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**Return of Private Foundation**

**or Section 4947(a)(1) Nonexempt Charitable Trust**

**Treated as a Private Foundation**

**2006**

**For calendar year 2006, or tax year beginning** 2006, and ending 2006.

**G Check all that apply:**
- [ ] Initial return
- [ ] Final return
- [ ] Amended return
- [ ] Address change
- [ ] Name change

**Use the IRS label. Otherwise, print or type:**
- **Name of foundation:** THE ENERGY FOUNDATION
- **Number and street (or P.O. box number if mail is not delivered to street address):** 1012 TORNEY AVE. #1
- **City or town, state, and ZIP code:** SAN FRANCISCO, CA 94129

**H Check type of organization:**
- [ ] Section 501(c)(3) exempt private foundation
- [ ] Section 4947(a)(1) nonexempt charitable trust
- [ ] Other taxable private foundation

**I Fair market value of all assets at end of year (from Part II, col. (c), line 16) $**

**J Accounting method:**
- [ ] Cash
- [ ] Accrual

**Part I Analysis of Revenue and Expenses**

<table>
<thead>
<tr>
<th>(a) Revenue and expenses per books</th>
<th>(b) Net investment income</th>
<th>(c) Adjusted net income</th>
<th>(d) Disbursements for charitable purposes (cash basis only)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Contributions, gifts, grants, etc., received (attach schedule) 51421935</td>
<td>734521</td>
<td>734521</td>
<td></td>
</tr>
<tr>
<td>Check if the foundation is not required to attach Sch. B</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Gross rents</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Interest on savings and temporary cash investments</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Dividends and interest from securities</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>6a Net gain or (loss) from sale of assets not on line 10</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>b Gross sales price for all assets on line 6a</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Capital gain net income (from Part IV, line 2)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Net short-term capital gain</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Income modifications</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>10a Gross sales less returns and allowances</td>
<td>52156456</td>
<td>734521</td>
<td></td>
</tr>
<tr>
<td>b Less. Cost of goods sold</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>c Gross profit or (loss) (attach schedule)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other income (attach schedule)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total. Add lines 1 through 11</td>
<td>52156456</td>
<td>734521</td>
<td></td>
</tr>
<tr>
<td>Compensation of officers, directors, trustees, etc. 276670</td>
<td>276670</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other employee salaries and wages 1179151</td>
<td>1179525</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Pension plans, employee benefits 431650</td>
<td>431613</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Legal fees (attach schedule) 10821</td>
<td>11068</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Accounting fees (attach schedule) 40025</td>
<td>34925</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other professional fees (attach schedule) 56912</td>
<td>49367</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Interest</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Taxes (attach schedule) (see page 14 of the instructions) 653</td>
<td>255</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Depreciation (attach schedule) and depletion 47598</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Occupancy 172074</td>
<td>170423</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Travel, conferences, and meetings 333611</td>
<td>339321</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Printing and publications 81337</td>
<td>82726</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other expenses (attach schedule) 4992812</td>
<td>150</td>
<td>4708536</td>
<td></td>
</tr>
<tr>
<td>Total operating and administrative expenses. Add lines 13 through 23 7623314</td>
<td>150</td>
<td>7284429</td>
<td></td>
</tr>
<tr>
<td>Contributions, gifts, grants paid 21797353</td>
<td>19992211</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total expenses and disbursements. Add lines 24 and 25 29420667</td>
<td>150</td>
<td>27276840</td>
<td></td>
</tr>
</tbody>
</table>

**For Privacy Act and Paperwork Reduction Act Notice, see the instructions.**

Cat No 11289X

Form 990-PF (2006)
### Part II  Balance Sheets

<table>
<thead>
<tr>
<th>Assets</th>
<th>End of year (c) Fair Market Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 Cash—non-interest-bearing</td>
<td>30700</td>
</tr>
<tr>
<td>2 Savings and temporary cash investments</td>
<td>12504380</td>
</tr>
<tr>
<td>3 Accounts receivable</td>
<td></td>
</tr>
<tr>
<td>Less: allowance for doubtful accounts</td>
<td></td>
</tr>
<tr>
<td>4 Pledges receivable</td>
<td></td>
</tr>
<tr>
<td>Less: allowance for doubtful accounts</td>
<td></td>
</tr>
<tr>
<td>5 Grants receivable</td>
<td>9791530</td>
</tr>
<tr>
<td>6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)</td>
<td></td>
</tr>
<tr>
<td>7 Other notes and loans receivable (attach schedule)</td>
<td></td>
</tr>
<tr>
<td>Less: allowance for doubtful accounts</td>
<td></td>
</tr>
<tr>
<td>8 Inventories for sale or use</td>
<td>156370</td>
</tr>
<tr>
<td>9 Prepaid expenses and deferred charges</td>
<td>92798</td>
</tr>
<tr>
<td>10a Investments—U.S. and state government obligations (attach schedule)</td>
<td></td>
</tr>
<tr>
<td>b Investments—corporate stock (attach schedule)</td>
<td></td>
</tr>
<tr>
<td>c Investments—corporate bonds (attach schedule)</td>
<td></td>
</tr>
<tr>
<td>11 Investments—land, buildings, and equipment basis</td>
<td></td>
</tr>
<tr>
<td>Less accumulated depreciation (attach schedule)</td>
<td></td>
</tr>
<tr>
<td>12 Investments—mortgage loans</td>
<td></td>
</tr>
<tr>
<td>13 Investments—other (attach schedule)</td>
<td></td>
</tr>
<tr>
<td>14 Land, buildings, and equipment, basis Statement 5.832181</td>
<td></td>
</tr>
<tr>
<td>Less accumulated depreciation (attach schedule) (690219)</td>
<td>196019</td>
</tr>
<tr>
<td>15 Other assets (describe)</td>
<td></td>
</tr>
<tr>
<td>16 Total assets (to be completed by all filers—see page 17 of the instructions. Also, see page 1, item 1)</td>
<td>22678999</td>
</tr>
<tr>
<td>Liabilities</td>
<td></td>
</tr>
<tr>
<td>17 Accounts payable and accrued expenses</td>
<td>353051</td>
</tr>
<tr>
<td>18 Grants payable</td>
<td>1201281</td>
</tr>
<tr>
<td>19 Deferred revenue</td>
<td>3032000</td>
</tr>
<tr>
<td>20 Loans from officers, directors, trustees, and other disqualified persons</td>
<td></td>
</tr>
<tr>
<td>21 Mortgages and other notes payable (attach schedule)</td>
<td></td>
</tr>
<tr>
<td>22 Other liabilities (describe)</td>
<td></td>
</tr>
<tr>
<td>23 Total liabilities (add lines 17 through 22)</td>
<td>1554342</td>
</tr>
</tbody>
</table>

### Foundations that follow SFAS 117, check here □ and complete lines 24 through 26 and lines 30 and 31.

| 24 Unrestricted | 9505127 |
| 25 Temporarily restricted | 11619530 |
| 26 Permanently restricted | 28203154 |

### Foundations that do not follow SFAS 117, check here □ and complete lines 27 through 31.

| 27 Capital stock, trust principal, or current funds | |
| 28 Paid-in or capital surplus, or land, bldg., and equipment fund | |
| 29 Retained earnings, accumulated income, endowment, or other funds | |
| Total net assets or fund balances (see page 18 of the instructions) | 21124657 |
| Total liabilities and net assets/fund balances (see page 18 of the instructions) | 22678999 |

### Part III  Analysis of Changes in Net Assets or Fund Balances

| 1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 21124657 |
| 2 Enter amount from Part I, line 27a | 22735789 |
| 3 Other increases not included in line 2 (itemize) | 4 |
| 4 Add lines 1, 2, and 3 | 43860446 |
| 5 Decreases not included in line 2 (itemize) | 5 |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 | 43860446 |
Part IV  Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)

(b) How acquired
P—Purchase
D—Donation

(c) Date acquired
(mo, day, yr)

(d) Date sold
(mo, day, yr)

(e) Gross sales price

(f) Depreciation allowed
(or allowable)

(g) Cost or other basis
plus expense of sale

(h) Gain or (loss)
(e) plus (f) minus (g)

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69

(j) Adjusted basis
as of 12/31/69

(k) Excess of col (j)
over col (i), if any

(l) Gains (Col (h) gain minus col (k), but not less than -0-) or
Losses (from col (h))

2 Capital gain net income or (net capital loss)

   If gain, also enter in Part I, line 7
   If (loss), enter -0- in Part I, line 7

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
   If gain, also enter in Part I, line 8, column (c) (see pages 13 and 18 of the instructions).
   If (loss), enter -0- in Part I, line 8

3

Part V  Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 19 of the instructions before making any entries.

<table>
<thead>
<tr>
<th>Year</th>
<th>Adjusted qualifying distributions</th>
<th>Net value of noncharitable-use assets</th>
<th>Distribution ratio (col (d) divided by col. (c))</th>
</tr>
</thead>
<tbody>
<tr>
<td>2005</td>
<td>27402735</td>
<td>14369802</td>
<td>1.90696677658</td>
</tr>
<tr>
<td>2004</td>
<td>24302579</td>
<td>11687557</td>
<td>2.07935490710</td>
</tr>
<tr>
<td>2003</td>
<td>27509442</td>
<td>14159906</td>
<td>1.94277010031</td>
</tr>
<tr>
<td>2002</td>
<td>25618810</td>
<td>13396317</td>
<td>1.91237711081</td>
</tr>
<tr>
<td>2001</td>
<td>25866471</td>
<td>11657508</td>
<td>2.21886796046</td>
</tr>
</tbody>
</table>

2 Total of line 1, column (d) ......................................................... 2 10.06033685526

3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years 3 2.01206737105

4 Enter the net value of noncharitable-use assets for 2006 from Part X, line 5 4 15594758

5 Multiply line 4 by line 3 .............................................................. 5 31377704

6 Enter 1% of net investment income (1% of Part I, line 27b) ............... 6 7344

7 Add lines 5 and 6 ................................................................. 7 31385048

8 Enter qualifying distributions from Part XII, line 4 ....................... 8 27304156

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 19.
### Part VI
Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 19 of the instructions)

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>1a</td>
<td>Exempt operating foundations described in section 4940(d)(2), check here □ and enter &quot;N/A&quot; on line 1. Date of ruling letter □ (attach copy of ruling letter if necessary—see instructions) 1 14687</td>
</tr>
<tr>
<td>b</td>
<td>Domestic foundations that meet the section 4940(e) requirements in Part V, check here □ and enter 1% of Part I, line 27b</td>
</tr>
<tr>
<td>c</td>
<td>All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)</td>
</tr>
<tr>
<td>2</td>
<td>Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)</td>
</tr>
<tr>
<td>3</td>
<td>Add lines 1 and 2</td>
</tr>
<tr>
<td>4</td>
<td>Subtitle A (Income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)</td>
</tr>
<tr>
<td>5</td>
<td>Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-</td>
</tr>
</tbody>
</table>

### Credits/Payments:

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>a</td>
<td>2006 estimated tax payments and 2005 overpayment credited to 2006</td>
</tr>
<tr>
<td>b</td>
<td>Exempt foreign organizations—tax withheld at source</td>
</tr>
<tr>
<td>c</td>
<td>Tax paid with application for extension of time to file (Form 8868)</td>
</tr>
<tr>
<td>d</td>
<td>Backup withholding erroneously withheld</td>
</tr>
<tr>
<td>7</td>
<td>Total credits and payments. Add lines 6a through 6d</td>
</tr>
<tr>
<td>8</td>
<td>Enter any penalty for underpayment of estimated tax. Check here □ if Form 2220 is attached</td>
</tr>
<tr>
<td>9</td>
<td>Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed Statement of Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid</td>
</tr>
<tr>
<td>10</td>
<td>Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid</td>
</tr>
<tr>
<td>11</td>
<td>Enter the amount of line 10 to be. Credited to 2007 estimated tax Refunded</td>
</tr>
</tbody>
</table>

### Part VII-A Statements Regarding Activities

<table>
<thead>
<tr>
<th></th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>1a</td>
<td>During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?</td>
<td>✓</td>
</tr>
<tr>
<td>1b</td>
<td>Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 20 of the instructions for definition)? If the answer is &quot;Yes&quot; to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</td>
<td>✓</td>
</tr>
<tr>
<td>1c</td>
<td>Did the foundation file Form 1120-POL for this year?</td>
<td>✓</td>
</tr>
<tr>
<td>1d</td>
<td>Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. $ (2) On foundation managers. $</td>
<td></td>
</tr>
<tr>
<td>1e</td>
<td>Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. $</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Has the foundation engaged in any activities that have not previously been reported to the IRS? If &quot;Yes,&quot; attach a detailed description of the activities.</td>
<td>✓</td>
</tr>
<tr>
<td>3</td>
<td>Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If &quot;Yes,&quot; attach a conformed copy of the changes.</td>
<td>✓</td>
</tr>
<tr>
<td>4a</td>
<td>Did the foundation have unrelated business gross income of $1,000 or more during the year?</td>
<td>✓</td>
</tr>
<tr>
<td>4b</td>
<td>If &quot;Yes,&quot; has it filed a tax return on Form 990-T for this year?</td>
<td>✓</td>
</tr>
<tr>
<td>5</td>
<td>Was there a liquidation, termination, dissolution, or substantial contraction during the year?</td>
<td>✓</td>
</tr>
<tr>
<td>6</td>
<td>Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: (a) By language in the governing instrument, or (b) By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?</td>
<td>✓</td>
</tr>
<tr>
<td>7</td>
<td>Did the foundation have at least $5,000 in assets at any time during the year? If &quot;Yes,&quot; complete Part II, col. (c), and Part XV.</td>
<td>✓</td>
</tr>
<tr>
<td>8a</td>
<td>Enter the states to which the foundation reports or with which it is registered (see page 20 of the instructions) □ CALIFORNIA</td>
<td></td>
</tr>
<tr>
<td>8b</td>
<td>If the answer is &quot;Yes&quot; to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If &quot;No,&quot; attach explanation.</td>
<td>✓</td>
</tr>
<tr>
<td>9</td>
<td>Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2006 or the taxable year beginning in 2006 (see instructions for Part XIV on page 28)? If &quot;Yes,&quot; complete Part XIV.</td>
<td>✓</td>
</tr>
<tr>
<td>10</td>
<td>Did any persons become substantial contributors during the tax year? If &quot;Yes,&quot; attach a schedule listing their names and addresses.</td>
<td>✓</td>
</tr>
</tbody>
</table>
Part VII-A Statements Regarding Activities

11a At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. (see instructions) .................. ............. 11a ✓

b If "Yes," did the foundation have a binding written contract in effect on August 17, 2006, covering the interest, rents, royalties, and annuities described in the attachment for line 11a? .................. ............. 11b ✓

12 Did the foundation acquire a direct or indirect interest in any applicable insurance contract? .................. ............. 12 ✓

13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? .................. ............. 13 ✓

Website address ▶ www.ef.org

14 The books are in care of ▶ ROBERT J. O’CONNOR Telephone no. ▶ (415) 561-6700 Located at ▶ 1012 TORNEY AVE. #1, SAN FRANCISCO, CA 94129 ZIP+4 ▶ 94129

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year .................. ▶ 15

Part VII-B Statements Regarding Activities For Which Form 4720 May Be Required

File Form 4720 if any item is checked in the “Yes” column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly):

☐ Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No

☐ Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No

☐ Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No

☐ Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ Yes ☐ No

☐ Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No

☐ Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☑ No

b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 22 of the instructions)? ................. 1b ✓

Organizations relying on a current notice regarding disaster assistance check here .................. ▶ ☑

c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2006? .................. 1c ✓

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):

a At the end of tax year 2006, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2006? ☐ Yes ☑ No

If "Yes," list the years ▶ 20 ..., 20 ..., 20 ..., 20 ....

b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 22 of the instructions.) .................. 2b ✓

c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20 ..., 20 ..., 20 ..., 20 ....

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No

b If "Yes," did it have excess business holdings in 2006 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2006.) .................. 3b ✓

4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? ☑ Yes ☐ No

b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2006? ☑ Yes ☐ No
**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required Continued

5a During the year did the foundation pay or incur any amount to:
   (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? [ ] Yes [X] No
   (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? [ ] Yes [X] No
   (3) Provide a grant to an individual for travel, study, or other similar purposes? [ ] Yes [X] No
   (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) [X] Yes [ ] No
   (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? [ ] Yes [X] No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 23 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? [X] Yes [ ] No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

Statement 8

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? [X] Yes [ ] No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? [X]

If you answered "Yes" to 6a, also file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? [ ] Yes [X] No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ▶

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 23 of the instructions).

<table>
<thead>
<tr>
<th>(a) Name and address</th>
<th>(b) Title, and average hours per week devoted to position</th>
<th>(c) Compensation if not paid, enter -0-</th>
<th>(d) Contributions to employee benefit plans and deferred compensation</th>
<th>(e) Expense account, other allowances</th>
</tr>
</thead>
<tbody>
<tr>
<td>SEE STATEMENT 9</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
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<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

2 Compensation of five highest-paid employees (other than those included on line 1—see page 24 of the instructions). If none, enter "NONE."

<table>
<thead>
<tr>
<th>(a) Name and address of each employee paid more than $50,000</th>
<th>(b) Title, and average hours per week devoted to position</th>
<th>(c) Compensation</th>
<th>(d) Contributions to employee benefit plans and deferred compensation</th>
<th>(e) Expense account, other allowances</th>
</tr>
</thead>
<tbody>
<tr>
<td>DOUG OGDEN 1012 TORNEY AVE. #1, SAN FRANCISCO, CA 94129</td>
<td>EXEC. VP 40 HOURS</td>
<td>159700</td>
<td>159700</td>
<td>NONE</td>
</tr>
<tr>
<td>FUQIANG YANG RM 2403 #19 JINGUOMEN WAIJIA, BEIJING, CHII</td>
<td>CHIEF REP 40 HOURS</td>
<td>142800</td>
<td>11900</td>
<td>42000</td>
</tr>
<tr>
<td>DAVID WOOLEY 1012 TORNEY AVE. #1, SAN FRANCISCO, CA 94129</td>
<td>VP 40 HOURS</td>
<td>142500</td>
<td>142500</td>
<td>NONE</td>
</tr>
<tr>
<td>ROBERT O'CONNOR 1012 TORNEY AVE. #1, SAN FRANCISCO, CA 94129</td>
<td>VP/CFO 40 HOURS</td>
<td>134500</td>
<td>134500</td>
<td>NONE</td>
</tr>
<tr>
<td>CHARLOTTE PERA 1012 TORNEY AVE. #1, SAN FRANCISCO, CA 94129</td>
<td>VP 40 HOURS</td>
<td>107100</td>
<td>107100</td>
<td>NONE</td>
</tr>
</tbody>
</table>

Total number of other employees paid over $50,000 ▶ 6
### Part VIII  Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors Continued

3 Five highest-paid independent contractors for professional services (see page 24 of the instructions). If none, enter "NONE."

<table>
<thead>
<tr>
<th>Name and address of each person paid more than $50,000</th>
<th>Type of service</th>
<th>Compensation</th>
</tr>
</thead>
<tbody>
<tr>
<td>WENDY JAMES</td>
<td>TRANSPORTATION CONS.</td>
<td>305917</td>
</tr>
<tr>
<td>150 E. OLIVE AVE. #211, BURBANK, CA 91502</td>
<td></td>
<td></td>
</tr>
<tr>
<td>KANGMING XU</td>
<td>INT'L TRANSPORTATION CONS</td>
<td>153000</td>
</tr>
<tr>
<td>18395 PINES BLVD., PEMBROKE PINES, FL 33029</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Total number of others receiving over $50,000 for professional services: 0

### Part IX-A  Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

<table>
<thead>
<tr>
<th>Activity</th>
<th>Expenses</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 SEE STATEMENT 10</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td></td>
</tr>
</tbody>
</table>

### Part IX-B  Summary of Program-Related Investments (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

<table>
<thead>
<tr>
<th>Investment</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td></td>
</tr>
</tbody>
</table>

All other program-related investments. See page 25 of the instructions.

<table>
<thead>
<tr>
<th>Investment</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>3</td>
<td></td>
</tr>
</tbody>
</table>

Total. Add lines 1 through 3
### Part X  Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 25 of the instructions.)

1. Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:
   a. Average monthly fair market value of securities ........................................ 1a  15832242
   b. Average of monthly cash balances ................................................................. 1b
   c. Fair market value of all other assets (see page 25 of the instructions) .......... 1c  15832242
   d. Total (add lines 1a, b, and c) .......................................................................... 1d
   e. Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) ......................................................... 1e

2. Acquisition indebtedness applicable to line 1 assets ........................................... 2

3. Subtract line 2 from line 1d .................................................................................. 3  15832242

4. Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see page 26 of the instructions) .................................................. 4  237484

5. Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4 ................................................................. 5  15594758

6. Minimum investment return. Enter 5% of line 5 .................................................... 6  779738

### Part XI  Distributable Amount (see page 26 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1. Minimum investment return from Part X, line 6 ................................................... 1  779738

2a. Tax on investment income for 2006 from Part VI, line 5 Statement 6 .............. 2a -0-

2b. Income tax for 2006. (This does not include the tax from Part VI.) ................. 2b

2c. Add lines 2a and 2b ........................................................................................... 2c -0-

3. Distributable amount before adjustments. Subtract line 2c from line 1 ............ 3  779738

4. Recoveries of amounts treated as qualifying distributions ............................... 4  578

5. Add lines 3 and 4 ................................................................................................. 5  780316

6. Deduction from distributable amount (see page 26 of the instructions) ......... 6

7. Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 ................................................................. 7  780316

### Part XII  Qualifying Distributions (see page 26 of the instructions)

1. Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes.
   a. Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26 ........ 1a  27276640

2. Program-related investments—total from Part IX-B ............................................. 1b  27516

3. Amounts set aside for specific charitable projects that satisfy the:
   a. Suitability test (prior IRS approval required) .................................................. 3a
   b. Cash distribution test (attach the required schedule) ..................................... 3b

4. Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 ................................................................. 4  27304156

5. Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 27 of the instructions) ........................................ 5

6. Adjusted qualifying distributions. Subtract line 5 from line 4 ............................ 6  27304156

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.
<table>
<thead>
<tr>
<th></th>
<th>(a)</th>
<th>(b)</th>
<th>(c)</th>
<th>(d)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Corpus</td>
<td>Years prior to 2005</td>
<td>2005</td>
<td>2006</td>
</tr>
<tr>
<td>1</td>
<td>Distributable amount for 2006 from Part XI, line 7</td>
<td></td>
<td></td>
<td>780316</td>
</tr>
<tr>
<td>2</td>
<td>Undistributed income, if any, as of the end of 2005:</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>a</td>
<td>Enter amount for 2005 only</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>b</td>
<td>Total for prior years: 20, 20, 20</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>Excess distributions carryover, if any, to 2006:</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>a</td>
<td>From 2001</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>b</td>
<td>From 2002</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>c</td>
<td>From 2003</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>d</td>
<td>From 2004</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>e</td>
<td>From 2005</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>f</td>
<td>Total of lines 3a through e</td>
<td></td>
<td></td>
<td>27304156</td>
</tr>
<tr>
<td>4</td>
<td>Qualifying distributions for 2006 from Part XII, line 4: $</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>a</td>
<td>Applied to 2005, but not more than line 2a</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>b</td>
<td>Applied to undistributed income of prior years (Election required—see page 27 of the instructions)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>c</td>
<td>Treated as distributions out of corpus (Election required—see page 27 of the instructions)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>d</td>
<td>Applied to 2006 distributable amount</td>
<td></td>
<td></td>
<td>780316</td>
</tr>
<tr>
<td>e</td>
<td>Remaining amount distributed out of corpus</td>
<td></td>
<td></td>
<td>26523840</td>
</tr>
<tr>
<td>5</td>
<td>Excess distributions carryover applied to 2006 (If an amount appears in column (d), the same amount must be shown in column (a).)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>6</td>
<td>Enter the net total of each column as indicated below:</td>
<td></td>
<td></td>
<td>26523840</td>
</tr>
<tr>
<td>a</td>
<td>Corpus: Add lines 3f, 4c, and 4e. Subtract line 5</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>b</td>
<td>Prior years’ undistributed income. Subtract line 4b from line 2b</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>c</td>
<td>Enter the amount of prior years’ undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>d</td>
<td>Subtract line 6c from line 6b. Taxable amount—see page 27 of the instructions</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>e</td>
<td>Undistributed income for 2005. Subtract line 4a from line 2a. Taxable amount—see page 27 of the instructions</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>f</td>
<td>Undistributed income for 2006. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2007</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>7</td>
<td>Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(E) or 4942(g)(3) (see page 28 of the instructions)</td>
<td></td>
<td></td>
<td>4984426</td>
</tr>
<tr>
<td>8</td>
<td>Excess distributions carryover from 2001 not applied on line 5 or line 7 (see page 28 of the instructions)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>9</td>
<td>Excess distributions carryover to 2007. Subtract lines 7 and 8 from line 6a</td>
<td></td>
<td></td>
<td>21539414</td>
</tr>
<tr>
<td>10</td>
<td>Analysis of line 9:</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>a</td>
<td>Excess from 2002</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>b</td>
<td>Excess from 2003</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>c</td>
<td>Excess from 2004</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>d</td>
<td>Excess from 2005</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>e</td>
<td>Excess from 2006</td>
<td></td>
<td></td>
<td>21539414</td>
</tr>
</tbody>
</table>
### Part XIV  Private Operating Foundations (see page 28 of the instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2006, enter the date of the ruling.

b Check box to indicate whether the foundation is a private operating foundation described in section □ 4942(j)(3) or □ 4942(j)(5).

