00
Reports: 1/2/09, 3/31/09, 5/15/09, 12/14/09, 12/2/10, 12/8/10, 4/20/11, 2/15/13
Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Butrint Foundation  64a The Close, Norwich NR1 4DH, United Kingdom
Purpose: Excavation of the ancient city of Butrint in Albania.
Amount: $500,000.00  Grant date: 2/11/09  Grant # 09-1480
Expended: $471,001.79
Reports: 12/14/09, 3/9/10, 4/20/11, 4/27/11, 2/15/13, 10/15/13
Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Butrint Foundation  64a The Close, Norwich NR1 4DH, United Kingdom
Purpose: Archaeological excavation and study in Albania.
Amount: $750,000.00  Grant date: 2/11/09  Grant # 09-1481
Expended: $750,000.00
Reports: 12/2/10, 12/8/10, 4/27/11, 12/16/11, 2/15/13
Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Butrint Foundation  64a The Close, Norwich NR1 4DH, United Kingdom
Purpose: Activities of the Albanian Heritage Foundation and the Gjirokastra Conservation Organization
Amount: $750,000.00  Grant date: 2/3/10  Grant # 10-1511
Expended: $750,000.00
Reports: 12/6/10, 12/8/10, 3/9/11, 4/20/11, 4/27/11, 2/15/13, 10/15/13
Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Butrint Foundation  64a The Close, Norwich NR1 4DH, United Kingdom
Purpose: Activities of the Albanian Heritage Foundation and Gjirokastra Conservation & Development Organization
Amount: $750,000.00  Grant date: 2/7/11  Grant # 11-1537
Expended: $750,000.00
Reports: 12/16/11, 2/15/13
Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.
Butrint Foundation  
64a The Close, Norwich NR1 4DH, United Kingdom
Purpose: Activities of the Albanian Heritage Foundation and Gjirokastra Conservation & Development Organization
Amount: $550,000.00  Grant date: 2/8/12  Grant # 12-1560
Expended: $544,729.00
Reports: 3/28/13
Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

International Mozarteum Foundation  
Schwarzenstrasse 26, 5020 Salzburg, Austria
Purpose: Copyright clearance for digital Mozart edition.
Amount: $400,000.00  Grant date: 5/21/01  Grant # 01-1185
Expended: $389,514.88
Reports: 11/27/02, 9/9/03, 10/24/03, 10/29/04, 10/17/05, 10/23/06, 7/31/07, 12/14/07, 9/2/08, 8/4/09, 6/22/11, 7/23/12, 8/15/13
Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

International Mozarteum Foundation  
Schwarzenstrasse 26, 5020 Salzburg, Austria
Purpose: Support for new digital edition of Mozart.
Amount: $3,000,000.00  Grant date: 11/30/01  Grant # 01-1191
Expended: $845,884.49
Reports: 11/27/02, 9/9/03, 9/29/03, 10/29/04, 10/17/05, 10/29/05, 2/6/06, 10/23/06, 7/31/07, 9/2/08, 9/22/08, 7/20/09, 8/4/09, 8/2/10, 7/22/11, 7/23/12, 8/15/13
Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

International Mozarteum Foundation  
Schwarzenstrasse 26, 5020 Salzburg, Austria
Purpose: Support for creation of digital edition of Mozart.
Amount: $2,000,000.00  Grant date: 3/6/07  Grant # 07-1403
Expended: $2,000,000.00
Reports: 3/28/07, 5/2/07, 5/25/07, 6/12/07, 6/30/07, 7/31/07, 12/14/07, 12/21/07, 6/30/08, 9/2/08, 11/26/08, 12/31/08, 8/4/09, 10/14/09, 12/14/09, 8/2/10, 12/20/10, 12/2/11, 12/12/12, 8/15/13
Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.
International Mozarteum Foundation  
Schwarzstrasse 26, 5020 Salzburg, Austria

Purpose: Renovation to provide additional storage space for library.
Amount: $62,500.00  Grant date: 12/8/08  Grant # 08-1471
Expended: $62,500.00
Reports: 12/14/09, 12/21/09, 12/20/10, 12/2/11, 12/17/12, 8/15/13
Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

International Mozarteum Foundation  
Schwarzstrasse 26, 5020 Salzburg, Austria

Purpose: Support for digital edition of Mozart
Amount: $1,610,000.00  Grant date: 5/26/10  Grant # 10-1523
Expended: $1,610,000.00
Reports: 6/23/10, 12/16/10, 12/20/10, 7/22/11, 12/2/11, 7/23/12, 8/15/13
Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