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.

<table>
<thead>
<tr>
<th>Tax year</th>
<th>Prior 3 years</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>(a) 2006</td>
<td>(b) 2005</td>
<td>(c) 2004</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

b 85% of line 2a

|          |        | |
|          |        | |

c Qualifying distributions from Part XII, line 4 for each year listed.

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test—enter:

(1) Value of all assets

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
</table>

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
</table>

b "Endowment" alternative test—enter 9% of minimum investment return shown in Part X, line 6 for each year listed.

c "Support" alternative test—enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
</table>

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(ii)

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
</table>

(3) Largest amount of support from an exempt organization

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
</table>

(4) Gross investment income

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
</table>

### Part XV  Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see page 28 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here □ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

THE ENERGY FOUNDATION, 1012 TORNEY AVE. #1, SAN FRANCISCO, CA 94129 (415) 561-6700

b The form in which applications should be submitted and information and materials they should include:

ENERGY FOUNDATION APPLICATION FORM (AVAILABLE AT www.ef.org)

c Any submission deadlines:

PROPOSALS ARE ACCEPTED ON A CONTINUING BASIS WITH BOARD MEETINGS IN MARCH, JUNE & NOVEMBER.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE STATEMENT 11.
# Supplementary Information
## 3 Grants and Contributions Paid During the Year or Approved for Future Payment

<table>
<thead>
<tr>
<th>Recipient</th>
<th>If recipient is an individual, show any relationship to any foundation manager or substantial contributor</th>
<th>Foundation status of recipient</th>
<th>Purpose of grant or contribution</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>a Paid during the year</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>SEE STATEMENT 12</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td></td>
<td></td>
<td></td>
<td>19992211</td>
</tr>
<tr>
<td><strong>b Approved for future payment</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>SEE STATEMENT 13</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td></td>
<td></td>
<td></td>
<td>3032000</td>
</tr>
</tbody>
</table>
### Part XVI-A  Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

<table>
<thead>
<tr>
<th>1 Program service revenue:</th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>a</td>
<td>(a) Business code</td>
<td>(b) Amount</td>
<td>(c) Exclusion code</td>
</tr>
<tr>
<td>b</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>c</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>d</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>e</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>f</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>g</strong> Fees and contracts from government agencies</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2 Membership dues and assessments</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3 Interest on savings and temporary cash investments</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4 Dividends and interest from securities</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5 Net rental income or (loss) from real estate:</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>a Debt-financed property</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>b Not debt-financed property</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>6 Net rental income or (loss) from personal property</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>7 Other investment income</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>8 Gain or (loss) from sales of assets other than inventory</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>9 Net income or (loss) from special events</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>10 Gross profit or (loss) from sales of inventory</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>11 Other revenue: a</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>b</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>c</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>d</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>e</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>12 Subtotal. Add columns (b), (d), and (e)</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>13 Total. Add line 12, columns (b), (d), and (e)</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

(See worksheet in line 13 instructions on page 29 to verify calculations.)

### Part XVI-B  Relationship of Activities to the Accomplishment of Exempt Purposes

Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See page 29 of the instructions.)

**NOT APPLICABLE**
Part XVII  Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
   a. Transfers from the reporting foundation to a noncharitable exempt organization of:
      (1) Cash  
      (2) Other assets  
   b. Other transactions:
      (1) Sales of assets to a noncharitable exempt organization  
      (2) Purchases of assets from a noncharitable exempt organization  
      (3) Rental of facilities, equipment, or other assets  
      (4) Reimbursement arrangements  
      (5) Loans or loan guarantees  
      (6) Performance of services or membership or fundraising solicitations  
   c. Sharing of facilities, equipment, mailing lists, other assets, or paid employees  
   d. If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

<table>
<thead>
<tr>
<th>(a) Line no.</th>
<th>(b) Amount involved</th>
<th>(c) Name of noncharitable exempt organization</th>
<th>(d) Description of transfers, transactions, and sharing arrangements</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? □ Yes  □ No

b If "Yes," complete the following schedule.

<table>
<thead>
<tr>
<th>(a) Name of organization</th>
<th>(b) Type of organization</th>
<th>(c) Description of relationship</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Date  

Preparer’s SSN or PTIN  (See Signature on page 31 of the instructions)

EIN  Phone no ( )

Form 990-PF (2006)
# The Energy Foundation - Taxpayer ID# 94-3126848

## Statement 1

### Form 990-PF, Part I - Legal Fees/Accounting Fees/Other Professional Fees

<table>
<thead>
<tr>
<th>Description</th>
<th>Revenue And Expenses Per Books</th>
<th>Net Investment Income</th>
<th>Adjusted Net Income</th>
<th>Disbursements For Charitable Purposes</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Legal Fees</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Legal Review of Grant Proposals/Board Docket</td>
<td>$ 10,821</td>
<td></td>
<td></td>
<td>$ 11,068</td>
</tr>
<tr>
<td><strong>Total Legal Fees - Line 16a</strong></td>
<td>$ 10,821</td>
<td></td>
<td></td>
<td>$ 11,068</td>
</tr>
<tr>
<td><strong>Accounting Fees</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>DeLoitte &amp; Touche LLP - Fee for Workpaper</td>
<td>$ 25</td>
<td></td>
<td></td>
<td>$ 1,925</td>
</tr>
<tr>
<td>Reproduction in Connection with 2005 Audit</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Hood &amp; Strong LLP - 2005 Audit</td>
<td>$ -</td>
<td></td>
<td></td>
<td>$ 33,000</td>
</tr>
<tr>
<td>Hood &amp; Strong LLP - 2006 Audit</td>
<td>$ 40,000</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Total Accounting Fees - Line 16b</strong></td>
<td>$ 40,025</td>
<td></td>
<td></td>
<td>$ 34,925</td>
</tr>
<tr>
<td><strong>Other Professional Fees</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Andrea Zafer - Professional Fundraising Services</td>
<td>$ 48,662</td>
<td></td>
<td></td>
<td>$ 43,117</td>
</tr>
<tr>
<td>John P. Holden Meeting Honorarium</td>
<td>$ 2,000</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Bradford Brook Consulting</td>
<td>$ 2,400</td>
<td></td>
<td></td>
<td>$ 2,400</td>
</tr>
<tr>
<td>Project Zero Web Design Services</td>
<td>$ 3,850</td>
<td></td>
<td></td>
<td>$ 3,850</td>
</tr>
<tr>
<td><strong>Total Other Professional Fees - Line 16c</strong></td>
<td>$ 56,912</td>
<td></td>
<td></td>
<td>$ 49,367</td>
</tr>
</tbody>
</table>
### FORM 990PF, PART I - TAXES

<table>
<thead>
<tr>
<th>Description</th>
<th>Revenue and Expenses per Books</th>
<th>Net Investment Income</th>
<th>Adjusted Net Income</th>
<th>Disbursements for Charitable Purposes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Federal Excise Tax</td>
<td>$398</td>
<td>$-</td>
<td>$-</td>
<td>$-</td>
</tr>
<tr>
<td>Department of Justice - RRF-1 Filing Fee</td>
<td>$225</td>
<td>$-</td>
<td>$225</td>
<td>$-</td>
</tr>
<tr>
<td>Franchise Tax Board - Filing Fee</td>
<td>$10</td>
<td>$-</td>
<td>$-</td>
<td>$10</td>
</tr>
<tr>
<td>Secretary of State-Filing Fee</td>
<td>$20</td>
<td>$653</td>
<td>$-</td>
<td>$255</td>
</tr>
<tr>
<td><strong>Total Taxes - Line 18</strong></td>
<td><strong>$653</strong></td>
<td><strong>$-</strong></td>
<td><strong>$-</strong></td>
<td><strong>$255</strong></td>
</tr>
</tbody>
</table>
## The Energy Foundation

### Form 990-PF

**Statement Three**

**For the Tax Year Ended December 31, 2006**

### Form 990PF, Part I - Depreciation and Depletion

<table>
<thead>
<tr>
<th>Description of the Property</th>
<th>Date Acquired</th>
<th>Cost</th>
<th>Depreciation Allowed in Prior Years</th>
<th>Method of Computation</th>
<th>Useful Life</th>
<th>Revenue and Expenses Per Books</th>
<th>Net Investment Income</th>
<th>Adjusted Net Income</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>San Francisco Office</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Computer Equipment</td>
<td>1999</td>
<td>$2,477</td>
<td>$2,477</td>
<td>Straight-Line</td>
<td>3 Years</td>
<td>$-</td>
<td>$-</td>
<td>$-</td>
</tr>
<tr>
<td>Computer Equipment</td>
<td>2000</td>
<td>$-</td>
<td>$-</td>
<td>Straight-Line</td>
<td>3 Years</td>
<td>$-</td>
<td>$-</td>
<td>$-</td>
</tr>
<tr>
<td>Computer Equipment</td>
<td>2001</td>
<td>$28,465</td>
<td>$28,465</td>
<td>Straight-Line</td>
<td>3 Years</td>
<td>$-</td>
<td>$-</td>
<td>$-</td>
</tr>
<tr>
<td>Computer Equipment</td>
<td>2002</td>
<td>$3,261</td>
<td>$3,261</td>
<td>Straight-Line</td>
<td>3 Years</td>
<td>$-</td>
<td>$-</td>
<td>$-</td>
</tr>
<tr>
<td>Computer Equipment</td>
<td>2003</td>
<td>$6,616</td>
<td>$5,435</td>
<td>Straight-Line</td>
<td>3 Years</td>
<td>$1,181</td>
<td>$-</td>
<td>$1,181</td>
</tr>
<tr>
<td>Computer Equipment</td>
<td>2004</td>
<td>$34,644</td>
<td>$19,646</td>
<td>Straight-Line</td>
<td>3 Years</td>
<td>$11,348</td>
<td>$-</td>
<td>$11,348</td>
</tr>
<tr>
<td>Computer Equipment</td>
<td>2005</td>
<td>$4,063</td>
<td>$113</td>
<td>Straight-Line</td>
<td>3 Years</td>
<td>$1,597</td>
<td>$-</td>
<td>$1,597</td>
</tr>
<tr>
<td>Computer Equipment</td>
<td>2006</td>
<td>$41,087</td>
<td>$-</td>
<td>Straight-Line</td>
<td>3 Years</td>
<td>$879</td>
<td>$-</td>
<td>$879</td>
</tr>
<tr>
<td><strong>Subtotal</strong></td>
<td></td>
<td>$120,013</td>
<td>$59,397</td>
<td></td>
<td></td>
<td>$15,005</td>
<td>$-</td>
<td>$-</td>
</tr>
<tr>
<td>Furniture &amp; Equipment</td>
<td>1991</td>
<td>$52,994</td>
<td>$52,994</td>
<td>Straight-Line</td>
<td>5 Years</td>
<td>$-</td>
<td>$-</td>
<td>$-</td>
</tr>
<tr>
<td>Furniture &amp; Equipment</td>
<td>1992</td>
<td>$1,814</td>
<td>$1,814</td>
<td>Straight-Line</td>
<td>5 Years</td>
<td>$-</td>
<td>$-</td>
<td>$-</td>
</tr>
<tr>
<td>Furniture &amp; Equipment</td>
<td>1993</td>
<td>$13,552</td>
<td>$13,552</td>
<td>Straight-Line</td>
<td>5 Years</td>
<td>$-</td>
<td>$-</td>
<td>$-</td>
</tr>
<tr>
<td>Furniture &amp; Equipment</td>
<td>1994</td>
<td>$27,351</td>
<td>$27,351</td>
<td>Straight-Line</td>
<td>5 Years</td>
<td>$-</td>
<td>$-</td>
<td>$-</td>
</tr>
<tr>
<td>Furniture &amp; Equipment</td>
<td>1995</td>
<td>$-</td>
<td>$-</td>
<td>Straight-Line</td>
<td>5 Years</td>
<td>$-</td>
<td>$-</td>
<td>$-</td>
</tr>
<tr>
<td>Furniture &amp; Equipment</td>
<td>1996</td>
<td>$28,670</td>
<td>$28,670</td>
<td>Straight-Line</td>
<td>5 Years</td>
<td>$-</td>
<td>$-</td>
<td>$-</td>
</tr>
<tr>
<td>Furniture &amp; Equipment</td>
<td>1997</td>
<td>$-</td>
<td>$-</td>
<td>Straight-Line</td>
<td>5 Years</td>
<td>$-</td>
<td>$-</td>
<td>$-</td>
</tr>
<tr>
<td>Furniture &amp; Equipment</td>
<td>1998</td>
<td>$2,973</td>
<td>$2,973</td>
<td>Straight-Line</td>
<td>5 Years</td>
<td>$-</td>
<td>$-</td>
<td>$-</td>
</tr>
<tr>
<td>Furniture &amp; Equipment</td>
<td>1999</td>
<td>$37,397</td>
<td>$37,397</td>
<td>Straight-Line</td>
<td>5 Years</td>
<td>$-</td>
<td>$-</td>
<td>$-</td>
</tr>
<tr>
<td>Furniture &amp; Equipment</td>
<td>2000</td>
<td>$3,415</td>
<td>$3,415</td>
<td>Straight-Line</td>
<td>5 Years</td>
<td>$-</td>
<td>$-</td>
<td>$-</td>
</tr>
<tr>
<td>Furniture &amp; Equipment</td>
<td>2001</td>
<td>$-</td>
<td>$-</td>
<td>Straight-Line</td>
<td>5 Years</td>
<td>$-</td>
<td>$-</td>
<td>$-</td>
</tr>
<tr>
<td>Furniture &amp; Equipment</td>
<td>2002</td>
<td>$2,240</td>
<td>$1,642</td>
<td>Straight-Line</td>
<td>5 Years</td>
<td>$523</td>
<td>$-</td>
<td>$523</td>
</tr>
<tr>
<td>Furniture &amp; Equipment</td>
<td>2003</td>
<td>$-</td>
<td>$-</td>
<td>Straight-Line</td>
<td>5 Years</td>
<td>$-</td>
<td>$-</td>
<td>$-</td>
</tr>
<tr>
<td>Furniture &amp; Equipment</td>
<td>2004</td>
<td>$1,845</td>
<td>$615</td>
<td>Straight-Line</td>
<td>5 Years</td>
<td>$369</td>
<td>$-</td>
<td>$369</td>
</tr>
<tr>
<td>Furniture &amp; Equipment</td>
<td>2005</td>
<td>$-</td>
<td>$-</td>
<td>Straight-Line</td>
<td>5 Years</td>
<td>$-</td>
<td>$-</td>
<td>$-</td>
</tr>
<tr>
<td>Furniture &amp; Equipment</td>
<td>2006</td>
<td>$-</td>
<td>$-</td>
<td>Straight-Line</td>
<td>5 Years</td>
<td>$-</td>
<td>$-</td>
<td>$-</td>
</tr>
<tr>
<td><strong>Subtotal</strong></td>
<td></td>
<td>$172,251</td>
<td>$170,423</td>
<td></td>
<td></td>
<td>$892</td>
<td>$-</td>
<td>$-</td>
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<tr>
<td>Leasehold Improvements</td>
<td>1996</td>
<td>$28,866</td>
<td>$28,866</td>
<td>Straight-Line</td>
<td>Life of Lease</td>
<td>$-</td>
<td>$-</td>
<td>$-</td>
</tr>
<tr>
<td>Leasehold Improvements</td>
<td>1997</td>
<td>$6,000</td>
<td>$6,000</td>
<td>Straight-Line</td>
<td>Life of Lease</td>
<td>$-</td>
<td>$-</td>
<td>$-</td>
</tr>
<tr>
<td>Leasehold Improvements</td>
<td>1998</td>
<td>$-</td>
<td>$-</td>
<td>Straight-Line</td>
<td>Life of Lease</td>
<td>$-</td>
<td>$-</td>
<td>$-</td>
</tr>
<tr>
<td>Leasehold Improvements</td>
<td>1999</td>
<td>$22,734</td>
<td>$22,734</td>
<td>Straight-Line</td>
<td>Life of Lease</td>
<td>$-</td>
<td>$-</td>
<td>$-</td>
</tr>
<tr>
<td>Leasehold Improvements</td>
<td>2000</td>
<td>$-</td>
<td>$-</td>
<td>Straight-Line</td>
<td>Life of Lease</td>
<td>$-</td>
<td>$-</td>
<td>$-</td>
</tr>
<tr>
<td>Leasehold Improvements</td>
<td>2001</td>
<td>$7,174</td>
<td>$6,908</td>
<td>Straight-Line</td>
<td>Life of Lease</td>
<td>$266</td>
<td>$-</td>
<td>$266</td>
</tr>
<tr>
<td>Leasehold Improvements</td>
<td>2002</td>
<td>$-</td>
<td>$-</td>
<td>Straight-Line</td>
<td>Life of Lease</td>
<td>$-</td>
<td>$-</td>
<td>$-</td>
</tr>
<tr>
<td>Leasehold Improvements</td>
<td>2003</td>
<td>$-</td>
<td>$-</td>
<td>Straight-Line</td>
<td>Life of Lease</td>
<td>$-</td>
<td>$-</td>
<td>$-</td>
</tr>
<tr>
<td>Leasehold Improvements</td>
<td>2004</td>
<td>$-</td>
<td>$-</td>
<td>Straight-Line</td>
<td>Life of Lease</td>
<td>$-</td>
<td>$-</td>
<td>$-</td>
</tr>
</tbody>
</table>
### Leasehold Improvements

<table>
<thead>
<tr>
<th>Year</th>
<th>Cost</th>
<th>Salvage</th>
<th>Depreciation Method</th>
<th>Life of Lease</th>
</tr>
</thead>
<tbody>
<tr>
<td>2005</td>
<td>$ -</td>
<td>$ -</td>
<td>Straight-Line</td>
<td>Life of Lease</td>
</tr>
<tr>
<td>2006</td>
<td>$ -</td>
<td>$ -</td>
<td>Straight-Line</td>
<td>Life of Lease</td>
</tr>
</tbody>
</table>

**Subtotal:**

| Cost   | Salvage | $ 64,774 | $ 64,508 | $ 266 | $ - |

### Program Office - Beijing

#### Computer Equipment

<table>
<thead>
<tr>
<th>Year</th>
<th>Cost</th>
<th>Salvage</th>
<th>Depreciation Method</th>
<th>Life of Lease</th>
</tr>
</thead>
<tbody>
<tr>
<td>1999</td>
<td>$ 4,289</td>
<td>$ 4,289</td>
<td>Straight-Line</td>
<td>3 Years</td>
</tr>
<tr>
<td>2000</td>
<td>$ -</td>
<td>$ -</td>
<td>Straight-Line</td>
<td>3 Years</td>
</tr>
<tr>
<td>2001</td>
<td>$ 34,437</td>
<td>$ 34,437</td>
<td>Straight-Line</td>
<td>3 Years</td>
</tr>
<tr>
<td>2002</td>
<td>$ 4,087</td>
<td>$ 4,087</td>
<td>Straight-Line</td>
<td>3 Years</td>
</tr>
<tr>
<td>2003</td>
<td>$ 3,846</td>
<td>$ 3,098</td>
<td>Straight-Line</td>
<td>3 Years</td>
</tr>
<tr>
<td>2004</td>
<td>$ 63,090</td>
<td>$ 33,881</td>
<td>Straight-Line</td>
<td>3 Years</td>
</tr>
<tr>
<td>2005</td>
<td>$ 23,651</td>
<td>$ 7,883</td>
<td>Straight-Line</td>
<td>3 Years</td>
</tr>
<tr>
<td>2006</td>
<td>$ -</td>
<td>$ -</td>
<td>Straight-Line</td>
<td>3 Years</td>
</tr>
</tbody>
</table>

**Subtotal:**

| Cost   | Salvage | $ 133,400 | $ 87,675 | $ 27,691 | $ - |

#### Furniture & Equipment

<table>
<thead>
<tr>
<th>Year</th>
<th>Cost</th>
<th>Salvage</th>
<th>Depreciation Method</th>
<th>Life of Lease</th>
</tr>
</thead>
<tbody>
<tr>
<td>1999</td>
<td>$ 10,101</td>
<td>$ 10,101</td>
<td>Straight-Line</td>
<td>5 Years</td>
</tr>
<tr>
<td>2000</td>
<td>$ 5,946</td>
<td>$ 5,946</td>
<td>Straight-Line</td>
<td>5 Years</td>
</tr>
<tr>
<td>2001</td>
<td>$ 4,492</td>
<td>$ 4,342</td>
<td>Straight-Line</td>
<td>5 Years</td>
</tr>
<tr>
<td>2002</td>
<td>$ -</td>
<td>$ -</td>
<td>Straight-Line</td>
<td>5 Years</td>
</tr>
<tr>
<td>2003</td>
<td>$ 17,969</td>
<td>$ 7,994</td>
<td>Straight-Line</td>
<td>5 Years</td>
</tr>
<tr>
<td>2004</td>
<td>$ -</td>
<td>$ -</td>
<td>Straight-Line</td>
<td>5 Years</td>
</tr>
<tr>
<td>2005</td>
<td>$ -</td>
<td>$ -</td>
<td>Straight-Line</td>
<td>5 Years</td>
</tr>
<tr>
<td>2006</td>
<td>$ -</td>
<td>$ -</td>
<td>Straight-Line</td>
<td>5 Years</td>
</tr>
</tbody>
</table>

**Subtotal:**

| Cost   | Salvage | $ 38,508 | $ 28,383 | $ 3,744 | $ - |

### Leasehold Improvements

<table>
<thead>
<tr>
<th>Year</th>
<th>Cost</th>
<th>Salvage</th>
<th>Depreciation Method</th>
<th>Life of Lease</th>
</tr>
</thead>
<tbody>
<tr>
<td>1999</td>
<td>$ 56,000</td>
<td>$ 56,000</td>
<td>Straight-Line</td>
<td>Life of Lease</td>
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<tr>
<td>2000</td>
<td>$ 57,664</td>
<td>$ 57,664</td>
<td>Straight-Line</td>
<td>Life of Lease</td>
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<tr>
<td>2001</td>
<td>$ 2,047</td>
<td>$ 2,047</td>
<td>Straight-Line</td>
<td>Life of Lease</td>
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<tr>
<td>2002</td>
<td>$ -</td>
<td>$ -</td>
<td>Straight-Line</td>
<td>Life of Lease</td>
</tr>
<tr>
<td>2003</td>
<td>$ 32,729</td>
<td>$ 32,729</td>
<td>Straight-Line</td>
<td>Life of Lease</td>
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<tr>
<td>2004</td>
<td>$ -</td>
<td>$ -</td>
<td>Straight-Line</td>
<td>Life of Lease</td>
</tr>
<tr>
<td>2005</td>
<td>$ -</td>
<td>$ -</td>
<td>Straight-Line</td>
<td>Life of Lease</td>
</tr>
<tr>
<td>2006</td>
<td>$ -</td>
<td>$ -</td>
<td>Straight-Line</td>
<td>Life of Lease</td>
</tr>
</tbody>
</table>

**Subtotal:**

| Cost   | Salvage | $ 148,440 | $ 148,440 | $ - | $ - |

### Totals

| Cost   | Salvage | $ 677,386 | $ 558,826 | $ 47,598 | $ - |

---

*STATEMENT 3 Page 2 of 3*
THE ENERGY FOUNDATION
FORM 990-PF
STATEMENT FOUR
FOR THE TAX YEAR ENDED DECEMBER 31, 2006

FORM 990PF, PART I - OTHER EXPENSES

<table>
<thead>
<tr>
<th>DESCRIPTION</th>
<th>REVENUE AND EXPENSES PER BOOKS</th>
<th>NET INVESTMENT INCOME</th>
<th>ADJUSTED NET INCOME</th>
<th>DISBURSEMENTS FOR CHARITABLE PURPOSES</th>
</tr>
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<tbody>
<tr>
<td><strong>PROGRAMMATIC EXPENSES (SEE PART IX-A SUMMARY OF DIRECT CHARITABLE ACTIVITIES).</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>PROGRAM OFFICES</td>
<td></td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>CHINA SUSTAINABLE ENERGY PROGRAM - BEIJING, P R CHINA</td>
<td>$ 1,377,656</td>
<td>$ 1,374,579</td>
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<tr>
<td>CHINA SUSTAINABLE TRANSPORTATION CENTER - BEIJING, P R CHINA</td>
<td>$ 427,283</td>
<td>$ 355,252</td>
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<tr>
<td>FOUNDATION-INITIATED PROJECTS</td>
<td>$ 2,534,174</td>
<td>$ 2,408,942</td>
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<tr>
<td>CENTER-INITIATED PROJECTS</td>
<td>$ 524,810</td>
<td>$ 453,000</td>
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<tr>
<td><strong>SUBTOTAL - PROGRAMMATIC EXPENSES</strong></td>
<td>$ 4,863,923</td>
<td>-</td>
<td>-</td>
<td>$ 4,591,773</td>
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<tr>
<td><strong>GENERAL AND ADMINISTRATIVE EXPENSES</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>BANKING, INVESTMENT AND OTHER FEES</td>
<td>$ 179</td>
<td>$ 150</td>
<td>$ -</td>
<td>$ -</td>
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<tr>
<td>CONTRACT AND PROFESSIONAL SERVICES</td>
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<td></td>
<td></td>
<td></td>
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<tr>
<td>CONTRACT AND PROFESSIONAL SERVICES REIMBURSABLE EXPENSES</td>
<td>$ 3,265</td>
<td>$ 3,265</td>
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<tr>
<td>CONTRACT WORKERS - TEMPORARY AGENCY</td>
<td>$ 18,671</td>
<td>$ 16,999</td>
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<td>PAYROLL PROCESSING FEES</td>
<td>$ 3,626</td>
<td>$ 3,626</td>
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<tr>
<td>INSURANCE - DIRECTORS AND OFFICERS</td>
<td>$ 8,283</td>
<td>$ 8,283</td>
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<td></td>
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<tr>
<td>MISCELLANEOUS</td>
<td></td>
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<tr>
<td>ADVERTISING - JOB ANNOUNCEMENTS</td>
<td>$ 725</td>
<td>$ 725</td>
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<td></td>
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<tr>
<td>MEMBERSHIPS</td>
<td>$ 12,298</td>
<td>$ 12,377</td>
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<td>STAFF DEVELOPMENT</td>
<td>$ 6,509</td>
<td>$ 6,409</td>
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<td></td>
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<td>LOSS FROM ASSET DISPOSAL</td>
<td>$ 1,525</td>
<td>$ -</td>
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<tr>
<td>PRINTING, POSTAGE &amp; SUPPLIES</td>
<td></td>
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<td></td>
<td></td>
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<tr>
<td>COMPUTER SUPPLIES</td>
<td>$ 15,580</td>
<td>$ 14,699</td>
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<tr>
<td>EXPRESS MAIL SERVICE</td>
<td>$ 9,129</td>
<td>$ 8,696</td>
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<td>MESSENGER &amp; DELIVERY SERVICE</td>
<td>$ 363</td>
<td>$ 344</td>
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<td>OFFICE EQUIPMENT</td>
<td>$ 7,674</td>
<td>$ 2,483</td>
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<td>OFFICE SUPPLIES</td>
<td>$ 9,245</td>
<td>$ 8,667</td>
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<td>POSTAGE</td>
<td>$ 1,445</td>
<td>$ 1,759</td>
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<td>POSTAGE METER RENTAL</td>
<td>$ 937</td>
<td>$ 937</td>
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<td>SOFTWARE</td>
<td>$ 9,601</td>
<td>$ 8,062</td>
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<td><strong>TELECOMMUNICATIONS - COMPUTER NETWORKING</strong></td>
<td>$ 19,834</td>
<td>$ 19,432</td>
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<tr>
<td><strong>SUBTOTAL - GENERAL AND ADMINISTRATIVE EXPENSES</strong></td>
<td>$ 128,889</td>
<td>$ 150</td>
<td>$ -</td>
<td>$ 116,763</td>
</tr>
<tr>
<td><strong>TOTAL OTHER EXPENSES - LINE 23</strong></td>
<td>$ 4,992,812</td>
<td>$ 150</td>
<td>$ -</td>
<td>$ 4,708,536</td>
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<tr>
<td>DESCRIPTION OF THE PROPERTY</td>
<td>END OF YEAR - BOOK VALUE</td>
<td>END OF YEAR - FMV</td>
<td></td>
<td></td>
</tr>
<tr>
<td>----------------------------------------------</td>
<td>--------------------------</td>
<td>-------------------</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>COST</td>
<td>ACCUMULATED DEPRECIATION</td>
<td>BOOK VALUE</td>
<td>COST</td>
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<tr>
<td>SAN FRANCISCO</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>COMPUTER EQUIPMENT</td>
<td>$ 120,013</td>
<td>$ 74,159</td>
<td>$ 45,854</td>
<td>$ 120,013</td>
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<td>FURNITURE &amp; EQUIPMENT</td>
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<td>$ 171,316</td>
<td>$ 935</td>
<td>$ 172,251</td>
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<td>$ 64,774</td>
<td>$ 64,774</td>
<td>-</td>
<td>$ 64,774</td>
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<tr>
<td>SAN FRANCISCO SUBTOTAL</td>
<td>$ 357,038</td>
<td>$ 310,249</td>
<td>$ 46,789</td>
<td>$ 357,038</td>
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<tr>
<td>PROGRAM OFFICE - BEIJING</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>COMPUTER EQUIPMENT</td>
<td>$ 133,400</td>
<td>$ 115,367</td>
<td>$ 18,033</td>
<td>$ 133,400</td>
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<td>FURNITURE &amp; EQUIPMENT</td>
<td>$ 38,508</td>
<td>$ 32,127</td>
<td>6,381</td>
<td>$ 38,508</td>
</tr>
<tr>
<td>LEASEHOLD IMPROVEMENTS</td>
<td>$ 148,440</td>
<td>$ 148,440</td>
<td>-</td>
<td>$ 148,440</td>
</tr>
<tr>
<td>PROGRAM OFFICE - CHINA SUSTAINABLE TRANSPORTATION CENTER (CSTC)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>COMPUTER EQUIPMENT</td>
<td>$ 87,882</td>
<td>$ 34,432</td>
<td>$ 53,450</td>
<td>$ 87,882</td>
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<tr>
<td>FURNITURE &amp; EQUIPMENT</td>
<td>$ 20,057</td>
<td>$ 2,742</td>
<td>$ 17,315</td>
<td>$ 20,057</td>
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<tr>
<td>LEASEHOLD IMPROVEMENTS</td>
<td>$ 46,856</td>
<td>$ 46,856</td>
<td>-</td>
<td>$ 46,856</td>
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<tr>
<td>PROGRAM OFFICE - CSTC SUBTOTAL</td>
<td>$ 154,795</td>
<td>$ 84,030</td>
<td>$ 70,765</td>
<td>$ 154,795</td>
</tr>
<tr>
<td>TOTALS</td>
<td>$ 832,181</td>
<td>$ 690,213</td>
<td>$ 141,968</td>
<td>$ 832,181</td>
</tr>
</tbody>
</table>
The Energy Foundation is in its 60-month termination period under IRS Sec. 507 (b)(1)(B). The IRS has issued a determination which indicates that The Energy Foundation will be treated as a public charity described in IRC Section 509(a)(1) during its 60-month termination period beginning January 1, 2006 (see Statement 7 of Form 990-PF for IRS correspondence describing termination status). Additionally, the Form 872, "Consent to Extend the Time to Assess Tax," is attached to this statement. Accordingly, The Energy Foundation is not required to pay the excise tax on its investment income. Based upon the IRS' instructions for a 60-month termination, The Energy Foundation will continue to file the Form 990-PF until the termination period has passed.
THE ENERGY FOUNDATION - TAXPAYER ID#: 94-3126848

Consent to Extend the Time to Assess Tax

Department of the Treasury-Internal Revenue Service

Form 872
(Rev. January 2001)

Taxpayer Identification Number
76-0555299

In reply refer to:
TB/GE:7986:TKI

THE ENERGY FOUNDATION

(Name(s))

taxpayer(s) of 1012 TORNEY AVE #1 SAN FRANCISCO CA 94129
(Number, Street, City or Town, State, ZIP Code)

and the Commissioner of Internal Revenue consent and agree to the following:

(1) The amount of any Federal EXCISE TAX IMPOSED BY SECTION 4940 tax due on any return(s) made by or
(Kind of tax)

for the above taxpayer(s) for the period(s) ended (SEE "A" BELOW)

may be assessed at any time on or before (SEE "B" BELOW). However, if
(Expiration date)
a notice of deficiency in tax for any such period(s) is sent to the taxpayer(s) on or before that date, then the time for

assessing the tax will be further extended by the number of days the assessment was previously prohibited, plus 60 days.

(2) The taxpayer(s) may file a claim for credit or refund and the Service may credit or refund the tax within 6 months after

this agreement ends.

A. ANY TAXABLE YEAR WITHIN THE 60 MONTH PERIOD BEGINNING 1/1/06 AND ENDING 12/31/10.

B. ONE(1) YEAR AFTER THE DATE OF THE EXPIRATION OF TIME PRESCRIBED BY LAW FOR THE ASSESSMENT OF A
DEFICIENCY FOR THE LAST TAXABLE YEAR WITHIN THE 60 MONTH PERIOD SPECIFIED IN "A" ABOVE.

THIS CONSENT IS MADE UNDER SECTION 6501(C)(4) OF THE INTERNAL REVENUE CODE, AS PRESCRIBED BY SECTION
1.507-2(c)(5) OF THE INCOME TAX REGULATIONS, FOR THE PURPOSE OF OBTAINING AN ADVANCE RULING FOR 60 MONTH
TERMINATION OF PRIVATE FOUNDATION STATUS UNDER SECTION 507(b)(1)(B) OF THE INTERNAL REVENUE CODE.

MAKING THIS CONSENT WILL NOT DEPRIVE THE TAXPAYER(S) OF ANY APPEAL RIGHTS TO WHICH THEY WOULD OTHERWISE BE ENTITLED.

YOUR SIGNATURE HERE  →  (Date signed)

SPOUSE'S SIGNATURE  →  (Date signed)

TAXPAYER'S REPRESENTATIVE

SIGN HERE  →  (Date signed)

CORPORATE NAME

The Energy Foundation

President  2/28/06

(TITLE)  (Date signed)

CORPORATE OFFICER(S)

SIGN HERE  →  (Date signed)

INTERNAL REVENUE SERVICE SIGNATURE AND TITLE

(Division Executive Name - see instructions)  (Division Executive Title - see instructions)

BY  →  (Authorized Official Signature and Title - see instructions)

(Signature instructions are on the back of this form)  www.irs.gov

Catalog Number 207551

Form 872 (Rev. 1-2001)  Page 2 of 7
THE ENERGY FOUNDATION - TAXPAYER ID#: 94-3126848
ENERGY FOUNDATION
(EIN#94-3126848)
FY2006 ORGANIZATIONAL BUDGET

BUDGET
FY2006

REVENUE

Grants income (See Supplemental Schedule) 27,200,000
Interest income 200,000
Total Revenue 27,400,000

EXPENDITURES

Board Approved Program Expenditures

Buildings 1,150,000
Opportunity 1,100,000
Power 5,826,500
Transportation 4,764,000
Climate 3,767,500
China
Buildings and Appliances 854,614
Electric Utilities 913,842
Industry 445,000
Low Carbon Development Path 645,000
Renewable Energy 540,000
Transportation 1,300,309
Discretionary
Domestic 406,350
China 227,548
Total Grants Budget 21,940,663

Staff initiated Foundation-initiated projects

Domestic 406,350
China 227,548
Beijing Office expenditures:

Program staff 461,018
Support staff 287,073
Consultants 50,000
Rent 114,000
Telecom 24,000
Travel 125,000
Supplies 21,860
Conferences and workshops 125,000
Guidelines 15,000
Other
Total Beijing Office expenditures 1,222,951

Total foundation-initiated projects 1,856,849

Salaries and benefits

Salaries 1,414,800
Benefits
Social Security 68,914
Medicare 20,515
## FY2006 Organizational Budget

### Total salaries and benefits

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>State Unemployment Insurance</td>
<td>3,808</td>
</tr>
<tr>
<td>Group Life Insurance</td>
<td>904</td>
</tr>
<tr>
<td>Workers compensation insurance</td>
<td>25,000</td>
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<tr>
<td>Retirement plan</td>
<td>141,480</td>
</tr>
<tr>
<td>Group medical insurance</td>
<td>144,910</td>
</tr>
<tr>
<td>Dental &amp; Vision insurance</td>
<td>20,201</td>
</tr>
<tr>
<td>Long term disability</td>
<td>5,948</td>
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<tr>
<td>Cafeteria Plan</td>
<td>42,930</td>
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<tr>
<td>Transport Incentives Benefit</td>
<td>7,000</td>
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<tr>
<td>Employee Relocation Assistance</td>
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</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>1,896,410</strong></td>
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### Administrative expenditures

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<tr>
<th>Description</th>
<th>Amount</th>
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</thead>
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<tr>
<td>Accounting and legal</td>
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</tr>
<tr>
<td>Accounting &amp; audit</td>
<td>50,000</td>
</tr>
<tr>
<td>Legal</td>
<td>10,000</td>
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<tr>
<td><strong>Total</strong></td>
<td><strong>60,000</strong></td>
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<table>
<thead>
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<tbody>
<tr>
<td>Banking, investment and other fees</td>
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<tr>
<td>Bank charges</td>
<td>1,200</td>
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<tr>
<td>Interest expense</td>
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<tr>
<td><strong>Total</strong></td>
<td><strong>1,200</strong></td>
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### Contract and professional services

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</thead>
<tbody>
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<td>Annual report production</td>
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</tr>
<tr>
<td>Consultants</td>
<td>75,000</td>
</tr>
<tr>
<td>Contract workers</td>
<td>2,400</td>
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<tr>
<td>Directors' fees</td>
<td>54,000</td>
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<tr>
<td>Payroll processing fees</td>
<td>3,000</td>
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<tr>
<td><strong>Total</strong></td>
<td><strong>194,400</strong></td>
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### Depreciation and amortization

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<td>Federal excise tax</td>
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<tr>
<td>Excise taxes</td>
<td>4,000</td>
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<td>Tax filing fees</td>
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<td><strong>Total</strong></td>
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### Insurance

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
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</thead>
<tbody>
<tr>
<td>Directors &amp; officers</td>
<td>10,000</td>
</tr>
<tr>
<td>General liability</td>
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<tr>
<td><strong>Total</strong></td>
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### Miscellaneous

<table>
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</thead>
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<td>Advertising</td>
<td>500</td>
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<tr>
<td>Memberships</td>
<td>12,000</td>
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<tr>
<td>Miscellaneous</td>
<td>-</td>
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<tr>
<td>Staff development</td>
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<tr>
<td><strong>Total</strong></td>
<td><strong>18,500</strong></td>
</tr>
</tbody>
</table>

**Statement 6**

*Page 4 of 7*
# FY2006 ORGANIZATIONAL BUDGET

## Printing, postage and supplies
- Books & publications: $3,000
- Computer supplies: $15,000
- Express mail service: $9,000
- Messenger & delivery services: $500
- Office equipment: 
- Office supplies: $10,000
- Photocopier rental: $10,800
- Postage: $1,800
- Postage meter rental: $1,000
- Printing & reproduction charges: $5,000
- Software: $5,000
- Subscriptions: $2,400

Total: $63,500

## Rent, utilities and maintenance
- Maintenance & repair: $12,400
- Rent: $155,340
- Carbon offsets: $12,000

Total: $179,740

## Telecommunications
- Calling card charges: $1,200
- Computer networking: $24,000
- Mobile phone charges: $12,000
- Telephone & Fax: $9,000

Total: $46,200

## Travel and meetings
### Airfare
- Airfare - BoD: $16,000
- Airfare - EF: $100,000

Total: $116,000

### Conference & workshop fees
- $10,000

### Ground transportation
- Ground transportation - BoD: $7,800
- Ground transportation - EF: $10,000

Total: $17,800

### Lodging
- Lodging - BoD: $18,000
- Lodging - EF: $50,000

Total: $68,000

### Meals and entertainment
- Meals and entertainment - BoD: $20,000
- Meals and entertainment - EF: $20,000

Total: $40,000
FY 2006 Organizational Budget

THE ENERGY FOUNDATION - TAXPAYER ID#: 94-3126848
ENERGY FOUNDATION
(EIN#94-3126848)
FY2006 ORGANIZATIONAL BUDGET

<table>
<thead>
<tr>
<th>Budget</th>
<th>Amount</th>
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</thead>
<tbody>
<tr>
<td>Meeting space rental</td>
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<tr>
<td>Travel - Hewlett Canada</td>
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</tr>
<tr>
<td>Total travel and meetings</td>
<td>253,300</td>
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<tr>
<td>Total general &amp; administrative expenditures</td>
<td>2,732,010</td>
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<tr>
<td>Total non-capital expenditures</td>
<td>26,529,522</td>
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<tr>
<td>Cash Basis surplus from operations</td>
<td>870,478</td>
</tr>
<tr>
<td><strong>Capital Expenses</strong></td>
<td></td>
</tr>
<tr>
<td>San Francisco</td>
<td></td>
</tr>
<tr>
<td>Computer Equipment</td>
<td>27,300</td>
</tr>
<tr>
<td>Furniture &amp; fixtures</td>
<td>-</td>
</tr>
<tr>
<td>Leasehold improvements</td>
<td>-</td>
</tr>
<tr>
<td>Beijing</td>
<td></td>
</tr>
<tr>
<td>Computer Equipment</td>
<td>30,000</td>
</tr>
<tr>
<td>Furniture &amp; fixtures</td>
<td>10,000</td>
</tr>
<tr>
<td>Leasehold improvements</td>
<td>10,000</td>
</tr>
<tr>
<td>Total capital expenditures</td>
<td>77,300</td>
</tr>
<tr>
<td><strong>Total cash surplus</strong></td>
<td>793,178</td>
</tr>
</tbody>
</table>
The Energy Foundation  
EIN#94-3126848  
FY2006 Anticipated Revenue by Donor

<table>
<thead>
<tr>
<th>Name</th>
<th>Tax Status</th>
<th>FY2006 Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Pew Charitable Trusts</td>
<td>Public</td>
<td>$1,250,000</td>
</tr>
<tr>
<td>TOSA Foundation</td>
<td>Other</td>
<td>$250,000</td>
</tr>
<tr>
<td>MacArthur Foundation</td>
<td>Other</td>
<td>$5,000,000</td>
</tr>
<tr>
<td>Hewlett Foundation</td>
<td>Other</td>
<td>$7,000,000</td>
</tr>
<tr>
<td>McKnight Foundation</td>
<td>Other</td>
<td>$5,000,000</td>
</tr>
<tr>
<td>Mertz-Gilmore Foundation</td>
<td>Other</td>
<td>$1,700,000</td>
</tr>
<tr>
<td>Packard Foundation</td>
<td>Other</td>
<td>$7,000,000</td>
</tr>
<tr>
<td>Interest Income</td>
<td></td>
<td>$200,000</td>
</tr>
<tr>
<td><strong>Total Anticipated Revenue</strong></td>
<td></td>
<td><strong>$27,400,000</strong></td>
</tr>
</tbody>
</table>
Grantee: 
Alliance for Clean Energy New York 
194 Washington Ave, Suite 605 
Albany, NY 12210

Award Date: 6/12/2006  
Grant Award: $50,000

Purpose: 
To promote the use of clean, renewable electricity technologies and energy efficiency in New York state.

Total Amount Released as of December 31, 2006: $49,000

Date of Most Recent Report Received: 7/1/2007

Amount Expended as of Date of Grantee's Report: $50,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
REPORT OF EXPENDITURE RESPONSIBILITY GRANTS
FOR THE TAX YEAR ENDED DECEMBER 31, 2006

Grantee:
Asian Development Bank
6 ADB Avenue
Mandaluyong City
PO Box 789
Mandaluyong City, 0401

Award Date: 2/10/2005 Grant Award: $34,979.07

Purpose:
To launch the China Air Quality Management Network, which will share, formulate, and implement "best practice" air quality management policy pilots in several Chinese cities.

Total Amount Released as of December 31, 2006: $35,000

Date of Most Recent Report Received: 8/1/2006

Amount Expended as of Date of Grantee’s Report: $34,979.07

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
REPORT OF EXPENDITURE RESPONSIBILITY GRANTS
FOR THE TAX YEAR ENDED DECEMBER 31, 2006

Grantee:
Asian Development Bank
6 ADB Avenue
Mandaluyong City
PO Box 789
Mandaluyong City, 0401

Award Date: 10/13/2006  Grant Award: $75,000

Purpose:
To support the establishment of the China Air Quality Management Network.

Total Amount Released as of December 31, 2006: $0

Date of Most Recent Report Received: N/A

Amount Expended as of Date of Grantee’s Report: N/A

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the
grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee,
no part of the grant was used for other than the intended purpose and no verification
efforts have been considered necessary.
Grantee: Association of Mayors of Guangxi
58 Jinhu Road
Nanning, 530028

Award Date: 8/23/2006  Grant Award: $100,000

Purpose: To develop policies encouraging municipal BRT development in Guangxi Province.

Total Amount Released as of December 31, 2006: $50,000

Date of Most Recent Report Received: 10/31/2007

Amount Expended as of Date of Grantee’s Report: $100,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Beijing Association of Sustainable Development
15th Floor, Venture Plaza B, No. 11
Anxiangbeili, Chaoyang District
Beijing, 100101

Award Date: 3/24/2006  Grant Award: $40,000

Purpose:
To accelerate the implementation of air conditioner energy efficiency standards in Beijing and Shanghai.

Total Amount Released as of December 31, 2006: $35,000

Date of Most Recent Report Received: 4/29/2007

Amount Expended as of Date of Grantee's Report: $40,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
REPORT OF EXPENDITURE RESPONSIBILITY GRANTS
FOR THE TAX YEAR ENDED DECEMBER 31, 2006

Grantee:
Beijing Automotive Research Institute Co., Ltd.
9 South Fangzhuang Road, Fengtai District
Beijing, 100079

Award Date: 11/22/2004 Grant Award: $120,000

Purpose:
To assist the Beijing Environmental Protection Bureau with developing an action plan to retrofit Beijing's diesel vehicle fleet.

Total Amount Released as of December 31, 2006: $120,000

Date of Most Recent Report Received: 8/1/2006

Amount Expended as of Date of Grantee's Report: $120,000

Verification of Most Recent Report:
Based upon the grantee's final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Beijing Automotive Research Institute Co., Ltd.
9 South Fangzhuang Road, Fengtai District
Beijing, 100079

Award Date: 9/7/2006  Grant Award: $80,000

Purpose:
To develop an action plan to retrofit Beijing’s diesel vehicle fleet.

Total Amount Released as of December 31, 2006: $40,000

Date of Most Recent Report Received: 10/23/2007

Amount Expended as of Date of Grantee’s Report: $80,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:  
Beijing Electric Light Source Research Institute  
No. 3, Dabeiyao Changpocun, Chaoyang District  
Beijing, 100022  

Award Date:  7/28/2005   
Grant Award: $40,000  

Purpose:  
To support an assessment of compliance rates with energy-efficient lighting standards in China.  

Total Amount Released as of December 31, 2006: $40,000  

Date of Most Recent Report Received: 8/3/2006  

Amount Expended as of Date of Grantee’s Report: $40,000  

Verification of Most Recent Report:  
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.  

Diversion:  
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Beijing Energy Efficiency Center
B-1509, Guohong Mansion, Muxidi Beili Jia 11
Xicheng District
Beijing, 100038

Award Date: 6/3/2004  Grant Award: $150,000

Purpose:
To develop an integrated carbon emissions and energy demand modeling tool aiming to demonstrate the substantial potential of energy efficiency and renewable energy in helping China achieve its 2020 economic development goals.

Total Amount Released as of December 31, 2006: $150,000

Date of Most Recent Report Received: 5/24/2005

Amount Expended as of Date of Grantee's Report: $150,000

Verification of Most Recent Report:
Based upon the grantee's final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Beijing Energy Efficiency Center
B-1509, Guohong Mansion, Muxidi Beili Jia 11
Xicheng District
Beijing, 100038

Award Date: 5/3/2005                Grant Award: $32,000

Purpose:
To develop national Demand Side Management (DSM) policies, to incorporate DSM into
power sector reforms, and to coordinate provincial DSM pilots.

Total Amount Released as of December 31, 2006: $32,000

Date of Most Recent Report Received: 2/28/2006

Amount Expended as of Date of Grantee's Report: $32,000

Verification of Most Recent Report:
Based upon the grantee's final, or most recent report shown, the entire amount of the
grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee,
no part of the grant was used for other than the intended purpose and no verification
efforts have been considered necessary.
Grantee:
Beijing Energy Efficiency Center
B-1509, Guohong Mansion, Muxidi Beili Jia 11
Xicheng District
Beijing, 100038

Award Date: 11/7/2005
Grant Award: $100,000

Purpose:
To support a team of leading energy policy research institutes, led by the Beijing Energy Efficiency Center, to develop a "bottom-up" modeling tool in order to implement the National Energy Plan 2020 and help China achieve its 2020 development goals through sustainable energy development.

Total Amount Released as of December 31, 2006: $90,000

Date of Most Recent Report Received: 11/2/2006

Amount Expended as of Date of Grantee's Report: $100,000

Verification of Most Recent Report:
Based upon the grantee's final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.

The Energy Foundation - Taxpayer ID#: 94-3126848
Form 990-PF
Statement 8
Page 11 of 155
Grantee:
Beijing Energy Efficiency Center
B-1509, Guohong Mansion, Muxidi Beili Jia 11
Xicheng District
Beijing, 100038

Award Date: 6/1/2006  Grant Award: $55,000

Purpose:
To develop national demand-side management (DSM) policies, advocate the reform of power sector regulatory practices to encourage DSM, and coordinate provincial DSM pilot projects.

Total Amount Released as of December 31, 2006: $50,000

Date of Most Recent Report Received: 4/3/2007

Amount Expended as of Date of Grantee’s Report: $55,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee: Beijing Jiaotong University
No. 3 Shangyuancun
Haidan District
Beijing, 100044

Award Date: 1/12/2006
Grant Award: $10,000

Purpose:
To conduct an on-road test of the Toyota Prius in order to determine the suitability of its use as a taxi in Shenzhen.

Total Amount Released as of December 31, 2006: $10,000

Date of Most Recent Report Received: 2/28/2006

Amount Expended as of Date of Grantee's Report: $10,000

Verification of Most Recent Report:
Based upon the grantee's final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:  
Beijing Oriental Environment Research Institute  
A309, Taide Business Garden, No. 10 Gaoyuan Street  
Chaoyang District  
Beijing, 100029

Award Date: 9/12/2005  
Grant Award: $35,000

Purpose:  
To support analysis of international best practices for enforcing China’s new Renewable Energy Law.