International Mozarteum Foundation  
Schwarzstrasse 26, 5020 Salzburg, Austria

Purpose: Support for key staff salaries and general expenses of the Digital Mozart Edition
Amount: $720,000.00  Grant date: 7/31/12  Grant # 12-1582
Expended: $215,574.08
Reports: 8/15/13
Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

International Mozarteum Foundation  
Schwarzstrasse 26, 5020 Salzburg, Austria

Purpose: Support of Mozart Institute staff salaries and general expenses of the Digital Mozart Edition
Amount: $1,250,000.00  Grant date: 7/31/12  Grant # 12-1583
Expended: $312,258.19
Reports: 8/15/13
Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Istituto Packard per i Beni Culturali  
Via P. Sod, 56126 Pisa, Italy

Purpose: Conservation work at Herculaneum
Amount: $200,000.00  Grant date: 7/1/13  Grant # 13-1598
Expended: $0.00
Reports: No report due in 2013
Diversion and Verification:
To the knowledge of PHI, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.
The Packard Humanities Institute  FEIN: 94-3038401
Form 990-PF (2013) Part VII - B, (5)c  Expenditure Responsibility  Statement 15

Mihai Eminescu Trust  15 Clarendon Road, London W11 4JB, United Kingdom
Purpose: Conservation of historic village architecture and traditions in Romania.
Amount: $800,000.00  Grant date: 7/1/08  Grant # 08-1457
Expended: $800,000.00
Reports: 7/9/09, 7/24/09, 7/27/09, 8/3/09, 6/2/10, 7/30/10, 7/31/11, 8/31/11, 7/31/12, 7/31/13
Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Mihai Eminescu Trust  15 Clarendon Road, London W11 4JB, United Kingdom
Purpose: Conservation of historic village architecture and traditions in Romania.
Amount: $720,000.00  Grant date: 7/6/09  Grant # 09-1493
Expended: $720,000.00
Reports: 6/2/10, 7/30/10, 8/31/11, 7/31/12, 7/31/13
Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Mihai Eminescu Trust  15 Clarendon Road, London W11 4JB, United Kingdom
Purpose: Conservation of historic village architecture and traditions in Romania
Amount: $1,000,000.00  Grant date: 6/11/10  Grant # 10-1531
Expended: $624,854.00
Reports: 7/31/11, 8/31/11, 7/31/12, 7/31/13
Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Saxon Academy of Sciences  Karl-Tauchnitzstr. 1, 04107 Leipzig, Germany
Purpose: Research and publication concerning music by Bach.
Amount: $162,025.00  Grant date: 10/19/04  Grant # 04-1312
Expended: $162,025.00
Reports: 10/7/04, 2/25/05, 11/17/05, 2/21/06, 3/14/06, 3/23/06, 5/26/06, 11/13/06, 2/12/07, 2/19/08, 2/9/09, 2/21/11, 8/21/12
During 2013, no reports were received from the grantee. PHI has made a diligent effort and has received the outstanding report in 2014.
Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.
Saxon Academy of Sciences  
Karl-Tauchnitzstr. 1, 04107 Leipzig, Germany

Purpose: Bach Repertorium Research Project
Amount: $160,000.00  Grant date: 1/17/12  Grant # 12-1558
Expended: $160,000.00
Reports: 2/3/12, 10/19/12, 10/11/13

Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Saxon Academy of Sciences  
Karl-Tauchnitzstr. 1, 04107 Leipzig, Germany

Purpose: Bach Repertorium Research Project
Amount: $165,000.00  Grant date: 12/10/12  Grant # 12-1587
Expended: $70,176.68
Reports: 2/14/13, 10/11/13

Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Saxon Academy of Sciences  
Karl-Tauchnitzstr. 1, 04107 Leipzig, Germany

Purpose: Bach Repertorium Research Project
Amount: $195,000.00  Grant date: 12/20/13  Grant # 13-1614
Expended: $0.00
Reports: No report due in 2013

Diversion and Verification:
To the knowledge of PHI, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Stanford Theatre Foundation  
300 Second Street, Los Altos, CA 94022

Purpose: Restorations and additions to the organ at the Stanford Theatre.
Amount: $100,000.00  Grant date: 10/20/09  Grant # 09-1500
Expended: $100,000.00
Reports: 12/28/09, 12/31/10, 12/31/11, 8/7/12, 12/28/12, 12/31/13

Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Stanford Theatre Foundation  
300 Second Street, Los Altos, CA 94022

Purpose: Stanford Theatre general operating expenses
Amount: $250,000.00  Grant date: 1/19/11  Grant # 11-1536
Expended: $250,000.00
Reports: 5/25/11, 12/31/11, 12/28/12, 12/31/13

Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.
The Packard Humanities Institute  FEIN: 94-3038401
Form 990-PF (2013) Part VII - B, (5)c  Expenditure Responsibility  Statement 15

Stanford Theatre Foundation  300 Second Street, Los Altos, CA 94022
Purpose: Stanford Theatre general operating expenses
Amount: $150,000.00  Grant date: 6/15/11  Grant # 11-1550
Expended: $150,000.00
Reports: 10/17/11, 12/31/11, 12/28/12, 12/31/13
Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Stanford Theatre Foundation  300 Second Street, Los Altos, CA 94022
Purpose: Replacement & repairs to Stanford Theatre roof
Amount: $70,000.00  Grant date: 12/11/12  Grant # 12-1588
Expended: $70,000.00
Reports: 12/19/12, 12/31/13
Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Stanford Theatre Foundation  300 Second Street, Los Altos, CA 94022
Purpose: Stanford Theatre general operating expenses
Amount: $250,000.00  Grant date: 1/22/13  Grant # 13-1592
Expended: $250,000.00
Reports: 8/9/13, 12/31/13
Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

Stanford Theatre Foundation  300 Second Street, Los Altos, CA 94022
Purpose: Stanford Theatre general operating expenses
Amount: $200,000.00  Grant date: 8/9/13  Grant # 13-1603
Expended: $0.00
Reports: No report due in 2013
Diversion and Verification:
To the knowledge of PHI, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

University of Western Australia  Research Grants Office, M459, 35 Stirling Highway, Crawley, WA 6009, Australia
Purpose: Aerial survey of archaeological sites in Jordan.
Amount: $249,226.00  Grant date: 4/23/08  Grant # 08-1451
Expended: $249,226.00
Reports: 12/2/08, 12/1/09, 12/9/09, 12/12/09, 1/5/10, 1/17/11, 2/20/12, 2/6/13
Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.
The Packard Humanities Institute FEIN: 94-3038401
Form 990-PF (2013) Part VII - B, (5)c Expenditure Responsibility Statement 15

University of Western Australia Research Grants Office, M459, 35 Stirling Highway, Crawley, WA 6009, Australia
Purpose: Aerial survey of archaeological sites in Jordan.
Amount: $250,000.00 Grant date: 1/28/09 Grant # 09-1475
Expended: $250,000.00
Reports: 12/7/09, 1/13/10, 2/25/10, 3/29/10, 1/16/11, 1/17/11, 8/2/12, 2/6/13
Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

University of Western Australia Research Grants Office, M459, 35 Stirling Highway, Crawley, WA 6009, Australia
Purpose: Aerial Archeology in Jordan, 2010 season
Amount: $300,000.00 Grant date: 4/20/10 Grant # 10-1518
Expended: $300,000.00
Reports: 1/13/11, 1/31/11, 4/16/11, 6/14/12, 8/2/12, 2/6/13
Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

University of Western Australia Research Grants Office, M459, 35 Stirling Highway, Crawley, WA 6009, Australia
Purpose: Aerial Photographic Archive for Archaeology in the Middle East
Amount: $400,000.00 Grant date: 3/21/11 Grant # 11-1546
Expended: $208,116.36
Reports: 5/17/12, 8/2/12
During 2013, no reports were received from the grantee. PHI has made a diligent effort and has received the outstanding report in 2014.
Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

University of Western Australia Research Grants Office, M459, 35 Stirling Highway, Crawley, WA 6009, Australia
Purpose: Aerial Photographic Archive for Archaeology in the Middle East
Amount: $394,000.00 Grant date: 5/7/12 Grant # 12-1570
Expended: $328,793.00
Reports: 2/7/13, 2/13/13
Diversion and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.

University of Western Australia Research Grants Office, M459, 35 Stirling Highway, Crawley, WA 6009, Australia
Purpose: Aerial Photographic Archive for Archaeology in the Middle East
Amount: $350,000.00 Grant date: 9/17/13 Grant # 13-1605
Expended: $0.00
Reports: No report due in 2013
Diversion and Verification:
To the knowledge of PHI, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.
University of Würzburg  Zentralverwaltung, Referat V / 4, Sanderring 2, 97070 Würzburg, Germany

Purpose: Translation of introductions to complete Mozart edition.

Amount: $187,305.76  Grant date: 7/4/05  Grant # 05-1340

Expended: $183,845.76


Diversification and Verification:
To the knowledge of PHI, and based on the reports of the grantee, no part of the grant was used for other than the intended purpose, and no verification efforts have been considered necessary.
Application for Extension of Time To File an Exempt Organization Return

File a separate application for each return.