Total Amount Released as of December 31, 2006: $35,000

Date of Most Recent Report Received: 9/4/2006

Amount Expended as of Date of Grantee’s Report: $35,000

Verification of Most Recent Report:  
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:  
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:  
Beijing Transportation Development Research Center  
Rm 416, No.9 Building, Beibinhe Rd.  
Xuan Wu District  
Beijing, 100055

Award Date: 4/22/2005  
Grant Award: $150,000

Purpose:  
To assist Beijing Municipal Committee of Communication with developing its first bus rapid transit (BRT) operation/management plan and implementing the near-term BRT network development plan.

Total Amount Released as of December 31, 2006: $140,000

Date of Most Recent Report Received: 2/1/2007

Amount Expended as of Date of Grantee's Report: $150,000

Verification of Most Recent Report:  
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:  
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Beijing Vehicle Tailpipe Emissions Management Center
No.5 Wanliu Middle Road, Haidian District
Beijing, 100089

Award Date: 11/22/2004  Grant Award: $55,000

Purpose:
To support the Beijing Municipal Environmental Protection Bureau to establish enforcement mechanisms for implementing Euro-III vehicle emissions standards and to encourage the use of cleaner vehicles.

Total Amount Released as of December 31, 2006: $55,000

Date of Most Recent Report Received: 8/1/2006

Amount Expended as of Date of Grantee’s Report: $55,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Center for Renewable Energy Development, ERI
Center for Renewable Energy Development, Energy Research Institute
B1418 Guohong Mansion, Jia (A) 11, Muxidi Beili,
Xicheng District
Beijing, 100038

Award Date: 2/4/2005  Grant Award: $80,000

Purpose:
To generate policy recommendations and design a roadmap for the development of a wind power industry in China.

Total Amount Released as of December 31, 2006: $80,000

Date of Most Recent Report Received: 1/23/2006

Amount Expended as of Date of Grantee’s Report: $80,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Chang An University
P.O. Box 487, Chang An University
Middle of South 2nd Ring Road
Xi'an, 710064

Award Date: 11/8/2005Grant Award: $120,000

Purpose:
To support the Xi'an municipal government to construct bus rapid transit (BRT) corridors and network plans.

Total Amount Released as of December 31, 2006: $115,000

Date of Most Recent Report Received: 7/17/2007

Amount Expended as of Date of Grantee's Report: $120,000

Verification of Most Recent Report:
Based upon the grantee's final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Chengdu Institute of Urban Planning & Design
No. 2 Wuding Road
Chengdu, 610081

Award Date: 12/6/2004

Grant Award: $100,000

Purpose:
To assist the Chengdu municipal government with developing a detailed implementation plan for bus rapid transit demonstration.

Total Amount Released as of December 31, 2006: $100,000

Date of Most Recent Report Received: 1/11/2006

Amount Expended as of Date of Grantee’s Report: $100,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
China Academy of Transportation Science
Huixinli 240, Chaoyang District
Beijing, 100029

Award Date: 4/13/2004  Grant Award: $100,000

Purpose:
To support the development of a national sustainable transportation strategy for China and disseminate it to senior central government leaders and ministries.

Total Amount Released as of December 31, 2006: $100,000

Date of Most Recent Report Received: 5/15/2006

Amount Expended as of Date of Grantee’s Report: $100,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
REPORT OF EXPENDITURE RESPONSIBILITY GRANTS
FOR THE TAX YEAR ENDED DECEMBER 31, 2006

Grantee:
China Academy of Urban Planning and Design
No. 5 Chegongzhuang West Road
Beijing, 100044

Award Date: 5/3/2005  Grant Award: $80,000

Purpose:
To support the Ministry of Construction (MOC) to develop policies to promote Bus Rapid Transit system development in Chinese cities.

Total Amount Released as of December 31, 2006: $80,000

Date of Most Recent Report Received: 9/6/2006

Amount Expended as of Date of Grantee's Report: $80,000

Verification of Most Recent Report:
Based upon the grantee's final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
China Automotive Technology and Research Center
Tianshanlukou, Chenglinzhuangdao
P.O. Box No. 59
Tianjin, 300162

Award Date: 10/28/2004     Grant Award: $120,000

Purpose:
To develop an enforcement mechanism and Euro IV fuel quality standard to ensure the implementation of Beijing local Euro III and Euro IV vehicle emission standards.

Total Amount Released as of December 31, 2006: $120,000

Date of Most Recent Report Received: 8/2/2006

Amount Expended as of Date of Grantee’s Report: $120,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
China Automotive Technology and Research Center
Tianshanlukou, Chenglinzhuangdao
P.O. Box No. 59
Tianjin, 300162

Award Date: 12/13/2004      Grant Award: $100,000

Purpose:
To analyze environmental and energy savings benefits from establishing a market-based
financial incentive mechanism for advanced vehicle technologies and transportation
system reform.

Total Amount Released as of December 31, 2006: $100,000

Date of Most Recent Report Received: 5/15/2006

Amount Expended as of Date of Grantee’s Report: $100,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the
grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee,
no part of the grant was used for other than the intended purpose and no verification
efforts have been considered necessary.
Grantee:
China Automotive Technology and Research Center
Tianshanlukou, Chenglinzhuangdao
P.O. Box No. 59
Tianjin, 300162

Award Date: 4/21/2005   Grant Award: $100,000

Purpose:
To assist the National Development and Reform Commission with developing a mandatory vehicle fuel efficiency labeling system to promote consumer purchases of fuel efficient vehicles.

Total Amount Released as of December 31, 2006: $100,000

Date of Most Recent Report Received: 9/6/2006

Amount Expended as of Date of Grantee’s Report: $100,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
China Automotive Technology and Research Center
Tianshanlukou, Chenglinzhuangdao
P.O. Box No. 59
Tianjin, 300162

Award Date: 11/7/2005  Grant Award: $150,000

Purpose:
To support the National Development and Reform Commission in its efforts to develop a light-duty truck fuel economy standard.

Total Amount Released as of December 31, 2006: $150,000

Date of Most Recent Report Received: 8/24/2006

Amount Expended as of Date of Grantee’s Report: $150,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:  
China Automotive Technology and Research Center  
Tianshanlukou, Chenglinzhuangdao  
P.O. Box No. 59  
Tianjin, 300162

Award Date: 11/28/2005  
Grant Award: $120,000

Purpose:  
To develop a fuel tax for China aimed at encouraging a shift to clean fuels and advanced vehicle technologies.

Total Amount Released as of December 31, 2006: 115,000

Date of Most Recent Report Received: 1/25/2007

Amount Expended as of Date of Grantee’s Report: $120,000

Verification of Most Recent Report:  
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:  
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
China Automotive Technology and Research Center
Tianshanlukou, Chenglinzhuangdao
P.O. Box No. 59
Tianjin, 300162

Award Date: 9/11/2006  Grant Award: $80,000

Purpose:
To develop a heavy-duty vehicle fuel economy standard.

Total Amount Released as of December 31, 2006: $0

Date of Most Recent Report Received: N/A

Amount Expended as of Date of Grantee’s Report: $0

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
**Grantee:**
China Automotive Technology and Research Center
Tianshanlukou, Chenglinzhuangdao
P.O. Box No. 59
Tianjin, 300162

**Award Date:** 12/4/2006  
**Grant Award:** $100,000

**Purpose:**
To develop a vehicle sales tax that favors purchases of fuel efficient and cleaner-burning vehicles.

**Total Amount Released as of December 31, 2006:** $0

**Date of Most Recent Report Received:** N/A

**Amount Expended as of Date of Grantee’s Report:** $0

**Verification of Most Recent Report:**
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

**Diversion:**
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
China Automotive Technology and Research Center
Tianshanlukou, Chenglinzhuangdao
P.O. Box No. 59
Tianjin, 300162

Award Date: 12/6/2006
Grant Award: $150,000

Purpose:
To support the development of a fuel tax in China to encourage cleaner, low-sulfur vehicle fuels and the deployment of fuel efficient, advanced vehicle technologies.

Total Amount Released as of December 31, 2006: $0

Date of Most Recent Report Received: N/A

Amount Expended as of Date of Grantee's Report: $0

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
China Automotive Technology and Research Center
Tianshanlukou, Chenglinzhuangdao
P.O. Box No. 59
Tianjin, 300162

Award Date: 12/6/2006
Grant Award: $75,000

Purpose:
To evaluate the implementation to date of China’s light-duty vehicle fuel economy standards.

Total Amount Released as of December 31, 2006: $70,000

Date of Most Recent Report Received: N/A

Amount Expended as of Date of Grantee’s Report: $0

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
REPORT OF EXPENDITURE RESPONSIBILITY GRANTS
FOR THE TAX YEAR ENDED DECEMBER 31, 2006

Grantee:
China Building Energy Efficiency Association
1 Xinhua Road, Nanyuan
Beijing, 100076

Award Date: 11/4/2005  Grant Award: $55,000

Purpose:
To develop national reach building codes.

Total Amount Released as of December 31, 2006: $50,000

Date of Most Recent Report Received: 4/25/2007

Amount Expended as of Date of Grantee’s Report: $55,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:  
China Certification Center for Energy Conservation Product  
No. 4 Zhichun Road, Haidian District  
Beijing, 100088

Award Date: 5/3/2004  
Grant Award: $40,000

Purpose:  
To assist China in developing a minimum energy efficiency standard for power supplies.

Total Amount Released as of December 31, 2006: $40,000

Date of Most Recent Report Received: 1/10/2006

Amount Expended as of Date of Grantee’s Report: $40,000

Verification of Most Recent Report:  
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:  
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
REPORT OF EXPENDITURE RESPONSIBILITY GRANTS
FOR THE TAX YEAR ENDED DECEMBER 31, 2006

Grantee:
China Certification Center for Energy Conservation Product
No. 4 Zhichun Road, Haidian District
Beijing, 100088
P.R. CHINA

Award Date: 4/13/04  Grant Award: $50,000

Purpose:
To develop a government procurement policy framework and implementation regulations.

Total Amount Released as of December 31, 2006: $50,000

Date of Most Recent Report Received: 4/1/05

Amount Expended as of Date of Grantee’s Report: $50,000

Verification of Most Recent Report:
Based upon the grantee's final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
China Certification Center for Energy Conservation Product
No. 4 Zhichun Road, Haidian District
Beijing, 100088

Award Date: 12/13/2004  Grant Award: $40,000

Purpose:
To support development of an energy efficiency standard and labeling program for power supplies.

Total Amount Released as of December 31, 2006: $40,000

Date of Most Recent Report Received: 2/19/2006

Amount Expended as of Date of Grantee’s Report: $40,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
China Certification Center for Energy Conservation Product
No. 4 Zhichun Road, Haidian District
Beijing, 100088
P.R. CHINA

Award Date: 3/30/05     Grant Award: $20,000

Purpose:
To support China's efforts in developing a set-top box energy efficiency standard and label.

Total Amount Released as of December 31, 2006: $20,000

Date of Most Recent Report Received: 4/21/06

Amount Expended as of Date of Grantee’s Report: $20,000

Verification of Most Recent Report:
Based upon the grantee's final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
China Electric Power Promotion Council
Room 503, Zonghe Building, No.1, Ertiao, Baiguanglu Road, Xuanwu District
Beijing, 100761

Award Date: 9/27/2006  Grant Award: $50,000

Purpose:
To identify least-cost ways to meet China’s growing energy demand using integrated
resource planning (IRP), build IRP capacity in China, and select pilot cities to
demonstrate the use of IRP principles in planning power sector development.

Total Amount Released as of December 31, 2006: $30,000

Date of Most Recent Report Received: 7/30/2007

Amount Expended as of Date of Grantee’s Report: $50,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the
grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee,
no part of the grant was used for other than the intended purpose and no verification
efforts have been considered necessary.
Grantee:
China Energy Conservation Association
No. 18, Bei San Huan Dong Lu
Beijing, 100013

Award Date: 12/13/2004
Grant Award: $20,000

Purpose:
To promote industrial sector Energy Efficiency Agreements nationwide through an information exchange platform.

Total Amount Released as of December 31, 2006: $20,000

Date of Most Recent Report Received: 3/1/2006

Amount Expended as of Date of Grantee’s Report: $20,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:  
China Energy Conservation Association  
No. 18, Bei San Huan Dong Lu  
Beijing, 100013  

Award Date: 3/24/2006  
Grant Award: $18,000  

Purpose:  
To promote the nationwide use of energy efficiency agreements in the industrial sector through an information exchange platform.  

Total Amount Released as of December 31, 2006: $15,000  

Date of Most Recent Report Received: 7/9/2007  

Amount Expended as of Date of Grantee's Report: $18,000  

Verification of Most Recent Report:  
Based upon the grantee's final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.  

Diversion:  
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
China Energy Conservation Investment Corporation
Building 1, Room 2-702, Beijing Image,
No. 115, Fucheng Road
Haidian District, Beijing 100036

Award Date: 5/18/2005 Grant Award: $35,000

Purpose:
To support development of fiscal and tax policies for industrial sector energy efficiency.

Total Amount Released as of December 31, 2006: $35,000

Date of Most Recent Report Received: 5/25/2006

Amount Expended as of Date of Grantee’s Report: $35,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:  
China Energy Research Society  
No. 54 Sanli he Road, Rm. 469  
Xicheng District  
Beijing, 100045

Award Date: 9/29/2005  
Grant Award: $50,000

Purpose:  
To publish and distribute policy recommendations developed by China Sustainable Energy Program (CSEP) grantees to senior policy decision-makers at the central and local government levels.

Total Amount Released as of December 31, 2006: $50,000

Date of Most Recent Report Received: 5/15/2006

Amount Expended as of Date of Grantee’s Report: $50,000

Verification of Most Recent Report:  
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:  
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
China Energy Research Society
No. 54 Sanli he Road, Rm. 469
Xicheng District
Beijing, 100045

Award Date: 8/11/2006 Grant Award: $60,000

Purpose:
To publish and distribute policy recommendations developed by China Sustainable Energy Program (CSEP) grantees to senior policy decision-makers at the central and local government levels.

Total Amount Released as of December 31, 2006: $30,000

Date of Most Recent Report Received: 7/26/2007

Amount Expended as of Date of Grantee’s Report: $60,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
**Grantee:**
China National Institute of Standardization  
No. 4, Zhichun Road, Haidian District  
Beijing, 100088

**Award Date:** 2/7/2005  
**Grant Award:** $60,000

**Purpose:**
To design implementation guidelines for energy information labels for air conditioners.

**Total Amount Released as of December 31, 2006:** $60,000

**Date of Most Recent Report Received:** 1/6/2006

**Amount Expended as of Date of Grantee’s Report:** $60,000

**Verification of Most Recent Report:**
Based upon the grantee's final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

**Diversion:**
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
China National Institute of Standardization
No. 4, Zhichun Road, Haidian District
Beijing, 100088

Award Date: 3/31/2005  Grant Award: $40,000

Purpose:
To develop monitoring and enforcement mechanisms for implementing appliance efficiency standards in China.

Total Amount Released as of December 31, 2006: $40,000

Date of Most Recent Report Received: 4/21/2006

Amount Expended as of Date of Grantee’s Report: $40,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
China National Institute of Standardization
No. 4, Zhichun Road, Haidian District
Beijing, 100088

Award Date: 7/28/2005       Grant Award: $50,000

Purpose:
To support China's efforts to develop "reach" energy efficiency standards for industrial pumps.

Total Amount Released as of December 31, 2006: $45,000

Date of Most Recent Report Received: 10/31/2006

Amount Expended as of Date of Grantee’s Report: $50,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:  
China National Institute of Standardization  
No. 4, Zhichun Road, Haidian District  
Beijing, 100088

**Award Date:** 7/28/2005  
**Grant Award:** $40,000

**Purpose:**  
To evaluate the implementation of China's mandatory energy efficiency labeling program.

**Total Amount Released as of December 31, 2006:** $35,000

**Date of Most Recent Report Received:** 12/18/2006

**Amount Expended as of Date of Grantee’s Report:** $40,000

**Verification of Most Recent Report:**  
Based upon the grantee's final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

**Diversion:**  
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
REPORT OF EXPENDITURE RESPONSIBILITY GRANTS
FOR THE TAX YEAR ENDED DECEMBER 31, 2006

Grantee:
China National Institute of Standardization
No. 4, Zhichun Road, Haidian District
Beijing, 100088

Award Date: 11/4/2005  Grant Award: $8,000

Purpose:
To translate and publish a guidebook for appliance standards and labels.

Total Amount Released as of December 31, 2006: $8,000

Date of Most Recent Report Received: 9/15/2006

Amount Expended as of Date of Grantee’s Report: $8,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the
grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee,
no part of the grant was used for other than the intended purpose and no verification
efforts have been considered necessary.
Grantee:
China National Institute of Standardization
No. 4, Zhichun Road, Haidian District
Beijing, 100088

Award Date: 11/4/2005  Grant Award: $36,000

Purpose:
To develop energy efficiency standards and labels for variable-speed air conditioners.

Total Amount Released as of December 31, 2006: $31,000

Date of Most Recent Report Received: 12/25/2006

Amount Expended as of Date of Grantee’s Report: $36,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:  
China National Institute of Standardization  
No. 4, Zhichun Road, Haidian District  
Beijing, 100088

Award Date: 7/5/2006  
Grant Award: $40,000

Purpose:  
To develop monitoring and enforcement mechanisms to improve the implementation of appliance energy efficiency standards in China.

Total Amount Released as of December 31, 2006: $35,000

Date of Most Recent Report Received: 7/30/2007

Amount Expended as of Date of Grantee's Report: $40,000

Verification of Most Recent Report:  
Based upon the grantee's final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:  
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
REPORT OF EXPENDITURE RESPONSIBILITY GRANTS
FOR THE TAX YEAR ENDED DECEMBER 31, 2006

Grantee:
China National Institute of Standardization
No. 4, Zhichun Road, Haidian District
Beijing, 100088

Award Date: 8/2/2006  Grant Award: $30,000

Purpose:
To develop standards governing the amount of energy consumed to produce key industrial products.

Total Amount Released as of December 31, 2006: $25,000

Date of Most Recent Report Received: 7/31/2007

Amount Expended as of Date of Grantee’s Report: $30,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
China National Institute of Standardization
No. 4, Zhichun Road, Haidian District
Beijing, 100088

Award Date: 11/30/2006  Grant Award: $45,000

Purpose:
To assist China in the revision of the refrigerator energy efficiency “reach” standard.

Total Amount Released as of December 31, 2006: $40,000

Date of Most Recent Report Received: N/A

Amount Expended as of Date of Grantee’s Report: $0

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
China National Petroleum and Chemical Planning Institute
Building No.16, QiQu, Hepingli
Beijing, 100013

Award Date: 11/29/2004  
Grant Award: $100,000

Purpose:
To assist the National Development and Reform Commission with developing a national alternative fuel development strategy.

Total Amount Released as of December 31, 2006: $100,000

Date of Most Recent Report Received: 8/1/2006

Amount Expended as of Date of Grantee’s Report: $100,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
China Productivity Centre for Machinery
No. 2 Shouti South Rc.
Haidian District
Beijing, 100044

Award Date: 12/7/2006  Grant Award: $50,000

Purpose:
To develop an aggressive service station emissions control strategy for Beijing.

Total Amount Released as of December 31, 2006: $0

Date of Most Recent Report Received: N/A

Amount Expended as of Date of Grantee's Report: $0

Verification of Most Recent Report:
Based upon the grantee's final, or most recent report shown, the entire amount of the
grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee,
no part of the grant was used for other than the intended purpose and no verification
efforts have been considered necessary.
REPORT OF EXPENDITURE RESPONSIBILITY GRANTS
FOR THE TAX YEAR ENDED DECEMBER 31, 2006

Grantee:
China Urban Public Transport Association
No. 2, South Yuquan Road
Beijing, 100040

Award Date: 11/28/2006 Grant Award: $50,000

Purpose:
To support CUPTA in establishing a bus rapid transit (BRT) Sub-Association and to organize a BRT training program.

Total Amount Released as of December 31, 2006: $45,000

Date of Most Recent Report Received: 9/24/2007

Amount Expended as of Date of Grantee's Report: $50,000

Verification of Most Recent Report:
Based upon the grantee's final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Chinese Academy for Environmental Planning
8 Dayangfang Road
Chaoyang District
Beijing, 100012

Award Date: 3/24/2006  Grant Award: $100,000

Purpose:
To redesign China's pollution levy in order to bolster implementation incentives for the Top-1000 Enterprises Energy-Efficiency Program.

Total Amount Released as of December 31, 2006: $95,000

Date of Most Recent Report Received: 4/30/2007

Amount Expended as of Date of Grantee's Report: $100,000

Verification of Most Recent Report:
Based upon the grantee's final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Chinese Academy for Environmental Planning
8 Dayangfang Road
Chaoyang District
Beijing, 100012

Award Date: 8/1/2006  Grant Award: $60,000

Purpose:
To catalyze comprehensive environmental regulatory reform through an assessment of
China’s State Environmental Protection Administration, provincial environmental
protection bureaus, and international best practice recommendations.

Total Amount Released as of December 31, 2006: $55,000

Date of Most Recent Report Received: 6/11/2007

Amount Expended as of Date of Grantee’s Report: $60,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the
grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee,
no part of the grant was used for other than the intended purpose and no verification
efforts have been considered necessary.
REPORT OF EXPENDITURE RESPONSIBILITY GRANTS
FOR THE TAX YEAR ENDED DECEMBER 31, 2006

Grantee:
Chinese Academy of Social Sciences
Institute of Quantitative and Technical Economics
No. 5 Jianguomennei Dajie
Beijing, 100732

Award Date: 6/6/2006                Grant Award: $60,000

Purpose:
To support the development of local, regional, and sectoral action plans and an advanced energy technology development roadmap to direct China’s economy toward a less-energy-intensive development path.

Total Amount Released as of December 31, 2006: $55,000

Date of Most Recent Report Received: 6/14/2007

Amount Expended as of Date of Grantee’s Report: $60,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Chinese Research Academy of Environmental Sciences
No. 8, Dayangfang Street
Anwai, Beiyuan, Chaoyang District
Beijing, 100012

Award Date: 8/19/2005        Grant Award: $70,000

Purpose:
To develop policies and regulations to implement a generation performance standards-based cap-and-trade program on thermal power plant emissions in order to improve the energy efficiency of those plants.

Total Amount Released as of December 31, 2006: $60,000

Date of Most Recent Report Received: 2/9/2007

Amount Expended as of Date of Grantee's Report: $70,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Chinese Research Academy of Environmental Sciences
Vehicle Emission Control Center, State Environment Protection Administration
No. 8, Dayangfang Street
Anwai, Beiyuan, Chaoyang District
Beijing, 100012

Award Date: 9/11/2006  Grant Award: $90,000

Purpose:
To design and implement a plan for introducing low-sulfur fuels in China.

Total Amount Released as of December 31, 2006: $45,000

Date of Most Recent Report Received: 10/23/2007

Amount Expended as of Date of Grantee's Report: $90,000

Verification of Most Recent Report:
Based upon the grantee's final, or most recent report shown, the entire amount of the
grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee,
no part of the grant was used for other than the intended purpose and no verification
efforts have been considered necessary.
Grantee:
Chongqing Construction Technology Development Center
69 Shangqinshi Road, 7th Floor
Yuzhong District
Chongqing, 400015

Award Date: 2/7/2005    Grant Award: $50,000

Purpose:
To assist China in developing incentive policies and market-driven programs for building code implementation in the Hot-Summer Cold-Winter region.

Total Amount Released as of December 31, 2006: $50,000

Date of Most Recent Report Received: 1/17/2006

Amount Expended as of Date of Grantee’s Report: $50,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
REPORT OF EXPENDITURE RESPONSIBILITY GRANTS
FOR THE TAX YEAR ENDED DECEMBER 31, 2006

Grantee:
Chongqing Construction Technology Development Center
69 Shangqinshi Road, 7th Floor
Yuzhong District
Chongqing, 400015

Award Date: 3/24/2006  Grant Award: $50,000

Purpose:
To establish local regulations and policies for building energy code compliance in Chongqing.

Total Amount Released as of December 31, 2006: $45,000

Date of Most Recent Report Received: 3/23/2007

Amount Expended as of Date of Grantee’s Report: $50,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
REPORT OF EXPENDITURE RESPONSIBILITY GRANTS
FOR THE TAX YEAR ENDED DECEMBER 31, 2006

Grantee:
Chongqing University
Shang Bei Street 81#
Sha Ping Ba District
Chongqing, 400045

Award Date: 11/28/2006  Grant Award: $60,000

Purpose:
To develop energy performance standards for both central air conditioners and large-scale commercial buildings in the Hot-Summer Cold-Winter (Central China) region.

Total Amount Released as of December 31, 2006: $40,000

Date of Most Recent Report Received: 6/19/2007

Amount Expended as of Date of Grantee's Report: $55,000

Verification of Most Recent Report:
Based upon the grantee's final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Collaborative Labeling and Appliance Standards Program
1414 G Street, SE
Washington, DC 20003

Award Date: 12/5/2005  Grant Award: $10,000

Purpose:
To translate and publish a guidebook for appliance standards and labels.

Total Amount Released as of December 31, 2006: $10,000

Date of Most Recent Report Received: 8/4/2006

Amount Expended as of Date of Grantee’s Report: $10,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the
grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee,
no part of the grant was used for other than the intended purpose and no verification
efforts have been considered necessary.
Grantee:
Colorado Farm Bureau Federation
9177 E Mineral Circle
Centennial, CO 80112

Award Date: 5/25/2005  Grant Award: $30,000

Purpose:
To educate rural communities on the benefits of renewable energy development.

Total Amount Released as of December 31, 2006: $30,000

Date of Most Recent Report Received: 10/5/2006

Amount Expended as of Date of Grantee's Report: $30,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Deutsche Umwelthilfe
Fritz-Reichle-RIng 4
Rodolfzell, 78315

Award Date: 8/16/2006          Grant Award: $15,000

Purpose:
To support a workshop on policies to reduce greenhouse gas emissions from vehicles.

Total Amount Released as of December 31, 2006: $15,000

Date of Most Recent Report Received: 12/6/2006

Amount Expended as of Date of Grantee’s Report: $15,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the
grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee,
no part of the grant was used for other than the intended purpose and no verification
efforts have been considered necessary.
Grantee:  
Development Research Center of the State Council  
No. 225 Chaoyangmen Nei Dajie, Dongcheng District  
Beijing, 100010

Award Date: 5/18/2005  
Grant Award: $40,000

Purpose:  
To develop national tax and fiscal policies to promote clean energy technology investment.

Total Amount Released as of December 31, 2006: $40,000

Date of Most Recent Report Received: 7/14/2006

Amount Expended as of Date of Grantee's Report: $40,000

Verification of Most Recent Report:  
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:  
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Development Research Center of the State Council
No. 225 Chaoyangmen Nei Dajie, Dongcheng District
Beijing, 100010

Award Date: 9/16/2005  Grant Award: $40,000

Purpose:
To provide central government energy tax and fiscal policy capacity building.

Total Amount Released as of December 31, 2006: $40,000

Date of Most Recent Report Received: 7/14/2006

Amount Expended as of Date of Grantee’s Report: $40,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
**Grantee:**
Development Research Center of the State Council  
No. 225 Chaoyangmen Nei Dajie, Dongcheng District  
Beijing, 100010

**Award Date:** 11/4/2005  
**Grant Award:** $50,000

**Purpose:**
To submit policy recommendations developed by grantees in all program areas to the State Council and other senior government ministries.

**Total Amount Released as of December 31, 2006:** $50,000

**Date of Most Recent Report Received:** 11/23/2006

**Amount Expended as of Date of Grantee’s Report:** $50,000

**Verification of Most Recent Report:**
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

**Diversion:**
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Development Research Center of the State Council
No. 225 Chaoyangmen Nei Dajie, Dongcheng District
Beijing, 100010

Award Date: 8/1/2006  Grant Award: $35,000

Purpose:
To support the development of local, regional, and sectoral action plans and an advanced energy technology development roadmap to direct China's economy toward a less-energy-intensive development path.

Total Amount Released as of December 31, 2006: $30,000

Date of Most Recent Report Received: 6/25/2007

Amount Expended as of Date of Grantee's Report: $35,000

Verification of Most Recent Report:
Based upon the grantee's final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Development Research Center of the State Council
No. 225 Chaoyangmen Nei Dajie, Dongcheng District
Beijing, 100010

Award Date: 12/1/2006  Grant Award: $50,000

Purpose:
To support the Development Research Center to work with all CSEP grantees to submit energy efficiency and renewable energy policy recommendations to the State Council.

Total Amount Released as of December 31, 2006: $0

Date of Most Recent Report Received: N/A

Amount Expended as of Date of Grantee’s Report: $0

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
REPORT OF EXPENDITURE RESPONSIBILITY GRANTS
FOR THE TAX YEAR ENDED DECEMBER 31, 2006

Grantee:

Energy Research Institute
Center for Renewable Energy Development, ERI
National Development and Reform Commission
1508, Guohong Dashajia (A) 11, Muxudi Beili, Xicheng District
Beijing, 100038
P.R. CHINA

Award Date: 9/24/04  Grant Award: $25,000

Purpose:
To introduce international best practice policies to help commercialize state-of-the-art renewable energy technologies in China.

Total Amount Released as of December 31, 2006: $25,000

Date of Most Recent Report Received: 8/30/05

Amount Expended as of Date of Grantee’s Report: $25,000

Verification of Most Recent Report:
Based upon the grantee's final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee: Energy and Transportation Technologies, LLC
325 Cordova Street, Suite 331
Pasadena, CA 91011

Award Date: 12/14/2004  Grant Award: $25,000

Purpose: To analyze the feasibility and cost-effectiveness of applying fuel efficiency technologies to China's light duty truck and heavy-duty vehicle fleet, and develop the scientific basis for adopting fuel efficiency standards for light-duty trucks and heavy-duty vehicles.

Total Amount Released as of December 31, 2006: $25,000

Date of Most Recent Report Received: 1/11/2006

Amount Expended as of Date of Grantee's Report: $25,000

Verification of Most Recent Report: Based upon the grantee's final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion: To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Energy and Transportation Technologies, LLC
325 Cordova Street, Suite 331
Pasadena, CA 91011

Award Date: 11/10/2005  Grant Award: $25,000

Purpose:
To support initial analytical work in the development of a fuel efficiency standard for light-duty commercial vehicles in China.

Total Amount Released as of December 31, 2006: $24,000

Date of Most Recent Report Received: 2/1/2007

Amount Expended as of Date of Grantee's Report: $25,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Energy and Transportation Technologies, LLC
325 Cordova Street, Suite 331
Pasadena, CA 91011

Award Date: 12/6/2006  Grant Award: $25,000

Purpose:
To provide consultation on the development of a fuel efficiency standard for heavy-duty vehicles and on evaluating implementation to date of China's light-duty passenger vehicle and light-duty truck fuel economy standards.

Total Amount Released as of December 31, 2006: $24,000

Date of Most Recent Report Received: N/A

Amount Expended as of Date of Grantee's Report: N/A

Verification of Most Recent Report:
Based upon the grantee's final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Energy Research Institute
Center for Renewable Energy Development, Energy Research Institute
National Development and Reform Commission
B-1518, Guohong Building, Muxudi Beili A-11, Xicheng District
Beijing, 100038

Award Date: 6/7/2005

Grant Award: $70,000

Purpose:
To formulate implementation regulations for China's comprehensive renewable energy policy.

Total Amount Released as of December 31, 2006: $70,000

Date of Most Recent Report Received: 6/14/2006

Amount Expended as of Date of Grantee's Report: $70,000

Verification of Most Recent Report:
Based upon the grantee's final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Energy Research Institute
Center for Renewable Energy Development, Energy Research Institute
National Development and Reform Commission
B-1518, Guohong Building, Muxudi Beili A-11, Xicheng District
Beijing, 100038

Award Date: 4/28/2006  Grant Award: $80,000

Purpose:
To develop strategies to ensure the stability of China's wind energy market.

Total Amount Released as of December 31, 2006: $70,000

Date of Most Recent Report Received: 4/26/2007

Amount Expended as of Date of Grantee's Report: $80,000

Verification of Most Recent Report:
Based upon the grantee's final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee: Energy Research Institute  
Center for Renewable Energy Development, Energy Research Institute  
National Development and Reform Commission  
B-1518, Guohong Building, Muxudi Beili A-11, Xicheng District  
Beijing, 100038

Award Date: 5/30/2006  
Grant Award: $120,000

Purpose: To build the capacity of provincial governments to develop and implement plans and policies promoting renewable energy development.

Total Amount Released as of December 31, 2006: $110,000

Date of Most Recent Report Received: 4/15/2007

Amount Expended as of Date of Grantee’s Report: $120,000

Verification of Most Recent Report: Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion: To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Energy Research Institute
National Development and Reform Commission
B-1518, Guohong Building, Muxudi Beili A-11, Xicheng District
Beijing, 100038

Award Date: 3/31/2005  Grant Award: $35,000

Purpose:
To develop tax and fiscal policies that further building code implementation.

Total Amount Released as of December 31, 2006: $35,000

Date of Most Recent Report Received: 3/1/2006

Amount Expended as of Date of Grantee’s Report: $35,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:  
Energy Research Institute  
National Development and Reform Commission  
B-1518, Guohong Building, Muxudi Beili A-11, Xicheng District  
Beijing, 100038

Award Date: 5/18/2005  
Grant Award: $60,000

Purpose:  
To develop national tax and fiscal policies to promote clean energy technology investment.

Total Amount Released as of December 31, 2006: $60,000

Date of Most Recent Report Received: 3/2/2006

Amount Expended as of Date of Grantee’s Report: $60,000

Verification of Most Recent Report:  
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:  
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:  
Energy Research Institute  
National Development and Reform Commission  
B-1518, Guohong Building, Muxudi Beili A-11, Xicheng District  
Beijing, 100038  

Award Date: 9/16/2005  
Grant Award: $50,000  

Purpose:  
To provide central government energy tax and fiscal policy capacity building.  

Total Amount Released as of December 31, 2006: $50,000  

Date of Most Recent Report Received: 1/26/2006  

Amount Expended as of Date of Grantee’s Report: $50,000  

Verification of Most Recent Report:  
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.  

Diversion:  
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Energy Research Institute
National Development and Reform Commission
B-1518, Guohong Building, Muxudi Beili A-11, Xicheng District
Beijing, 100038

Award Date: 11/8/2005  Grant Award: $65,000

Purpose:
To establish a national public benefits fund to support renewable energy and energy efficiency.

Total Amount Released as of December 31, 2006: $65,000

Date of Most Recent Report Received: 11/16/2006

Amount Expended as of Date of Grantee’s Report: $65,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Energy Research Institute
National Development and Reform Commission
B-1518, Guohong Building, Muxudi Beili A-11, Xicheng District
Beijing, 100038

Award Date: 6/7/2006

Grant Award: $55,000

Purpose:
To support the development of local, regional, and sectoral action plans and an advanced energy technology development roadmap to direct China's economy toward a less-energy-intensive development path.

Total Amount Released as of December 31, 2006: $50,000

Date of Most Recent Report Received: 3/29/2007

Amount Expended as of Date of Grantee's Report: $55,000

Verification of Most Recent Report:
Based upon the grantee's final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Energy Research Institute
National Development and Reform Commission
B-1518, Guohong Building, Muxudi Beili A-11, Xicheng District
Beijing, 100038

Award Date: 6/28/2006  Grant Award: $70,000

Purpose:
To develop a national development strategy for biofuels.

Total Amount Released as of December 31, 2006: $35,000

Date of Most Recent Report Received: 9/26/2007

Amount Expended as of Date of Grantee’s Report: $70,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the
grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee,
no part of the grant was used for other than the intended purpose and no verification
efforts have been considered necessary.
Grantee:
Energy Research Institute
National Development and Reform Commission
B-1518, Guohong Building, Muxudi Beili A-11, Xicheng District
Beijing, 100038

Award Date: 7/5/2006
Grant Award: $50,000

Purpose:
To develop policies improving the energy efficiency of large commercial buildings in China.

Total Amount Released as of December 31, 2006: $45,000

Date of Most Recent Report Received: 8/23/2007

Amount Expended as of Date of Grantee’s Report: $50,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Energy Research Institute
National Development and Reform Commission
B-1518, Guohong Building, Muxudi Beili A-11, Xicheng District
Beijing, 100038

Award Date: 7/5/2006  Grant Award: $80,000

Purpose:
To develop statistical energy use indicators in order to monitor the energy use of industrial enterprises participating in China’s Top-1000 Enterprises Energy Efficiency Program.

Total Amount Released as of December 31, 2006: $75,000

Date of Most Recent Report Received: 8/3/2007

Amount Expended as of Date of Grantee’s Report: $80,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:  
Energy Research Institute  
National Development and Reform Commission  
B-1518, Guohong Building, Muxudi Beili A-11, Xicheng District  
Beijing, 100038

Award Date: 8/1/2006  
Grant Award: $85,000

Purpose:  
To catalyze comprehensive environmental regulatory reform through an assessment of  
China’s State Environmental Protection Administration, provincial environmental  
protection bureaus, and international best practice recommendations.

Total Amount Released as of December 31, 2006: $75,000

Date of Most Recent Report Received: 6/19/2007

Amount Expended as of Date of Grantee’s Report: $85,000

Verification of Most Recent Report:  
Based upon the grantee’s final, or most recent report shown, the entire amount of the  
grant paid to date has been spent for the intended purpose.

Diversion:  
To the knowledge of the Foundation, and based on the reports furnished by the grantee,  
no part of the grant was used for other than the intended purpose and no verification  
efforts have been considered necessary.
REPORT OF EXPENDITURE RESPONSIBILITY GRANTS
FOR THE TAX YEAR ENDED DECEMBER 31, 2006

Grantee:
Energy Research Institute
National Development and Reform Commission
B-1518, Guohong Building, Muxudi Beili A-11, Xicheng District
Beijing, 100038

Award Date: 8/1/2006     Grant Award: $50,000

Purpose:
To develop recommendations for a national energy tax for China.

Total Amount Released as of December 31, 2006: $45,000

Date of Most Recent Report Received: 4/6/2007

Amount Expended as of Date of Grantee's Report: $50,000

Verification of Most Recent Report:
Based upon the grantee's final, or most recent report shown, the entire amount of the
grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee,
no part of the grant was used for other than the intended purpose and no verification
efforts have been considered necessary.
Grantee:
Energy Research Institute
National Development and Reform Commission
B-1518, Guohong Building, Muxudi Beili A-11, Xicheng District
Beijing, 100038

Award Date: 11/30/2006  Grant Award: $90,000

Purpose:
To develop energy efficiency evaluation indicators to monitor the energy use of industrial enterprises participating in China’s Top-1,000 Enterprises Energy Efficiency Program.

Total Amount Released as of December 31, 2006: $60,000

Date of Most Recent Report Received: 5/31/2007

Amount Expended as of Date of Grantee’s Report: $90,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Fujian Energy Research Society
8F, Block A, Zhongmin Building, No. 9 East Yangquiao Road
Fuzhou, Fujian Province 350000

Award Date: 7/20/2005  Grant Award: $50,000

Purpose:
To support development of mandatory market share policies for renewable energy, including feed-in tariffs and other mechanisms to promote volume development of renewable energy technologies.

Total Amount Released as of December 31, 2006: $50,000

Date of Most Recent Report Received: 6/9/2006

Amount Expended as of Date of Grantee’s Report: $50,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Fujian Energy Research Society
8F, Block A, Zhongmin Building, No. 9 East Yangquiao Road
Fuzhou, Fujian Province 350000

Award Date: 8/3/2006  Grant Award: $50,000

Purpose:
To develop a detailed roadmap for renewable energy development in Fujian Province.

Total Amount Released as of December 31, 2006: $45,000

Date of Most Recent Report Received: 7/18/2007

Amount Expended as of Date of Grantee’s Report: $50,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:  
Fuzhou Sixin Science Development and Promotion Center  
No. 54 at Middle WuYi Road  
Fuzhou, Fujian Province 350005

Award Date: 9/15/2005  
Grant Award: $45,000

Purpose:  
To support building code implementation in the Hot-Summer Warm-Winter (South China) climate zone.

Total Amount Released as of December 31, 2006: $45,000

Date of Most Recent Report Received: 8/31/2006

Amount Expended as of Date of Grantee’s Report: $45,000

Verification of Most Recent Report:  
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:  
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Fuzhou Sixin Science Development and Promotion Center
No. 54 at Middle WuYi Road
Fuzhou, Fujian Province 350005

Award Date: 11/29/2006  Grant Award: $30,000

Purpose:
To develop policies improving the energy efficiency of large commercial buildings in China.

Total Amount Released as of December 31, 2006: $25,000

Date of Most Recent Report Received: N/A

Amount Expended as of Date of Grantee's Report: N/A

Verification of Most Recent Report:
Based upon the grantee's final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Gansu Huineng New Energy Design and Research Institute
Jinhui Building, 18th Floor, No. 329 Wudu Road
Lanzhou, Gansu 730030

Award Date: 10/30/2006  Grant Award: $50,000

Purpose:
To develop an action plan to help Gansu Province achieve its provincial renewable energy development targets.

Total Amount Released as of December 31, 2006: $30,000

Date of Most Recent Report Received: 8/3/2007

Amount Expended as of Date of Grantee’s Report: $50,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Global Environmental Institute
B Building #1, Suite 1-401
The New World Villa, Chongwen District
Beijing, 100062

Award Date: 12/7/2005
Grant Award: $100,000

Purpose:
To support the development of an energy efficiency policy curriculum and study materials for training senior decision makers at the central and local government levels in the elements of clean energy policy development and implementation.

Total Amount Released as of December 31, 2006: $90,000

Date of Most Recent Report Received: 8/1/2007

Amount Expended as of Date of Grantee’s Report: $100,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Global Village of Beijing
A5-6-103, Jiaiming Garden
86 Beiyuan Road, Chaoyang District
Beijing, 100101

Award Date: 7/28/2005  Grant Award: $60,000

Purpose:
To support outreach to and education of China’s media in order to promote public awareness and local momentum for implementation of energy efficiency and renewable energy policies.

Total Amount Released as of December 31, 2006: $60,000

Date of Most Recent Report Received: 8/7/2006

Amount Expended as of Date of Grantee’s Report: $60,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Global Village of Beijing
A5-6-103, Jiaming Garden
86 Beiyuan Road, Chaoyang District
Beijing, 100101

Award Date: 12/1/2006 Grant Award: $60,000

Purpose:
To support training of China’s media in order to promote public awareness and local momentum for implementation of energy efficiency and renewable energy policies.

Total Amount Released as of December 31, 2006: $55,000

Date of Most Recent Report Received: 9/27/2007

Amount Expended as of Date of Grantee’s Report: $60,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:  
Guangzhou Institute of Building Science  
Jianyan Building, No.833 Baiyun Dadaobei  
Guangzhou, GuangDong Province 510440

Award Date: 9/15/2005  
Grant Award: $45,000

Purpose:  
To support building code implementation in the Hot-Summer Warm-Winter (South China) climate zone.

Total Amount Released as of December 31, 2006: $45,000

Date of Most Recent Report Received: 8/31/2006

Amount Expended as of Date of Grantee’s Report: $45,000

Verification of Most Recent Report:  
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:  
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Guangzhou Institute of Building Science
Jianyan Building, No.833 Baiyun Dadaobei
Guangzhou, GuangDong Province 510440

Award Date: 11/29/2006            Grant Award: $30,000

Purpose:
To develop policies improving the energy efficiency of large commercial buildings in China.

Total Amount Released as of December 31, 2006: $25,000

Date of Most Recent Report Received: N/A

Amount Expended as of Date of Grantee’s Report: N/A

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Hefei Urban Planning Association
Funan Road #51
Hefei, 230069

Award Date: 8/23/2006
Grant Award: $30,000

Purpose:
To assist Hefei Municipal Government to better understand bus rapid transit (BRT) systems and develop an appropriate and comprehensive BRT design.

Total Amount Released as of December 31, 2006: $30,000

Date of Most Recent Report Received: 10/1/2007

Amount Expended as of Date of Grantee’s Report: $25,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:  
Information Center of the Ministry of Construction  
No.9 Sanlihe Road  
Beijing, 100835

Award Date: 9/15/2005  
Grant Award: $60,000

Purpose:  
To support building code implementation in the Hot-Summer Warm-Winter (South China) climate zone.

Total Amount Released as of December 31, 2006: $60,000

Date of Most Recent Report Received: 8/31/2006

Amount Expended as of Date of Grantee’s Report: $60,000

Verification of Most Recent Report:  
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:  
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Information Center of the Ministry of Construction
No.9 Sanlihe Road
Beijing, 100835

Award Date: 3/24/2006  Grant Award: $110,000

Purpose:
To improve building energy code implementation in China's central climate zone based on successful administrative mechanisms developed in Shanghai and Chongqing.

Total Amount Released as of December 31, 2006: $105,000

Date of Most Recent Report Received: 4/30/2007

Amount Expended as of Date of Grantee’s Report: $105,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Information Center of the Ministry of Construction
No.9 Sanlihe Road
Beijing, 100835

Award Date: 11/30/2006 Grant Award: $20,000

Purpose:
To develop policies improving the energy efficiency of large commercial buildings in China.

Total Amount Released as of December 31, 2006: $18,000

Date of Most Recent Report Received: N/A

Amount Expended as of Date of Grantee’s Report: N/A

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversions:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee: Institute of Economic Research, National Development and Reform Commission
B-1317, Guohong Mansion, Jia 11 Muxidi Beili, Xicheng District
Beijing, 100038

Award Date: 6/3/2005  Grant Award: $45,000

Purpose:
To develop national tax and fiscal policies to promote clean energy technology investment.

Total Amount Released as of December 31, 2006: $45,000

Date of Most Recent Report Received: 2/12/2006

Amount Expended as of Date of Grantee’s Report: $45,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Institute of Economic Research, National Development and Reform Commission
B-1317, Guohong Mansion, Jia 11 Muxidi Beili, Xicheng District
Beijing, 100038

Award Date: 12/8/2005  Grant Award: $62,000

Purpose:
To develop pricing policies that encourage energy efficiency, grid-connected renewable energy, and clean power generation.

Total Amount Released as of December 31, 2006: $62,000

Date of Most Recent Report Received: 11/27/2006

Amount Expended as of Date of Grantee’s Report: $62,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Institute of Economic System and Management
National Development and Reform Commission
No. 1 Nan Cao Chang Street
Beijing, 100035

Award Date: 7/20/2005  Grant Award: $150,000

Purpose:
To support training of State Electricity Regulatory Commission (SERC) personnel in integrated resource planning and demand-side management, particularly at the SERC regional offices.

Total Amount Released as of December 31, 2006: $150,000

Date of Most Recent Report Received: 7/16/2006

Amount Expended as of Date of Grantee’s Report: $150,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Institute of Economic System and Management
National Development and Reform Commission
No. 1 Nan Cao Chang Street
Beijing, 100035

Award Date: 8/3/2006          Grant Award: $100,000

Purpose:
To build the State Electricity Regulatory Commission’s capacity to develop and enforce policies and regulations encouraging demand-side energy efficiency improvement and renewable energy use.

Total Amount Released as of December 31, 2006: $90,000

Date of Most Recent Report Received: 7/9/2007

Amount Expended as of Date of Grantee’s Report: $100,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
REPORT OF EXPENDITURE RESPONSIBILITY GRANTS
FOR THE TAX YEAR ENDED DECEMBER 31, 2006

Grantee:
Institute of Investment Research
No. 11 Muxidi Beili
Beijing, 100038
P.R. CHINA

Award Date: 5/20/05    Grant Award: $30,000

Purpose:
To develop national tax and fiscal policies to promote clean energy technology investment.

Total Amount Released as of December 31, 2006: $30,000

Date of Most Recent Report Received: 2/12/06

Amount Expended as of Date of Grantee’s Report: $30,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
REPORT OF EXPENDITURE RESPONSIBILITY GRANTS
FOR THE TAX YEAR ENDED DECEMBER 31, 2006

Grantee: (managed by the Energy Foundation)
InterAcademy Council
P.O. Box 19121
Amsterdam, 1000 GC

Award Date: 12/7/2006    Grant Award: $250,000

Purpose:
To support an international study entitled, "Transitions to Sustainable Energy Systems," by the InterAcademy Council.

Total Amount Released as of December 31, 2006: $225,000

Date of Most Recent Report Received: N/A

Amount Expended as of Date of Grantee's Report: N/A

Verification of Most Recent Report:
Based upon the grantee's final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
International Council on Clean Transportation
One Hallidie Plaza, Suite 838
San Francisco, CA 94102

Award Date: 5/11/2006  Grant Award: $50,000

Purpose:
To assess fuel use reduction potential in the heavy truck sector.

Total Amount Released as of December 31, 2006: $50,000

Date of Most Recent Report Received: 5/2/2007

Amount Expended as of Date of Grantee’s Report: $50,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the
grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee,
no part of the grant was used for other than the intended purpose and no verification
efforts have been considered necessary.
Grantee:
Jinan Municipal Engineering Design and Research Institute Co., Ltd.
No. 53, Qilishan Road
Jinan, 250002

Award Date: 5/4/2005       Grant Award: $60,000

Purpose:
To assist Jinan municipal government with developing a bus rapid transit (BRT) development strategy and BRT pilot project.

Total Amount Released as of December 31, 2006: $55,000

Date of Most Recent Report Received: 9/15/2006

Amount Expended as of Date of Grantee’s Report: $60,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Jinan Urban Planning and Design Institute
No. 183, Jingsi Road
Jinan, 250001

Award Date: 11/8/2005
Grant Award: $100,000

Purpose:
To develop Jinan Bus Rapid Transit (BRT) System Network Implementation Plan.

Total Amount Released as of December 31, 2006: $100,000

Date of Most Recent Report Received: 2/6/2007

Amount Expended as of Date of Grantee’s Report: $100,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Kunming Urban Transportation Planning Institute
15th Floor, Wing B, More Building
60 Chuncheng Road
Kunming, Yunnan 650041

Award Date: 12/6/2004  Grant Award: $60,000

Purpose:
To continue assistance to the Kunming Municipal government to make further improvements on their bus priority transit system.