Information about Form 8868 and its instructions is at www.irs.gov/form8868.

- If you are filing for an Automatic 3-Month Extension, complete only Part I and check this box.
- If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8780, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/file and click on e-file for Charities & Nonprofits.

Part I

Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 3-month extension - check this box and complete Part I only

All other corporations (including 1120-C filers), partnerships, REMICS, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer’s identifying number

Type or print

Name of exempt organization or other filer, see instructions.

THE PACKARD HUMANITIES INSTITUTE

Employer identification number (EIN) or

94-3038401

Number, street, and room or suite no. If a P.O. box, see instructions.

300 SECOND STREET

Social security number (SSN)

City, town or post office, state, and ZIP code. For a foreign address, see instructions.

LOS ALTOs, CA 94022

Enter the Return code for the return that this application is for (file a separate application for each return)

0|4

Application

Is For

Return Code

Application

Is For

Return Code

Form 990 or Form 990-EZ

01

Form 990-T (corporation)

07

Form 990-BL

02

Form 1041-A

08

Form 4720 (Individual)

03

Form 4720 (other than individual)

09

Form 990-PF

04

Form 5227

10

Form 990-T (sec. 401(a) or 408(a) trust)

05

Form 6069

11

Form 990-T (trust other than above)

06

Form 8870

12

A. ASTRAS

The books are in the care of

300 SECOND STREET - LOS ALTOs, CA 94022

Telephone No. (650) 948-0150

Fax No. 

If the organization does not have an office or place of business in the United States, check this box.

If this is for a Group Return, enter the organization’s four digit Group Exemption Number (GEN) _________, if this is for the whole group, check this box and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until

AUGUST 15, 2014 , to file the exempt organization return for the organization named above. The extension is for the organization’s return for

calendar year 2013 or

tax year beginning ____________________________, and ending__________________________ .

2 If the tax year entered in line 1 is for less than 12 months, check reason.

Initial return

Final return

Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.

$ 1,231,572.

3b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.

$ 1,231,572.

3c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.

$ 0.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Privacy Act and Paperwork Reduction Act Notice, see instructions.
Form 8868 (Rev. 1-2014)

<table>
<thead>
<tr>
<th>Type or print</th>
<th>Name of exempt organization or other filer, see instructions.</th>
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<tbody>
<tr>
<td>File by the due date for filing your return. See instructions.</td>
<td>THE PACKARD HUMANITIES INSTITUTE</td>
</tr>
<tr>
<td>Employer identification number (EIN) or Social security number (SSN)</td>
<td>94-3038401</td>
</tr>
<tr>
<td>Number, street, and room or suite no. If a P.O. box, see instructions.</td>
<td>300 SECOND STREET</td>
</tr>
<tr>
<td>City, town or post office, state, and ZIP code. For a foreign address, see instructions.</td>
<td>LOS ALTOS, CA 94022</td>
</tr>
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</table>

Enter filer's identifying number, see instructions.

Enter the Return code for the return that this application is for (file a separate application for each return).

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<th>Application Is For</th>
<th>Return Code</th>
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<td>Form 990-BL</td>
<td>02</td>
<td>Form 1041-A</td>
<td>08</td>
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<tr>
<td>Form 4720 (individual)</td>
<td>03</td>
<td>Form 4720 (other than individual)</td>
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<td>Form 990-PF</td>
<td>04</td>
<td>Form 5227</td>
<td>10</td>
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<td>Form 990-T (sec. 401(a) or 408(a) trust)</td>
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<td>Form 6069</td>
<td>11</td>
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<td>Form 990-T (trust other than above)</td>
<td>06</td>
<td>Form 8870</td>
<td>12</td>
</tr>
</tbody>
</table>

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

A. ASTRAS

- The books are in the care of 300 SECOND STREET - LOS ALTOS, CA 94022
- Telephone No. (650) 948-0150 Fax No. 
- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN). If this is for the whole group, check this box

4 I request an additional 3-month extension of time until NOVEMBER 17, 2014.
5 For calendar year 2013, or other tax year beginning and ending .
6 If the tax year entered in line 5 is for less than 12 months, check reason: Initial return Final return Change in accounting period
7 State in detail why you need the extension ADDITIONAL TIME IS REQUIRED TO GATHER INFORMATION TO PREPARE A COMPLETE AND ACCURATE RETURN.
8a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions. 8a $1,231,572.
8b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868. 8b $1,231,572.
8c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions. 8c $0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete; and that I am authorized to prepare this form.

Signature Date 7/21/14

Form 8868 (Rev. 1-2014)

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