Total Amount Released as of December 31, 2006: $60,000

Date of Most Recent Report Received: 1/11/2006

Amount Expended as of Date of Grantee’s Report: $60,000

Verification of Most Recent Report:
Based upon the grantees final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Kunming Urban Transportation Planning Institute
15th Floor, Wing B, More Building
60 Chuncheng Road
Kunming, Yunnan 650041

Award Date: 11/4/2005  
Grant Award: $75,000

Purpose:
To continue to improve Kunming's bus priority transit system to become a full-fledged bus rapid transit system.

Total Amount Released as of December 31, 2006: $73,000

Date of Most Recent Report Received: 2/5/2007

Amount Expended as of Date of Grantee’s Report: $75,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Machinery Industry Agricultural Vehicles Research and Development Center, a project of Chinese Academy of Agricultural Mechanization Sciences
Box 37, No. 1 Deshengmenwai Beisatan
Beijing, 100083

Award Date: 9/25/2006  Grant Award: $50,000

Purpose:
To develop an agricultural vehicle fuel economy management system.

Total Amount Released as of December 31, 2006: $30,000

Date of Most Recent Report Received: 6/19/2007

Amount Expended as of Date of Grantee’s Report: N/A

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
National Commission on Energy Policy, Inc.
1225 I Street, NW
Suite 1000
Washington, DC 20005

Award Date: 4/4/2006  Grant Award: $195,000

Purpose:
To support efforts by Senators Dole and Daschle to draft and publicize a report on American Agriculture in the 21st Century.

Total Amount Released as of December 31, 2006: $195,000

Date of Most Recent Report Received: 3/1/2007

Amount Expended as of Date of Grantee’s Report: $195,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Peking (Beijing) University
Beijing, 100871

Award Date: 11/10/2005  Grant Award: $100,000

Purpose:
To set energy efficiency targets and develop regulations, incentive policies, and monitoring mechanisms for the China Top-1000 Enterprises Energy-Efficiency Program.

Total Amount Released as of December 31, 2006: $95,000

Date of Most Recent Report Received: 12/14/2006

Amount Expended as of Date of Grantee’s Report: $100,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:  
Peking (Beijing) University  
College of Environmental Sciences  
Beijing, 100871  

Award Date: 7/7/2005  
Grant Award: $40,000  

Purpose:  
To support development of pollution levy policies in conjunction with energy efficiency agreements in China's iron and steel sector.  

Total Amount Released as of December 31, 2006: $40,000  

Date of Most Recent Report Received: 8/4/2006  

Amount Expended as of Date of Grantee’s Report: $40,000  

Verification of Most Recent Report:  
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.  

Diversion:  
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:  
Peking (Beijing) University  
College of Environmental Sciences  
Beijing, 100871

Award Date:  11/30/2006  
Grant Award:  $40,000

Purpose:  
To develop policies to guide the National Development and Reform Commission’s Top-1,000 Enterprise Energy Efficiency Program.

Total Amount Released as of December 31, 2006:  $35,000

Date of Most Recent Report Received:  N/A

Amount Expended as of Date of Grantee’s Report:  N/A

Verification of Most Recent Report:  
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:  
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
REPORT OF EXPENDITURE RESPONSIBILITY GRANTS
FOR THE TAX YEAR ENDED DECEMBER 31, 2006

Grantee:
Peking (Beijing) University, Health Science Center
38 Xue Yuan Road
Beijing, 100083

Award Date: 9/20/2006  Grant Award: $30,000

Purpose:
To catalyze comprehensive environmental regulatory reform through an assessment of
China’s State Environmental Protection Administration, provincial environmental
protection bureaus, and international best practice recommendations.

Total Amount Released as of December 31, 2006: $28,000

Date of Most Recent Report Received: 6/18/2007

Amount Expended as of Date of Grantee’s Report: $30,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the
grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee,
no part of the grant was used for other than the intended purpose and no verification
efforts have been considered necessary.
Grantee:
Research Institute for Fiscal Science, Ministry of Finance of the People's Republic of China
Xinzhi Mansion, No. 28, Fucheng Road, Haidian District
Beijing, 100036

Award Date: 3/31/2005  Grant Award: $50,000

Purpose:
To assist the Ministry of Finance and the National Development and Reform Commission in developing tax and fiscal policies that promote energy-efficient products.

Total Amount Released as of December 31, 2006: $50,000

Date of Most Recent Report Received: 5/8/2006

Amount Expended as of Date of Grantee's Report: $50,000

Verification of Most Recent Report:
Based upon the grantee's final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Research Institute for Fiscal Science, Ministry of Finance of the People's Republic of China
Xinzhi Mansion, No. 28, Fucheng Road, Haidian District
Beijing, 100036

Award Date: 5/18/2005
Grant Award: $55,000

Purpose:
To develop national tax and fiscal policies to promote clean energy technology investment.

Total Amount Released as of December 31, 2006: $55,000

Date of Most Recent Report Received: 2/12/2006

Amount Expended as of Date of Grantee's Report: $55,000

Verification of Most Recent Report:
Based upon the grantee's final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Research Institute for Standards and Norms, Ministry of Construction
Bai Wan Zhuang
Beijing, 100835

Award Date: 7/5/2006

Grant Award: $80,000

Purpose:
To help China implement an energy-efficient windows labeling and certification program.

Total Amount Released as of December 31, 2006: $75,000

Date of Most Recent Report Received: 8/1/2007

Amount Expended as of Date of Grantee’s Report: $80,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
SenterNovem Utrecht
NOVEM B.V.
Catharijnesingel 59, 3511 GG Utrecht
P.O. Box 8242
Utrecht, 3511 RE

Award Date: 9/12/2003      Grant Award: $38,857.25

Purpose:
To support the implementation of the sector target pilot program for two iron and steel enterprises in China's Shandong province.

Total Amount Released as of December 31, 2006: $38,857.25

Date of Most Recent Report Received: 1/20/2006

Amount Expended as of Date of Grantee's Report: $38,857.25

Verification of Most Recent Report:
Based upon the grantee's final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Shandong Association of Resources Comprehensive Utilization
Room 11-116, The Golden Building, No.16, Jiefang Road
Jinan City, Shandong Province 250013

Award Date: 4/5/2005        Grant Award: $50,000

Purpose:
To support the implementation of the industrial sector Energy Efficiency Agreement pilot program in China’s Shandong province.

Total Amount Released as of December 31, 2006: $50,000

Date of Most Recent Report Received: 4/21/2006

Amount Expended as of Date of Grantee’s Report: $50,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:  
Shandong Association of Resources Comprehensive Utilization  
Room 11-116, The Golden Building, No.16, Jiefang Road  
Jinan City, Shandong Province 250013

Award Date: 8/2/2006  
Grant Award: $50,000

Purpose:  
To create a province-wide energy efficiency agreement program in China’s Shandong province.

Total Amount Released as of December 31, 2006: $45,000

Date of Most Recent Report Received: 8/6/2007

Amount Expended as of Date of Grantee’s Report: $50,000

Verification of Most Recent Report:  
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:  
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
**Grantee:**
Shanghai Academy of Environmental Sciences  
508 Qinzhou Road  
Shanghai, 200233

**Award Date:** 5/4/2005  
**Grant Award:** $70,000

**Purpose:**
To support analysis of the environmental impacts and public health costs of vehicle emissions in order to encourage a vehicle emissions control policy and advanced technology development in Shanghai.

**Total Amount Released as of December 31, 2006:** $70,000

**Date of Most Recent Report Received:** 5/15/2006

**Amount Expended as of Date of Grantee’s Report:** $70,000

**Verification of Most Recent Report:**
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

**Diversion:**
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Shanghai Academy of Environmental Sciences
508 Qinzhou Road
Shanghai, 200233

Award Date: 6/6/2005  Grant Award: $50,000

Purpose:
To support Shanghai's efforts to develop and implement a low-carbon policy action plan that encourages energy efficiency and renewable energy technology investment.

Total Amount Released as of December 31, 2006: $50,000

Date of Most Recent Report Received: 10/7/2006

Amount Expended as of Date of Grantee's Report: $50,000

Verification of Most Recent Report:
Based upon the grantee's final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
**Grantee:**
Shanghai Energy Conservation Supervision Center
27 Zhizaoju Road, 8th Floor
Shanghai, 200011

**Award Date:** 6/7/2005  
**Grant Award:** $20,000

**Purpose:**
To support the design of a regulatory and certification system for Shanghai's green pricing program.

**Total Amount Released as of December 31, 2006:** $20,000

**Date of Most Recent Report Received:** 4/28/2006

**Amount Expended as of Date of Grantee's Report:** $20,000

**Verification of Most Recent Report:**
Based upon the grantee's final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

**Diversion:**
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Shanghai Energy Conservation Supervision Center
27 Zhizaoju Road, 8th Floor
Shanghai, 200011

Award Date: 8/16/2005  Grant Award: $45,000

Purpose:
To develop national demand side management (DSM) policies, to incorporate DSM into power sector reforms, and to coordinate provincial DSM pilots.

Total Amount Released as of December 31, 2006: $45,000

Date of Most Recent Report Received: 5/17/2006

Amount Expended as of Date of Grantee’s Report: $45,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Shanghai Energy Conservation Supervision Center
27 Zhizaoju Road, 8th Floor
Shanghai, 200011

Award Date: 11/4/2005
Grant Award: $34,000

Purpose:
To accelerate Shanghai’s adoption of reach appliance energy efficiency standards.

Total Amount Released as of December 31, 2006: $30,000

Date of Most Recent Report Received: 5/3/2007

Amount Expended as of Date of Grantee’s Report: $34,000

Verification of Most Recent Report:
Based upon the grantee's final, or most recent report shown, the entire amount of the
grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee,
no part of the grant was used for other than the intended purpose and no verification
efforts have been considered necessary.

The Energy Foundation - Taxpayer ID#: 94-3126848
Form 990-PF
Statement 8
Page 129 of 155
Grantee:
Shanghai Energy Conservation Supervision Center
27 Zhizaoju Road, 8th Floor
Shanghai, 200011

Award Date: 9/27/2006  Grant Award: $40,000

Purpose:
To develop and implement demand-side management policies and programs in Shanghai.

Total Amount Released as of December 31, 2006: $30,000

Date of Most Recent Report Received: 8/30/2007

Amount Expended as of Date of Grantee’s Report: $40,000

Verification of Most Recent Report:
Based upon the grantees final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.

Grantee:
Shanghai Municipal Housing Development Bureau
No. 99 Beijing Xi Road
Shanghai, 200003

Award Date: 3/30/2005

Grant Award: $50,000

Purpose:
To assist China in developing incentive policies and market-driven programs for building code implementation in the Hot-Summer Cold-Winter region.

Total Amount Released as of December 31, 2006: $50,000

Date of Most Recent Report Received: 7/5/2006

Amount Expended as of Date of Grantee's Report: $50,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
REPORT OF EXPENDITURE RESPONSIBILITY GRANTS
FOR THE TAX YEAR ENDED DECEMBER 31, 2006

Grantee:
Shanghai Urban Transportation Design Institute
5/F, East Building, No. 1218 Waima Road
Shanghai,
PR CHINA

Award Date: 5/28/04  Grant Award: $100,000

Purpose:
To support the Shanghai municipal government to develop a bus rapid transit (BRT) system.

Total Amount Released as of December 31, 2006: $100,000

Date of Most Recent Report Received: 4/8/05

Amount Expended as of Date of Grantee’s Report: $100,000

Verification of Most Recent Report:
Based upon the grantee's final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
**Grantee:**
Shenzhen Institute of Building Research  
5F, Design Building, 8 Zhenghua Road  
Guangdong Province  
Shenzhen City, 518031

**Award Date:** 8/18/2005  
**Grant Award:** $45,000

**Purpose:**
To support building code implementation in the Hot-Summer Warm-Winter (South China) climate zone.

**Total Amount Released as of December 31, 2006:** $45,000

**Date of Most Recent Report Received:** 9/28/2006

**Amount Expended as of Date of Grantee’s Report:** $45,000

**Verification of Most Recent Report:**
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

**Diversions:**
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:  
Shenzhen Institute of Building Research  
5F, Design Building, 8 Zhenghua Road  
Guangdong Province  
Shenzhen City, 518031  

Award Date: 11/29/2006  
Grant Award: $30,000  

Purpose:  
To develop policies improving the energy efficiency of large commercial buildings in China.  

Total Amount Released as of December 31, 2006: $25,000  

Date of Most Recent Report Received: N/A  

Amount Expended as of Date of Grantee's Report: N/A  

Verification of Most Recent Report:  
Based upon the grantee's final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.  

Diversion:  
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
**Grantee:**
Shenzhen Institute of Environmental Science  
No. 50, Honggui St. 1  
Honggui Road  
Shenzhen, 518008

**Award Date:** 6/6/2006  
**Grant Award:** $100,000

**Purpose:**
To develop a comprehensive vehicle emissions control strategy for Shenzhen.

**Total Amount Released as of December 31, 2006:** $60,000

**Date of Most Recent Report Received:** 3/12/2007

**Amount Expended as of Date of Grantee’s Report:** N/A

**Verification of Most Recent Report:**
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

**Diversion:**
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Shenzhen Research Centre of Municipal Development
Room 602, 1034th Shangbu Road Central
Shenzhen, 518001

Award Date: 12/13/2004       Grant Award: $100,000

Purpose:
To assist the Shenzhen Environmental Protection Bureau with developing policies to
manage and control vehicle emissions in Shenzhen.

Total Amount Released as of December 31, 2006: $100,000

Date of Most Recent Report Received: 2/24/2006

Amount Expended as of Date of Grantee's Report: $100,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the
grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee,
no part of the grant was used for other than the intended purpose and no verification
efforts have been considered necessary.
Grantee:
Sichuan University
Institute for West Development
29 Wangjiang Road
Chengdu, Sichuan 610064

Award Date: 7/20/2005  Grant Award: $50,000

Purpose:
To continue support for renewable energy mandatory market share policy pilots in Fujian and Sichuan provinces.

Total Amount Released as of December 31, 2006: $50,000

Date of Most Recent Report Received: 6/29/2006

Amount Expended as of Date of Grantee’s Report: $50,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Sichuan University
Institute for West Development
29 Wangjiang Road
Chengdu, Sichuan 610064

Award Date: 12/6/2006  Grant Award: $50,000

Purpose:
To support the development and implementation of a mandatory market share (MMS) policy for renewable energy development in Sichuan Province.

Total Amount Released as of December 31, 2006: $0

Date of Most Recent Report Received: 4/30/2007

Amount Expended as of Date of Grantee’s Report: N/A

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
South-North Institute for Sustainable Development
Orchid Room, Zhongshan Park, Tiananmen
Beijing, 100031

Award Date: 3/31/2005  Grant Award: $35,000

Purpose:
To analyze China’s Energy Conservation Law and compare it to international best practice policies for promoting energy efficiency.

Total Amount Released as of December 31, 2006: $35,000

Date of Most Recent Report Received: 2/14/2006

Amount Expended as of Date of Grantee’s Report: $35,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
South-North Institute for Sustainable Development
Orchid Room, Zhongshan Park, Tiananmen
Beijing, 100031

Award Date: 3/23/2006  Grant Award: $50,000

Purpose:
To research international energy efficiency policy implementation procedures and formulate recommendations for developing similar institutional and administrative procedures in China.

Total Amount Released as of December 31, 2006: $45,000

Date of Most Recent Report Received: 4/30/2007

Amount Expended as of Date of Grantee’s Report: $50,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
South-North Institute for Sustainable Development
Orchid Room, Zhongshan Park, Tiananmen
Beijing, 100031

Award Date: 8/1/2006    Grant Award: $60,000

Purpose:
To catalyze comprehensive environmental regulatory reform through an assessment of China’s State Environmental Protection Administration, provincial environmental protection bureaus, and international best practice recommendations.

Total Amount Released as of December 31, 2006: $30,000

Date of Most Recent Report Received: 6/14/2007

Amount Expended as of Date of Grantee’s Report: $60,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
State Grid Corporation DSM Instruction Center
Room 403, 20 Beijing West Road
Jiangsu Province
Nanjing, 210024

Award Date: 12/8/2005  Grant Award: $75,000

Purpose:
To continue developing and to implement a demand-side energy efficiency program in Jiangsu Province.

Total Amount Released as of December 31, 2006: $60,000

Date of Most Recent Report Received: 2/8/2007

Amount Expended as of Date of Grantee's Report: $75,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:  
State Power Economic Research Institute  
China State Power Corporation  
1 Ertiao Lane, Baiguang Road, Xuanweu District  
Beijing, 100761

Award Date: 5/4/2005  
Grant Award: $48,000

Purpose:  
To develop national DSM policies, to incorporate DSM into power sector reforms, and to coordinate provincial DSM pilots.

Total Amount Released as of December 31, 2006: $48,000

Date of Most Recent Report Received: 4/7/2006

Amount Expended as of Date of Grantee’s Report: $48,000

Verification of Most Recent Report:  
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:  
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
State Power Economic Research Institute
China State Power Corporation
1 Ertiao Lane, Baiguang Road, Xuanweu District
Beijing, 100761

Award Date: 8/2/2006        Grant Award: $55,000

Purpose:
To develop national DSM policies, advocate the reform of power sector regulatory practices to encourage DSM, and coordinate provincial DSM pilot projects.

Total Amount Released as of December 31, 2006: $50,000

Date of Most Recent Report Received: 4/30/2007

Amount Expended as of Date of Grantee’s Report: $55,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Susman, Godfrey LLP Dallas Office
Suite 5100
901 Main Street
Dallas, TX 75202-3775

Award Date: 12/7/2006  Grant Award: $125,000
(managed by Energy Foundation)  Award Total: $250,000

Purpose:
To hire and manage expert witnesses in connection with administrative permit and judicial interventions in connection with a number of proposed new coal-fired power plants in Texas.

Total Amount Released as of December 31, 2006: $250,000

Date of Most Recent Report Received: N/A

Amount Expended as of Date of Grantee’s Report: N/A

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:  
The Institute of Energy Law, North China Electric Power University  
No.2, Beinong Road, Deshengmenwai Zhuxinzhuang  
Beijing, 102206  

Award Date: 8/1/2006  
Grant Award: $100,000  

Purpose:  
To develop recommendations for a comprehensive national energy policy to guide China's energy policy decisions.  

Total Amount Released as of December 31, 2006: $90,000  

Date of Most Recent Report Received: 9/10/2007  

Amount Expended as of Date of Grantee’s Report: $100,000  

Verification of Most Recent Report:  
Based upon the grantee's final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.  

Diversion:  
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Tianjin Electric Drive Vehicle Research Center
287 Heping Road, Heping District
Tianjin, 300041

Award Date: 11/22/2004    Grant Award: $70,000

Purpose:
To encourage the Tianjin municipal government to demonstrate and commercialize hybrid-electric bus technologies.

Total Amount Released as of December 31, 2006: $69,000

Date of Most Recent Report Received: 2/6/2007

Amount Expended as of Date of Grantee's Report: $70,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
REPORT OF EXPENDITURE RESPONSIBILITY GRANTS FOR THE TAX YEAR ENDED DECEMBER 31, 2006

Grantee:
(Shanghai) Tongji University
Clean Energy Automotive Center
1239 Siping Road
Shanghai, 200092
P.R. CHINA

Award Date: 12/3/04 Grant Award: $80,000

Purpose:
To assist the Shanghai Municipal Government to promote and demonstrate advanced technology vehicles.

Total Amount Released as of December 31, 2006: $80,000

Date of Most Recent Report Received: 8/1/06

Amount Expended as of Date of Grantee's Report: $80,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
REPORT OF EXPENDITURE RESPONSIBILITY GRANTS
FOR THE TAX YEAR ENDED DECEMBER 31, 2006

Grantee:
Tsinghua University Education Foundation
Institute of Energy, Environment, and Economy (IEEE)
Institute of Environmental Science and Engineering
Tsinghua University
Haidian District
Beijing, 100084

Award Date: 11/29/2004  Grant Award: $60,000

Purpose:
To investigate and design mechanisms for implementing a comprehensive renewable energy policy in China.

Total Amount Released as of December 31, 2006: $60,000

Date of Most Recent Report Received: 3/6/2006

Amount Expended as of Date of Grantee's Report: $60,000

Verification of Most Recent Report:
Based upon the grantee's final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Tsinghua University Education Foundation
Institute of Energy, Environment, and Economy (IEEE)
Institute of Environmental Science and Engineering
Tsinghua University
Haidian District
Beijing, 100084

Award Date: 8/3/2006  Grant Award: $50,000

Purpose:
To develop a program to train provincial government officials in renewable energy policy development and set up a fellowship program for graduate students studying renewable energy.

Total Amount Released as of December 31, 2006: $45,000

Date of Most Recent Report Received: 10/11/2007

Amount Expended as of Date of Grantee’s Report: $50,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:  
Tsinghua University Education Foundation  
Institute of Environmental Science and Engineering  
Tsinghua University  
Haidian District  
Beijing, 100084

Award Date: 11/4/2005  
Grant Award: $75,000

Purpose:  
To develop a model of vehicle emissions in Chinese cities to use in creating transportation-related pollution control policies.

Total Amount Released as of December 31, 2006: $70,000

Date of Most Recent Report Received: 1/9/2007

Amount Expended as of Date of Grantee’s Report: $75,000

Verification of Most Recent Report:  
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:  
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Tsinghua University Education Foundation
Institute of Environmental Science and Engineering
Tsinghua University
Haidian District
Beijing, 100084

Award Date: 3/23/2006  Grant Award: $30,000

Purpose:
To improve industrial enterprises' access to up-to-date energy efficiency technology information, including development of an information dissemination system.

Total Amount Released as of December 31, 2006: $25,000

Date of Most Recent Report Received: 4/30/2007

Amount Expended as of Date of Grantee’s Report: $30,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Xiamen Academy of Building Research Ltd.
No. 62 Southern Hubin Road
Xiamen, 361004

Award Date: 9/15/2005  Grant Award: $45,000

Purpose:
To support building code implementation in the Hot-Summer Warm-Winter (South China) climate zone.

Total Amount Released as of December 31, 2006: $45,000

Date of Most Recent Report Received: 8/31/2006

Amount Expended as of Date of Grantee's Report: $45,000

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
Grantee:
Xiamen Academy of Building Research Ltd.
No. 62 Southern Hubin Road
Xiamen, 361004

Award Date: 11/29/2006  Grant Award: $30,000

Purpose:
To develop policies improving the energy efficiency of large commercial buildings in China.

Total Amount Released as of December 31, 2006: $25,000

Date of Most Recent Report Received: N/A

Amount Expended as of Date of Grantee’s Report: N/A

Verification of Most Recent Report:
Based upon the grantee’s final, or most recent report shown, the entire amount of the grant paid to date has been spent for the intended purpose.

Diversion:
To the knowledge of the Foundation, and based on the reports furnished by the grantee, no part of the grant was used for other than the intended purpose and no verification efforts have been considered necessary.
THE ENERGY FOUNDATION - TAXPAYER ID# 94-3126848  STATEMENT 9

THE ENERGY FOUNDATION
FORM 990-PF
STATEMENT NINE
FOR THE TAX YEAR ENDED DECEMBER 31, 2006

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

<table>
<thead>
<tr>
<th>NAME AND ADDRESS</th>
<th>TITLE</th>
<th>TIME DEVOTED TO POSITION</th>
<th>COMPENSATION</th>
<th>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</th>
<th>EXPENSE ACCOUNT</th>
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<tbody>
<tr>
<td>ROSINA BIERBAUM</td>
<td>DIRECTOR</td>
<td>PART TIME</td>
<td>$ 6,000</td>
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<td>ROBERT CRANE</td>
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<td>LARRY GOULDER</td>
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<tr>
<td>ERIC HEITZ</td>
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<td>FULL TIME</td>
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<tr>
<td>JAMES LENTS</td>
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<td>PHIL SHARP</td>
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<td>MICHAEL WANG</td>
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<tr>
<td>1012 TORNEY AVE #1</td>
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<tr>
<td>SAN FRANCISCO, CA 94129</td>
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<tr>
<td>HONGJUN ZHANG</td>
<td>DIRECTOR</td>
<td>PART TIME</td>
<td>$ 6,000</td>
<td>NONE</td>
<td>NONE</td>
</tr>
<tr>
<td>THE ENERGY FOUNDATION</td>
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<tr>
<td><strong>TOTALS</strong></td>
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<td><strong>$ 256,700</strong></td>
<td><strong>$ 19,970</strong></td>
<td><strong>$ 276,670</strong></td>
</tr>
</tbody>
</table>
### Part IX-A Summary of Direct Charitable Activities

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Program Office – Beijing: to support the salary and benefits of twelve staff, office rental, equipment charges, and other expenses related to maintaining The Energy Foundation’s Beijing Representative Office.</td>
<td>$1,374,579</td>
</tr>
<tr>
<td>Program Office – China Sustainable Transportation Center: to support staff salary and benefits (seven staff), office rental, equipment charges, and other expenses related to maintaining The Energy Foundation’s launch and operations of the China Sustainable Transportation Center.</td>
<td>$355,252</td>
</tr>
<tr>
<td>Bus Rapid Transit (BRT) System – P.R. China: to support consultant Xu Kangming to assist grantees in P.R. China to promote BRT systems.</td>
<td>$220,000</td>
</tr>
<tr>
<td>BRT LOGIT: to provide consulting services for BRT system development in various cities in P.R. China.</td>
<td>$220,000</td>
</tr>
</tbody>
</table>
The Foundation does not support individuals. It does not support candidates for political office, legislation, or sectarian or religious causes. It does not fund research and development of technology or demonstration projects. It does not fund endowments or debt reduction, nor does it make general support grants. It does not support annual fund raising campaigns or capital construction or the planning, renovation, maintenance, retrofit, or purchase of buildings or the acquisition of land.
<table>
<thead>
<tr>
<th>Recipient</th>
<th>If recipient is an individual, show any relationship to any foundation manager or substantial contributor</th>
<th>Foundation status of recipient</th>
<th>Purpose of grant or contribution</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>20/20 Vision Education Fund</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To support a targeted public education effort on energy security and biofuels</td>
<td>$25,000</td>
</tr>
<tr>
<td>8403 Colesville Road, Suite 860, Silver Spring, MD 20910</td>
<td></td>
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<tr>
<td>Alliance for Clean Energy New York</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To promote the use of clean, renewable electricity technologies and energy efficiency in New York state</td>
<td>$49,000</td>
</tr>
<tr>
<td>194 Washington Avenue, Suite 605, Albany, NY 12210</td>
<td></td>
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<tr>
<td>Alliance to Save Energy</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To create guidelines for incorporating energy efficiency into local warming policy, and organize business support for RGGI</td>
<td>$40,000</td>
</tr>
<tr>
<td>1200-18th Street, NW, Washington, DC 20036</td>
<td></td>
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<tr>
<td>Alliance to Save Energy</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To help China implement an energy-efficient windows labeling and certification program</td>
<td>$18,254</td>
</tr>
<tr>
<td>1200-18th Street, NW, Washington, DC 20036</td>
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<tr>
<td>Alliance to Save Energy</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To promote adoption and implementation of federal and state appliance and equipment standards</td>
<td>$60,000</td>
</tr>
<tr>
<td>1200-18th Street, NW, Washington, DC 20036</td>
<td></td>
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<tr>
<td>Alliance to Save Energy</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To raise awareness of the energy, environmental, and economic benefits from full implementation of the Energy Policy Act of 2005 and federal programs in regard to appliance standards, tax incentives, and programs affecting energy-efficient equipment</td>
<td>$125,000</td>
</tr>
<tr>
<td>1200-18th Street, NW, Washington, DC 20036</td>
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<tr>
<td>American Council for an Energy-Efficient Economy</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To analyze relationships between vehicle size, weight, design, and safety</td>
<td>$20,000</td>
</tr>
<tr>
<td>1001 Connecticut Ave., NW, Ste 801, Washington, DC 20036</td>
<td></td>
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</tr>
<tr>
<td>American Council for an Energy-Efficient Economy</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To assist China in the revision of the refrigerator energy efficiency &quot;reach&quot; standard</td>
<td>$15,000</td>
</tr>
<tr>
<td>1001 Connecticut Ave., NW, Ste 801, Washington, DC 20036</td>
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<tr>
<td>American Council for an Energy-Efficient Economy</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To assist Northeast states to incorporate energy efficiency resources into the electric sector modeling for RGGI</td>
<td>$35,000</td>
</tr>
<tr>
<td>1001 Connecticut Ave., NW, Ste 801, Washington, DC 20036</td>
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<tr>
<td>American Council for an Energy-Efficient Economy</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To continue to promote utility-related energy efficiency services and funding</td>
<td>$100,000</td>
</tr>
<tr>
<td>1001 Connecticut Ave., NW, Ste 801, Washington, DC 20036</td>
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<tr>
<td>American Council for an Energy-Efficient Economy</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To continue work on residential, commercial, and industrial building energy efficiency, with a focus on appliance and equipment standards</td>
<td>$110,000</td>
</tr>
<tr>
<td>1001 Connecticut Ave., NW, Ste 801, Washington, DC 20036</td>
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<tr>
<td>American Council for an Energy-Efficient Economy</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To promote technologies and process innovations in manufacturing and industry</td>
<td>$80,000</td>
</tr>
<tr>
<td>1001 Connecticut Ave., NW, Ste 801, Washington, DC 20036</td>
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<tr>
<td>American Council for an Energy-Efficient Economy</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To provide expert assistance to state and federal analysts and policymakers on how economic models treat energy efficiency and low-carbon policies</td>
<td>$80,000</td>
</tr>
<tr>
<td>1001 Connecticut Ave., NW, Ste 801, Washington, DC 20036</td>
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<tr>
<td>American Council for an Energy-Efficient Economy</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To quantify the economic benefits of oil demand reduction</td>
<td>$30,000</td>
</tr>
<tr>
<td>1001 Connecticut Ave., NW, Ste 801, Washington, DC 20036</td>
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<tr>
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<tr>
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</tr>
<tr>
<td>American Council for an Energy-Efficient Economy 1001 Connecticut Ave, NW, Ste 801 Washington, DC 20036</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To support adoption of state and federal appliance efficiency standards</td>
<td>$250,000</td>
</tr>
<tr>
<td>American Council for an Energy-Efficient Economy 1001 Connecticut Ave, NW, Ste 801 Washington, DC 20036</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To support American Council for an Energy-Efficient Economy's (ACEEE) Transportation Program</td>
<td>$80,000</td>
</tr>
<tr>
<td>American Council for an Energy-Efficient Economy 1001 Connecticut Ave, NW, Ste 801 Washington, DC 20036</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To work with government officials, advocacy groups, utilities, and other entities to develop and advance energy efficiency policies and programs</td>
<td>$100,000</td>
</tr>
<tr>
<td>American Lung Association of California 295 27th Street Oakland, CA 94621</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To promote clean vehicles and fuels in California, with an emphasis on public health benefits</td>
<td>$55,000</td>
</tr>
<tr>
<td>American Lung Association of New York State, Inc 8 Mountain View Avenue Hauppauge, NY 11788</td>
<td>N/A</td>
<td>509(a)(2)</td>
<td>To defend New York’s clean car program</td>
<td>$35,000</td>
</tr>
<tr>
<td>American Lung Association of New York State, Inc 8 Mountain View Avenue Hauppauge, NY 11788</td>
<td>N/A</td>
<td>509(a)(2)</td>
<td>To support California policies that reduce vehicular greenhouse gas emissions and oil use</td>
<td>$5,000</td>
</tr>
<tr>
<td>Arizona PIRG Education Fund, Inc 613 Honovi Drive Phoenix, AZ 85004</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To advocate for a strong global warming action plan for Arizona</td>
<td>$30,000</td>
</tr>
<tr>
<td>Arizona PIRG Education Fund, Inc 613 Honovi Drive Phoenix, AZ 85004</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To build support for clean car programs in Arizona</td>
<td>$50,000</td>
</tr>
<tr>
<td>Arizona PIRG Education Fund, Inc 613 Honovi Drive Phoenix, AZ 85004</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To support successful adoption and implementation of state renewable energy standards and other policies in Western states</td>
<td>$20,000</td>
</tr>
<tr>
<td>Asian Development Bank 7th Floor, Block D Beijing International Financial Building 156 Fuxingmenmen Avenue Beijing, 100031 P R China</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To launch the China Air Quality Management Network, which will share, formulate, and implement &quot;best practice&quot; air quality management policy pilots in several Chinese cities</td>
<td>$1,000</td>
</tr>
<tr>
<td>Association of Mayors of Guangxi 58 Jinhu Road Nanning, 530028 P R China</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To develop policies encouraging municipal BRT development in Guangxi Province</td>
<td>$50,000</td>
</tr>
<tr>
<td>Beijing Association of Sustainable Development 15th Floor, Venture Plaza B, No 11 Aixiangbeil, Chaoyang District Beijing, 100101 P R China</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To accelerate the implementation of air conditioner energy efficiency standards in Beijing and Shanghai</td>
<td>$35,000</td>
</tr>
</tbody>
</table>
## Grants and Contributions Paid During the Year or Approved for Future Payment

<table>
<thead>
<tr>
<th>Recipient</th>
<th>If recipient is an individual, show any relationship to any foundation manager or substantial contributor</th>
<th>Foundation status of recipient</th>
<th>Purpose of grant or contribution</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Beijing Automotive Research Institute Co., Ltd 9 South Fangzhuang Road, Fengtai District Beijing, 100079 P.R. CHINA</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To assist the Beijing Environmental Protection Bureau with developing an action plan to retrofit Beijing’s diesel vehicle fleet</td>
<td>$5,000</td>
</tr>
<tr>
<td>Beijing Automotive Research Institute Co., Ltd 9 South Fangzhuang Road, Fengtai District Beijing, 100079 P.R. CHINA</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To develop an action plan to retrofit Beijing’s diesel vehicle fleet</td>
<td>$40,000</td>
</tr>
<tr>
<td>Beijing Electric Light Source Research Institute No. 3, Dabeyao Changpocun, Chaoyang District Beijing, 100022 P.R. CHINA</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To support an assessment of compliance rates with energy-efficient lighting standards in China</td>
<td>$10,000</td>
</tr>
<tr>
<td>Beijing Energy Efficiency Center Zhansimen Road, Shafei Beijing, 100038 P.R. CHINA</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To develop national Demand Side Management (DSM) policies, to incorporate DSM into power sector reforms, and to coordinate provincial DSM pilots</td>
<td>$4,000</td>
</tr>
<tr>
<td>Beijing Energy Efficiency Center Zhansimen Road, Shafei Beijing, 100038 P.R. CHINA</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To develop national demand-side management (DSM) policies, advocate the reform of power sector regulatory practices to encourage DSM, and coordinate provincial DSM pilot projects</td>
<td>$50,000</td>
</tr>
<tr>
<td>Beijing Jiaotong University No. 3 Shangyuanzhuang, Haidian District Beijing, 100044 P.R. CHINA</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To conduct an on-road test of the Toyota Prius in order to determine the suitability of its use as a taxi in Shenzhen</td>
<td>$10,000</td>
</tr>
<tr>
<td>Beijing Oriental Environment Research Institute A309, Taide Business Garden, No. 10 Gaoyuan Street Chaoyang District Beijing, 100029 P.R. CHINA</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To support analysis of international best practices for enforcing China’s new Renewable Energy Law</td>
<td>$35,000</td>
</tr>
<tr>
<td>Beijing Vehicle Tailpipe Emissions Management Center No. 5 Wanliu Middle Road, Haidian District Beijing, 100089 P.R. CHINA</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To support the Beijing Municipal Environmental Protection Bureau to establish enforcement mechanisms for implementing Euro-III vehicle emissions standards and to encourage the use of cleaner vehicles</td>
<td>$1,000</td>
</tr>
<tr>
<td>California Climate Action Registry 515 South Flower Street, Suite 1640 Los Angeles, CA 90071</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To create carbon reporting standards that support mandatory reporting and carbon caps, and to work with other states on a multi-regional GHG registry</td>
<td>$45,000</td>
</tr>
<tr>
<td>California Climate Action Registry 515 South Flower Street, Suite 1640 Los Angeles, CA 90071</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To create carbon reporting standards that support mandatory reporting and carbon caps, and to work with other states on a multi-regional GHG registry</td>
<td>$170,000</td>
</tr>
<tr>
<td>Center for a New American Dream 6930 Carroll Avenue Takoma Park, MD 20912</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To build and demonstrate market demand for low-GHG vehicles</td>
<td>$25,000</td>
</tr>
<tr>
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<td>Amount</td>
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<tr>
<td>----------------------------------</td>
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<tr>
<td>Center for Clean Air Policy 750 First Street Washington, DC 20002</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To support global warming policy development in California, Oregon, and the Northeast</td>
<td>$150,000</td>
</tr>
<tr>
<td>Center for Energy Efficiency and Renewable Technologies 1100-11th Street, Suite 311 Sacramento, CA 95814</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To promote policies that drive new renewable energy markets in California</td>
<td>$150,000</td>
</tr>
<tr>
<td>Center for Energy Efficiency and Renewable Technologies 1100-11th Street, Suite 311 Sacramento, CA 95814</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To support carbon control policies in California, and restrict coal power imports into California</td>
<td>$125,000</td>
</tr>
<tr>
<td>Center for Public Interest Research, Inc 29 Temple Place Boston, MA 02108</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To promote the substantial energy, environmental, and economic benefits of state appliance and equipment efficiency standards</td>
<td>$160,000</td>
</tr>
<tr>
<td>Center for Public Interest Research, Inc 29 Temple Place Boston, MA 02108</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To support adoption and implementation of California’s Motor Vehicle Program</td>
<td>$300,000</td>
</tr>
<tr>
<td>Center for Public Interest Research, Inc 29 Temple Place Boston, MA 02108</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To support advocacy on the Regional Greenhouse Gas Initiative by the New England Climate Coalition</td>
<td>$250,000</td>
</tr>
<tr>
<td>Center for Public Interest Research, Inc 29 Temple Place Boston, MA 02108</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To support clean car programs in several states</td>
<td>$325,000</td>
</tr>
<tr>
<td>Center for Renewable Energy Development, ERI Zhuhai Avenue, 5th Floor Beijing, 100074 P R CHINA</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To generate policy recommendations and design a roadmap for the development of a wind power industry in China</td>
<td>$10,000</td>
</tr>
<tr>
<td>Center for Resource Economics 1718 Connecticut Ave., NW Washington, DC 20009</td>
<td>N/A</td>
<td>509(a)(3)</td>
<td>To support media outreach for the book, Lives Per Gallon</td>
<td>$30,000</td>
</tr>
<tr>
<td>Center for Resource Solutions Presidio Building 49 San Francisco, CA 94129</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To advance the role of renewable energy in carbon trading systems</td>
<td>$35,000</td>
</tr>
<tr>
<td>Center for Resource Solutions Presidio Building 49 San Francisco, CA 94129</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To build the capacity of provincial governments to develop and implement policies promoting renewable energy development</td>
<td>$180,000</td>
</tr>
<tr>
<td>Ceres, Inc 99 Chauncy Street Boston, MA 02111-1703</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To change corporate behavior on energy and climate issues through education and mobilization of institutional and shareholder investors</td>
<td>$100,000</td>
</tr>
<tr>
<td>Ceres, Inc 99 Chauncy Street Boston, MA 02111-1703</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To engage Wall Street on the financial risks and opportunities that climate change poses to the auto sector</td>
<td>$60,000</td>
</tr>
<tr>
<td>Chengdu Institute of Urban Planning &amp; Design No 2 Wuding Road Chengdu, 610081 P R China</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To assist the Chengdu municipal government with developing a detailed implementation plan for bus rapid transit demonstration</td>
<td>$10,000</td>
</tr>
</tbody>
</table>
## Grants and Contributions Paid During the Year or Approved for Future Payment

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<thead>
<tr>
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<th>Amount</th>
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</thead>
<tbody>
<tr>
<td>Chesapeake Climate Action Network</td>
<td>N/A</td>
<td>509(e)(1)</td>
<td>To build support for clean cars in Maryland</td>
<td>$15,000</td>
</tr>
<tr>
<td>PO Box 11138, Takoma Park, MD 20912</td>
<td></td>
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<tr>
<td>China Academy of Transportation Science</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To support the development of a national sustainable transportation strategy for China and disseminate it to senior central government leaders and ministries</td>
<td>$5,000</td>
</tr>
<tr>
<td>Huaxi 240, Chaoyang District, Beijing, 100029, P.R. China</td>
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</tr>
<tr>
<td>China Academy of Urban Planning and Design</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To support the Ministry of Construction (MOC) to develop policies to promote Bus Rapid Transit system development in Chinese cities</td>
<td>$10,000</td>
</tr>
<tr>
<td>No 5 Cheongzhuan West Road, Beijing, 100044, P.R. China</td>
<td></td>
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<tr>
<td>China Automotive Technology and Research Center</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To analyze environmental and energy savings benefits from establishing a market-based financial incentive mechanism for advanced vehicle technologies and transportation system reform</td>
<td>$5,000</td>
</tr>
<tr>
<td>Tianjin, PO Box No 59, P.R. CHINA</td>
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<tr>
<td>China Automotive Technology and Research Center</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To assist the National Development and Reform Commission with developing a mandatory vehicle fuel efficiency labeling system to promote consumer purchases of fuel efficient vehicles</td>
<td>$5,000</td>
</tr>
<tr>
<td>Tianjin, PO Box No 59, P.R. CHINA</td>
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</tr>
<tr>
<td>China Automotive Technology and Research Center</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To develop an enforcement mechanism and Euro IV fuel quality standard to ensure the implementation of Beijing local Euro III and Euro IV vehicle emission standards</td>
<td>$1,000</td>
</tr>
<tr>
<td>Tianjin, PO Box No 59, P.R. CHINA</td>
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<tr>
<td>China Automotive Technology and Research Center</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To evaluate the implementation to date of China’s light-duty vehicle fuel economy standards</td>
<td>$70,000</td>
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<tr>
<td>China Automotive Technology and Research Center</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To support the National Development and Reform Commission in its efforts to develop a light-duty truck fuel economy standard</td>
<td>$5,000</td>
</tr>
<tr>
<td>Tianjin, PO Box No 59, P.R. CHINA</td>
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<tr>
<td>China Certification Center for Energy Conservation Product</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To assist China in developing a minimum energy efficiency standard for power supplies</td>
<td>$5,000</td>
</tr>
<tr>
<td>No 4 Zhuchun Road, Haidian District, Beijing, 100088, P.R. CHINA</td>
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<td></td>
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<tr>
<td>Name and address (home or business) / Foundation status of recipient</td>
<td>Purpose of grant or contribution</td>
<td>Amount</td>
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<td>China Certification Center for Energy Conservation Product No 4 Zhuchun Road, Haidian District, Beijing, 100088 P.R. CHINA</td>
<td>Expenditure Responsibility - See STMT 8 To support China's efforts in developing a set-top box energy efficiency standard and label</td>
<td>$2,000</td>
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<tr>
<td>China Certification Center for Energy Conservation Product No 4 Zhuchun Road, Haidian District, Beijing, 100088 P.R. CHINA</td>
<td>Expenditure Responsibility - See STMT 8 To support development of an energy efficiency standard and labeling program for power supplies</td>
<td>$2,000</td>
<td></td>
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<tr>
<td>China Electric Power Promotion Council Room 503, Zonghe Building, No 1, Erhao, Banguanlu Road, Xuanwu District, Beijing, 100761 100761 P.R. China</td>
<td>Expenditure Responsibility - See STMT 8 To identify least-cost ways to meet China's growing energy demand using integrated resource planning (IRP), build IRP capacity in China, and select pilot cities to demonstrate the use of IRP principles in planning power sector development</td>
<td>$30,000</td>
<td></td>
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<tr>
<td>China Energy Conservation Association No 18, Bei San Huan Dong Lu, Beijing, 100013 P.R. CHINA</td>
<td>Expenditure Responsibility - See STMT 8 To promote industrial sector Energy Efficiency Agreements nationwide through an information exchange platform</td>
<td>$2,000</td>
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<tr>
<td>China Energy Conservation Association No 18, Bei San Huan Dong Lu, Beijing, 100013 P.R. CHINA</td>
<td>Expenditure Responsibility - See STMT 8 To promote the nationwide use of energy efficiency agreements in the industrial sector through an information exchange platform</td>
<td>$15,000</td>
<td></td>
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</tr>
<tr>
<td>China Energy Conservation Investment Corporation Sichuan Mansion, East Building, 18-19th Floor Haidian District, Beijing 100036 P.R. CHINA</td>
<td>Expenditure Responsibility - See STMT 8 To support development of fiscal and tax policies for industrial sector energy efficiency</td>
<td>$5,000</td>
<td></td>
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<tr>
<td>China Energy Research Society 3 Fuxing Road, Beijing, 100045 P.R. CHINA</td>
<td>Expenditure Responsibility - See STMT 8 To publish and distribute policy recommendations developed by China Sustainable Energy Program (CSEP) grantees to senior policy decision-makers at the central and local government levels</td>
<td>$5,000</td>
<td></td>
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<tr>
<td>China Energy Research Society 3 Fuxing Road, Beijing, 100045 P.R. CHINA</td>
<td>Expenditure Responsibility - See STMT 8 To publish and distribute policy recommendations developed by China Sustainable Energy Program (CSEP) grantees to senior policy decision-makers at the central and local government levels</td>
<td>$30,000</td>
<td></td>
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<tr>
<td>China National Institute of Standardization No 3 Yu Hu South Road, Chaoyang District, Beijing, 100088 P.R. CHINA</td>
<td>Expenditure Responsibility - See STMT 8 To assist China in the revision of the refrigerator energy efficiency “reach” standard</td>
<td>$40,000</td>
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</tbody>
</table>
## Grants and Contributions Paid During the Year or Approved for Future Payment

<table>
<thead>
<tr>
<th>Name and address (home or business)</th>
<th>Foundation status of recipient</th>
<th>Purpose of grant or contribution</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>China National Institute of Standardization</strong>&lt;br&gt;No 3 Yu Hun South Road, Chaoyang District&lt;br&gt;Beijing, 100088&lt;br&gt;P R. CHINA</td>
<td>N/A Expenditure Responsibility - See STMT 8</td>
<td>To design implementation guidelines for energy information labels for air conditioners</td>
<td>$5,000</td>
</tr>
<tr>
<td><strong>China National Institute of Standardization</strong>&lt;br&gt;No 3 Yu Hun South Road, Chaoyang District&lt;br&gt;Beijing, 100088&lt;br&gt;P R. CHINA</td>
<td>N/A Expenditure Responsibility - See STMT 8</td>
<td>To develop monitoring and enforcement mechanisms for implementing appliance efficiency standards in China</td>
<td>$5,000</td>
</tr>
<tr>
<td><strong>China National Institute of Standardization</strong>&lt;br&gt;No 3 Yu Hun South Road, Chaoyang District&lt;br&gt;Beijing, 100088&lt;br&gt;P R. CHINA</td>
<td>N/A Expenditure Responsibility - See STMT 8</td>
<td>To develop standards governing the amount of energy consumed to produce key industrial products</td>
<td>$25,000</td>
</tr>
<tr>
<td><strong>China National Institute of Standardization</strong>&lt;br&gt;No 3 Yu Hun South Road, Chaoyang District&lt;br&gt;Beijing, 100088&lt;br&gt;P R. CHINA</td>
<td>N/A Expenditure Responsibility - See STMT 8</td>
<td>To translate and publish a guidebook for appliance standards and labels</td>
<td>$2,000</td>
</tr>
<tr>
<td><strong>China National Institute of Standardization</strong>&lt;br&gt;No 3 Yu Hun South Road, Chaoyang District&lt;br&gt;Beijing, 100088&lt;br&gt;P R. CHINA</td>
<td>N/A Expenditure Responsibility - See STMT 8</td>
<td>To develop monitoring and enforcement mechanisms to improve the implementation of appliance energy efficiency standards in China</td>
<td>$35,000</td>
</tr>
<tr>
<td><strong>China National Petroleum and Chemical Planning Institute</strong>&lt;br&gt;Building No 16, QiuQu, Hepingli&lt;br&gt;Beijing, 100013&lt;br&gt;P R. China</td>
<td>N/A Expenditure Responsibility - See STMT 8</td>
<td>To assist the National Development and Reform Commission with developing a national alternative fuel development strategy</td>
<td>$5,000</td>
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<tr>
<td><strong>China Urban Public Transport Association</strong>&lt;br&gt;No 2, South Yuquan Road&lt;br&gt;Beijing, 100640&lt;br&gt;P R. China</td>
<td>N/A Expenditure Responsibility - See STMT 8</td>
<td>To support CUPTA in establishing a Bus Rapid Transit (BRT) Sub-Association and to organize a BRT training program</td>
<td>$45,000</td>
</tr>
<tr>
<td><strong>Chinese Academy for Environmental Planning</strong>&lt;br&gt;No 8, Dayangfang Street&lt;br&gt;Any, Beijing, Chaoyang District&lt;br&gt;Beijing, 100012&lt;br&gt;P R. China</td>
<td>N/A Expenditure Responsibility - See STMT 8</td>
<td>To catalyze comprehensive environmental regulatory reform through an assessment of China’s State Environmental Protection Administration, provincial environmental protection bureaus, and international best practice recommendations</td>
<td>$55,000</td>
</tr>
<tr>
<td><strong>Chinese Academy for Environmental Planning</strong>&lt;br&gt;No 8, Dayangfang Street&lt;br&gt;Any, Beijing, Chaoyang District&lt;br&gt;Beijing, 100012&lt;br&gt;P R. China</td>
<td>N/A Expenditure Responsibility - See STMT 8</td>
<td>To redesign China’s pollution levy in order to bolster implementation incentives for the Top-1000 Enterprises Energy-Efficiency Program</td>
<td>$95,000</td>
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<tr>
<td>Recipient</td>
<td>If recipient is an individual, show any relationship to any foundation manager or substantial contributor</td>
<td>Foundation status of recipient</td>
<td>Purpose of grant or contribution</td>
</tr>
<tr>
<td>--------------------------------------------------------------------------</td>
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<tr>
<td>Chinese Academy of Social Sciences</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To support the development of local, regional, and sectoral action plans and an advanced energy technology development roadmap to direct China’s economy toward a less-energy-intensive development path.</td>
</tr>
<tr>
<td>No 5 Jiangguomennei Dajie Beijing, 100732 P R CHINA</td>
<td></td>
<td></td>
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</tr>
<tr>
<td>Chinese Research Academy of Environmental Sciences</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To design and implement a plan for introducing low-sulfur fuels in China.</td>
</tr>
<tr>
<td>No 8, Dayaoyangfang Street Anwai, Beiyuan, Chaoyang District Beijing, 100012 P R CHINA</td>
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</tr>
<tr>
<td>Chongqing Construction Technology Development Center</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To assist China in developing incentive policies and market-driven programs for building code implementation in the Hot Summer Cold-Winter region.</td>
</tr>
<tr>
<td>69 Shangqinshui Road, 7th Floor Chongqing, 400015 P R CHINA</td>
<td></td>
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</tr>
<tr>
<td>Chongqing Construction Technology Development Center</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To establish local regulations and policies for building energy code compliance in Chongqing.</td>
</tr>
<tr>
<td>69 Shangqinshui Road, 7th Floor Chongqing, 400015 P R CHINA</td>
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<tr>
<td>Chongqing University</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To develop energy performance standards for both central air conditioners and large-scale commercial buildings in the Hot-Summer Cold-Winter (Central China) region.</td>
</tr>
<tr>
<td>83 North Street Shapingba Chongqing, 400045 PR China</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>Citizens for Pennsylvania’s Future</td>
<td>N/A</td>
<td>50%(a)(1)</td>
<td>To build support for clean car policies in Pennsylvania.</td>
</tr>
<tr>
<td>212 Locust Street, Suite 410 Harrisburg, PA 17101</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Citizens for Pennsylvania’s Future</td>
<td>N/A</td>
<td>50%(a)(1)</td>
<td>To build support for global warming action in Pennsylvania.</td>
</tr>
<tr>
<td>212 Locust Street, Suite 410 Harrisburg, PA 17101</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Citizens for Pennsylvania’s Future</td>
<td>N/A</td>
<td>50%(a)(1)</td>
<td>To expand funding for energy efficiency services in Pennsylvania, and to convince state officials and developers to apply carbon sequestration to coal-gasification and coal-to-liquid fuels projects.</td>
</tr>
<tr>
<td>212 Locust Street, Suite 410 Harrisburg, PA 17101</td>
<td></td>
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</tr>
<tr>
<td>Citizens for Pennsylvania’s Future</td>
<td>N/A</td>
<td>50%(a)(1)</td>
<td>To print and distribute a report of the Renewable Agricultural Energy Council, and to craft policy recommendations for biofuels in Pennsylvania.</td>
</tr>
<tr>
<td>212 Locust Street, Suite 410 Harrisburg, PA 17101</td>
<td></td>
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<tr>
<td>Clean Air Cool Planet, Inc., A Northeast Alliance</td>
<td>N/A</td>
<td>50%(a)(1)</td>
<td>To educate the public and policymakers about global warming impacts and solutions through the New Hampshire Global Warming Education Project.</td>
</tr>
<tr>
<td>100 Market Street Suite 204 New Canaan, CT 06840</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Clean Air Council</td>
<td>N/A</td>
<td>50%(a)(1)</td>
<td>To educate decision-makers and the public about the benefits of implementing California auto emissions standards in Pennsylvania.</td>
</tr>
<tr>
<td>135 South 19th Street, Suite 300 Philadelphia, PA 19103</td>
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</tbody>
</table>
### Grants and Contributions Paid During the Year or Approved for Future Payment

<table>
<thead>
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<th>Foundation status of recipient</th>
<th>Purpose of grant or contribution</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Clean Air Task Force, Inc</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To collaborate in negotiations to resist the expansion of power generation from coal-fired power plants</td>
<td>$70,000</td>
</tr>
<tr>
<td>c/o Grants Management Associates</td>
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<td></td>
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</tr>
<tr>
<td>Boston, MA 02108</td>
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<tr>
<td>Clean Air Task Force, Inc</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To defeat proposed conventional coal power plants in Western states</td>
<td>$70,000</td>
</tr>
<tr>
<td>c/o Grants Management Associates</td>
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<tr>
<td>Boston, MA 02108</td>
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<tr>
<td>Clean Air Watch</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To educate the media about the value of state clean air regulations</td>
<td>$10,000</td>
</tr>
<tr>
<td>1090 Vermont Avenue, NW</td>
<td></td>
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</tr>
<tr>
<td>Suite 800</td>
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<tr>
<td>Washington, DC 20005</td>
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<tr>
<td>Clean Air Watch</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To educate the media about the value of state clean air regulations</td>
<td>$12,000</td>
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<tr>
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<tr>
<td>Clean Air Watch</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To educate the media about the value of state clean air regulations</td>
<td>$16,000</td>
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<tr>
<td>Washington, DC 20005</td>
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<tr>
<td>Clean up the River Environment</td>
<td>N/A</td>
<td>509(a)(2)</td>
<td>To resist permits for new conventional coal plants in the Midwest</td>
<td>$20,000</td>
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<tr>
<td>114 South 1st Street West</td>
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<tr>
<td>Montevideo, MN 56265</td>
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<tr>
<td>Clean Water Fund</td>
<td>N/A</td>
<td>509(a)(2)</td>
<td>To resist permits for new conventional coal plants in the Midwest</td>
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<tr>
<td>326 Hennepin Avenue East</td>
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<tr>
<td>Minneapolis, MN 55414</td>
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<tr>
<td>Clean Wisconsin, Inc</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To implement energy efficiency and renewable requirements in Wisconsin</td>
<td>$55,000</td>
</tr>
<tr>
<td>122 State Street, Suite 200</td>
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<tr>
<td>Madison, WI 53703-2500</td>
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<tr>
<td>Climate Policy Center</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To develop and build support for new approaches to federal carbon limits</td>
<td>$75,000</td>
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<tr>
<td>1730 Rhode Island Avenue NW, Suite 707</td>
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<td></td>
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<tr>
<td>Washington, DC 20036</td>
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<tr>
<td>Climate Solutions</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To educate Washington state policymakers, public, and media about the need for strong state climate policy</td>
<td>$100,000</td>
</tr>
<tr>
<td>610 E 4th Avenue</td>
<td></td>
<td></td>
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<tr>
<td>Olympia, WA 98501-1113</td>
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<tr>
<td>Climate Solutions</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To organize and educate the farm community in Idaho around renewable energy policy</td>
<td>$35,000</td>
</tr>
<tr>
<td>610 E 4th Avenue</td>
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<tr>
<td>Olympia, WA 98501-1113</td>
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<tr>
<td>Climate Solutions</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To provide regional outreach and organizing of farm groups in support of policies to encourage farm-based energy production</td>
<td>$75,000</td>
</tr>
<tr>
<td>610 E 4th Avenue</td>
<td></td>
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<tr>
<td>Olympia, WA 98501-1113</td>
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<tr>
<td>Coalition for Clean Air</td>
<td>N/A</td>
<td>509(a)(2)</td>
<td>To promote advanced vehicles and fuels in California</td>
<td>$50,000</td>
</tr>
<tr>
<td>1107 9th Street, Suite 620</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Sacramento, CA 95814</td>
<td></td>
<td></td>
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</tr>
<tr>
<td>Collaborative Labeling and Appliance Standards Program</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To translate and publish a guidebook for appliance standards and labels</td>
<td>$1,000</td>
</tr>
<tr>
<td>Name and address (home or business)</td>
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<td>Amount</td>
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</tr>
<tr>
<td>Colorado Working Landscapes 5655 S Yosemite Street, Suite 400 Greenwood Village, CO 80111</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To support Working Landscapes, the Farm Bureau, Farmers Union, and Environment Colorado to promote farmer-owned wind power in Colorado, to engage rural electric co-ops on renewable energy, and to craft a renewable energy action plan for the state</td>
<td>$50,000</td>
</tr>
<tr>
<td>Colorado Working Landscapes 5655 S Yosemite Street, Suite 400 Greenwood Village, CO 80111</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To provide regional outreach and organizing of farm groups in support of policies to encourage farm-based energy production</td>
<td>$75,000</td>
</tr>
<tr>
<td>Conservation Law Foundation, Inc 62 Summer Street Boston, MA 02110-1016</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To defend global warming pollution regulations in Northeast states</td>
<td>$40,000</td>
</tr>
<tr>
<td>Conservation Law Foundation, Inc 62 Summer Street Boston, MA 02110-1016</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To educate the media about state greenhouse gas vehicle emissions standards</td>
<td>$10,000</td>
</tr>
<tr>
<td>Conservation Law Foundation, Inc 62 Summer Street Boston, MA 02110-1016</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To support implementation of the Regional Greenhouse Gas Initiative</td>
<td>$140,000</td>
</tr>
<tr>
<td>Consultative Group on Biological Diversity Prendio Building 1013 San Francisco, CA 94129</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To support the Climate and Energy Funders Network</td>
<td>$20,000</td>
</tr>
<tr>
<td>Consumer Federation of America 1620 I Street, NW - Suite 200 Washington, DC 20006</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To provide research, education, and advocacy aimed at reducing vehicle fuel use and associated consumer expenses</td>
<td>$110,000</td>
</tr>
<tr>
<td>Consumer Federation of America 1620 I Street, NW - Suite 200 Washington, DC 20006</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To support a consumer voice in the public debate over gasoline prices and national oil use</td>
<td>$25,000</td>
</tr>
<tr>
<td>Detroit Economic Growth Association 500 Griswold, Ste 2200 Detroit, MI 48226</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To analyze and prepare a white paper on the economic development potential of large-scale renewable energy industry in Michigan</td>
<td>$35,000</td>
</tr>
<tr>
<td>Deutsche Umwelthilfe Fritz-Rechle-Ring 4 Rodolfsfeld, 78315 Germany</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To support a workshop on policies to reduce greenhouse gas emissions from vehicles</td>
<td>$15,000</td>
</tr>
<tr>
<td>Development Research Center of the State Council No 223 Chaoyangmen Nen Dujie, Dongcheng District Beijing, 100010 P R CHINA</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To develop national tax and fiscal policies to promote clean energy technology investment</td>
<td>$5,000</td>
</tr>
<tr>
<td>Development Research Center of the State Council No 223 Chaoyangmen Nen Dujie, Dongcheng District Beijing, 100010 P R CHINA</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To provide central government energy tax and fiscal policy capacity building</td>
<td>$5,000</td>
</tr>
<tr>
<td>Name and address (home or business)</td>
<td>Foundation status of recipient</td>
<td>Purpose of grant or contribution</td>
<td>Amount</td>
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</tr>
<tr>
<td>Development Research Center of the State Council No 225 Chaoyangmen Nen Daje, Dongcheng District Beijing, 100010 P R CHINA</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To submit policy recommendations developed by grantees in all program areas to the State Council and other senior government ministries</td>
<td>$2,000</td>
<td></td>
</tr>
<tr>
<td>Development Research Center of the State Council No 225 Chaoyangmen Nen Daje, Dongcheng District Beijing, 100010 P R CHINA</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To support the development of local, regional, and sectoral action plans and an advanced energy technology development roadmap to direct China’s economy toward a less-energy-intensive development path</td>
<td>$30,000</td>
<td></td>
</tr>
<tr>
<td>Duke University Nicholas School of the Environment and Earth Sciences 207 Allen Building, Box 90001 Durham, NC 27706-0001</td>
<td>509(a)(1)</td>
<td>To research cap-and-trade policy issues</td>
<td>$15,000</td>
<td></td>
</tr>
<tr>
<td>Earth Island Institute PO Box 29908 - Presidio Station San Francisco, CA 94133</td>
<td>509(a)(1)</td>
<td>To support the Campus Climate Challenge to bring student activists into state global warming debates</td>
<td>$30,000</td>
<td></td>
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<tr>
<td>Ecology Center, Inc 117 North Division Ann Arbor, MI 48104</td>
<td>509(a)(2)</td>
<td>To promote state and federal policies that help Upper Midwest states retain jobs by manufacturing advanced vehicles and biofuels</td>
<td>$90,000</td>
<td></td>
</tr>
<tr>
<td>Educational Fund for Corporate Social Responsibility d/b/a Connected Organizations for a Responsible Economy 2260 Baseline Road, Suite 200 Denver, CO 80202</td>
<td>509(a)(1)</td>
<td>To advocate policies that facilitate integration of clean energy technologies on the electric grid in Western states</td>
<td>$100,000</td>
<td></td>
</tr>
<tr>
<td>Educational Fund for Corporate Social Responsibility d/b/a Connected Organizations for a Responsible Economy 2260 Baseline Road, Suite 200 Denver, CO 80202</td>
<td>509(a)(1)</td>
<td>To support successful adoption and implementation of state renewable energy standards and other policies in Western states</td>
<td>$40,000</td>
<td></td>
</tr>
<tr>
<td>Energy and Transportation Technologies, LLC 325 Cordova Street, Suite 331 Pasadena, CA 91011</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To analyze the feasibility and cost-effectiveness of applying fuel efficiency technologies to China’s light duty truck and heavy-duty vehicle fleet, and develop the scientific basis for adopting fuel efficiency standards for light-duty trucks and heavy-duty vehicles</td>
<td>$1,000</td>
<td></td>
</tr>
<tr>
<td>Energy and Transportation Technologies, LLC 325 Cordova Street, Suite 331 Pasadena, CA 91011</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To provide consultation on the development of a fuel efficiency standard for heavy-duty vehicles and on evaluating implementation to date of China’s light-duty passenger vehicle and light-duty truck fuel economy standards</td>
<td>$24,000</td>
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<tr>
<td>Energy Research Institute Zhansimen Road, Shaha Beijing, 100038 P R CHINA</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To build the capacity of provincial governments to develop and implement plans and policies promoting renewable energy development</td>
<td>$110,000</td>
<td></td>
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<tr>
<td>Energy Research Institute Zhansimen Road, Shaha Beijing, 100038 P R CHINA</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To catalyze comprehensive environmental regulatory reform through an assessment of China’s State Environmental Protection Administration, provincial environmental protection bureaus, and international best practice recommendations</td>
<td>$75,000</td>
<td></td>
</tr>
<tr>
<td>Recipient</td>
<td>If recipient is an individual, show any relationship to any foundation manager or substantial contributor</td>
<td>Foundation status of recipient</td>
<td>Purpose of grant or contribution</td>
<td>Amount</td>
</tr>
<tr>
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<tr>
<td>Energy Research Institute Zhansimen Road, Chahe Beijing, 100038 P R CHINA</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To develop a national development strategy for biofuels</td>
<td>$35,000</td>
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<tr>
<td>Energy Research Institute Zhansimen Road, Chahe Beijing, 100038 P R CHINA</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To develop energy efficiency evaluation indicators to monitor the energy use of industrial enterprises participating in China's Top-1,000 Enterprises Energy Efficiency Program</td>
<td>$60,000</td>
</tr>
<tr>
<td>Energy Research Institute Zhansimen Road, Chahe Beijing, 100038 P R CHINA</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To develop national tax and fiscal policies to promote clean energy technology investment</td>
<td>$10,000</td>
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<tr>
<td>Energy Research Institute Zhansimen Road, Chahe Beijing, 100038 P R CHINA</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To develop policies improving the energy efficiency of large commercial buildings in China</td>
<td>$45,000</td>
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<tr>
<td>Energy Research Institute Zhansimen Road, Chahe Beijing, 100038 P R CHINA</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To develop recommendations for a national energy tax for China</td>
<td>$45,000</td>
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<tr>
<td>Energy Research Institute Zhansimen Road, Chahe Beijing, 100038 P R CHINA</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To develop statistical energy use indicators in order to monitor the energy use of industrial enterprises participating in China's Top-1000 Enterprises Energy Efficiency Program</td>
<td>$75,000</td>
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<tr>
<td>Energy Research Institute Zhansimen Road, Chahe Beijing, 100038 P R CHINA</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To develop strategies to ensure the stability of China's wind energy market</td>
<td>$70,000</td>
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<tr>
<td>Energy Research Institute Zhansimen Road, Chahe Beijing, 100038 P R CHINA</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To develop tax and fiscal policies that further building code implementation</td>
<td>$5,000</td>
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<tr>
<td>Energy Research Institute Zhansimen Road, Chahe Beijing, 100038 P R CHINA</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To establish a national public benefits fund to support renewable energy and energy efficiency</td>
<td>$5,000</td>
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<tr>
<td>Energy Research Institute Zhansimen Road, Chahe Beijing, 100038 P R CHINA</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To formulate implementation regulations for China's comprehensive renewable energy policy</td>
<td>$5,000</td>
</tr>
<tr>
<td>Name and address (home or business)</td>
<td>If recipient is an individual, show any relationship to any foundation manager or substantial contributor</td>
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<tr>
<td>Energy Research Institute</td>
<td>N/A</td>
<td>Expenditure</td>
<td>To provide central government energy tax and fiscal policy capacity building</td>
<td>$5,000</td>
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<tr>
<td>Zhanzismen Road, Shae</td>
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<td>Responsibility - See STMT 8</td>
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<tr>
<td>Beijing,</td>
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<td>P R CHINA</td>
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<tr>
<td>Energy Research Institute</td>
<td>N/A</td>
<td>Expenditure</td>
<td>To support the development of local, regional, and sectoral action plans and an advanced energy technology development roadmap to direct China's economy toward a less-energy-intensive development path</td>
<td>$50,000</td>
</tr>
<tr>
<td>Zhanzismen Road, Shae</td>
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<td>Responsibility - See STMT 8</td>
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<tr>
<td>P R CHINA</td>
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<tr>
<td>Enterprise Environmental Solutions Inc</td>
<td>N/A</td>
<td>509(a)(3)</td>
<td>To support the development of state global warming action plans</td>
<td>$200,000</td>
</tr>
<tr>
<td>130 Locust Street, Suite 200</td>
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<tr>
<td>Harrisburg, PA 17101</td>
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<tr>
<td>Environment California Research and Policy Center, Inc</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To educate decision-makers and the public about carbon reduction opportunities in California</td>
<td>$26,000</td>
</tr>
<tr>
<td>1107 Ninth Street, Suite 601</td>
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<tr>
<td>Sacramento, CA 95814</td>
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<tr>
<td>Environment Illinois Research and Education Center</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To expand energy efficiency services and resist expansion of coal-fired power plants in Illinois</td>
<td>$40,000</td>
</tr>
<tr>
<td>407 S Dearborn St. 701</td>
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<tr>
<td>Chicago, IL 60605</td>
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<tr>
<td>Environment New Mexico Inc</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To build support for clean car programs in New Mexico</td>
<td>$50,000</td>
</tr>
<tr>
<td>A Project of New Mexico Public Interest Research Education Foundation</td>
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<tr>
<td>P O Box 40173</td>
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<tr>
<td>Albuquerque, NM 87196-0713</td>
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<tr>
<td>Environment Northeast</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To continue to support the advancement of key efficiency priorities in the region</td>
<td>$45,000</td>
</tr>
<tr>
<td>P O Box 313</td>
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<tr>
<td>Rockport, ME 04856-0313</td>
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<tr>
<td>Environment Northeast</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To promote the substantial energy, environmental, and economic benefits of state appliance and equipment efficiency standards</td>
<td>$30,000</td>
</tr>
<tr>
<td>P O Box 313</td>
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<tr>
<td>Rockport, ME 04856-0313</td>
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<tr>
<td>Environment Northeast</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To support implementation of the Regional Greenhouse Gas Initiative</td>
<td>$175,000</td>
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<tr>
<td>P O Box 313</td>
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<tr>
<td>Rockport, ME 04856-0313</td>
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<tr>
<td>Environmental Advocates of New York, Inc</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To advocate for a strong cap-and-trade program in New York</td>
<td>$75,000</td>
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<tr>
<td>353 Hamilton Street</td>
<td></td>
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</tr>
<tr>
<td>Albany, NY 12210</td>
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<tr>
<td>Environmental and Energy Study Institute</td>
<td>N/A</td>
<td>509(a)(2)</td>
<td>To educate federal policymakers about global warming science, solutions, and state activity</td>
<td>$70,000</td>
</tr>
<tr>
<td>122 C Street NW, Suite 700</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Washington, DC 20001-2109</td>
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<tr>
<td>Environmental and Energy Study Institute</td>
<td>N/A</td>
<td>509(a)(2)</td>
<td>To educate federal policymakers about the opportunities and benefits around farm-based energy production and bioenergy</td>
<td>$75,000</td>
</tr>
<tr>
<td>122 C Street NW, Suite 700</td>
<td></td>
<td></td>
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<tr>
<td>Washington, DC 20001-2109</td>
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<tr>
<td>Environmental and Energy Study Institute</td>
<td>N/A</td>
<td>509(a)(2)</td>
<td>To educate policymakers about flex-fuel and plug-in hybrid vehicles</td>
<td>$30,000</td>
</tr>
<tr>
<td>Name and address (home or business)</td>
<td>If recipient is an individual, show any relationship to any foundation manager or substantial contributor</td>
<td>Foundation status of recipient</td>
<td>Purpose of grant or contribution</td>
<td>Amount</td>
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<tr>
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</tr>
</tbody>
</table>
| Environmental Defense, Incorporated  
257 Park Avenue South  
New York, NY 10010 | N/A | 509(a)(1) | To build support for a carbon cap in California | $200,000 |
| Environmental Defense, Incorporated  
257 Park Avenue South  
New York, NY 10010 | N/A | 509(a)(1) | To defeat proposed conventional coal power plants in Western states | $45,000 |
| Environmental Defense, Incorporated  
257 Park Avenue South  
New York, NY 10010 | N/A | 509(a)(1) | To promote climate-friendly vehicle policies at the federal level and in Connecticut and California | $220,000 |
| Environmental Defense, Incorporated  
257 Park Avenue South  
New York, NY 10010 | N/A | 509(a)(1) | To resist expansion of coal-fired power production in Texas | $50,000 |
| Environmental Defense, Incorporated  
257 Park Avenue South  
New York, NY 10010 | N/A | 509(a)(1) | To support renewable energy and energy efficiency policy development in Texas | $55,000 |
| Environmental Defense, Incorporated  
257 Park Avenue South  
New York, NY 10010 | N/A | 509(a)(1) | To support successful implementation of Texas' renewable portfolio standard and energy efficiency programs, and to advocate for clean energy alternatives to proposed coal plants | $66,000 |
| Environmental Law and Policy Center of the Midwest  
35 East Wacker Drive  
Chicago, IL 60601-2110 | N/A | 509(a)(1) | To avoid permitting and construction of conventional coal-fired power plants, and to promote clean energy development in the Midwest | $80,000 |
| Environmental Law and Policy Center of the Midwest  
35 East Wacker Drive  
Chicago, IL 60601-2110 | N/A | 509(a)(1) | To continue support of the Farm Bill Clean Energy Development Initiative, and to educate policymakers on the benefits of expanded energy programs in the 2007-08 Farm Bill | $300,000 |
| Environmental Law and Policy Center of the Midwest  
35 East Wacker Drive  
Chicago, IL 60601-2110 | N/A | 509(a)(1) | To increase investment in energy efficiency and renewable energy in the Midwest | $300,000 |
| Environmental Law and Policy Center of the Midwest  
35 East Wacker Drive  
Chicago, IL 60601-2110 | N/A | 509(a)(1) | To promote natural gas energy efficiency in Illinois | $50,000 |
| Environmental Law and Policy Center of the Midwest  
35 East Wacker Drive  
Chicago, IL 60601-2110 | N/A | 509(a)(1) | To promote positive clean energy alternatives to conventional coal-fired power plants | $81,000 |
| Environmental Law and Policy Center of the Midwest  
35 East Wacker Drive  
Chicago, IL 60601-2110 | N/A | 509(a)(1) | To raise the profile of global warming and clean energy solutions in the lead-up to the Iowa presidential caucuses | $50,000 |
| Environmental Law and Policy Center of the Midwest  
35 East Wacker Drive  
Chicago, IL 60601-2110 | N/A | 509(a)(1) | To support the start-up of a Midwest greenhouse gas registry | $30,000 |
| Environmental Working Group  
1718 Connecticut Ave, NW  
Washington, DC 20009-3987 | N/A | 509(a)(1) | To support research on vehicle safety | $10,000 |
## Grants and Contributions Paid During the Year or Approved for Future Payment

<table>
<thead>
<tr>
<th>Recipient</th>
<th>Name and address (home or business)</th>
<th>If recipient is an individual, show any relationship to any foundation manager or substantial contributor</th>
<th>Foundation status of recipient</th>
<th>Purpose of grant or contribution</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Fujian Energy Research Society</td>
<td>8F, Block A, Zhongmin Building, No. 9 East Yangquao Road, Fuzhou, Fujian Province, P. R. China</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To develop a detailed roadmap for renewable energy development in Fujian Province</td>
<td>$45,000</td>
</tr>
<tr>
<td>Fujian Energy Research Society</td>
<td>8F, Block A, Zhongmin Building, No. 9 East Yangquao Road, Fuzhou, Fujian Province, P. R. China</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To support development of mandatory market share policies for renewable energy, including feed-in tariffs and other mechanisms to promote volume development of renewable energy technologies</td>
<td>$5,000</td>
</tr>
<tr>
<td>Fuzhou Sixth Science Development and Promotion Center</td>
<td>No. 54 at Middle WuYi Road, Fuzhou, Fujian Province, P. R. China</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To develop policies improving the energy efficiency of large commercial buildings in China</td>
<td>$25,000</td>
</tr>
<tr>
<td>Gansu Huosheng New Energy Design and Research Institute</td>
<td>Jinhua Building, 18th Floor, No. 329 Wudu Road, Lanzhou, Gansu, P. R. China</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To develop an action plan to help Gansu Province achieve its provincial renewable energy development targets</td>
<td>$30,000</td>
</tr>
<tr>
<td>Global Philanthropy Partnership</td>
<td>1916 North Mohawk Street, #7, Chicago, IL, 60614</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To develop a plan for an approach to global warming in the Midwest</td>
<td>$30,000</td>
</tr>
<tr>
<td>Global Village of Beijing</td>
<td>CIAD 301, Yuanmengyuan Xi Lu, Beijing, P. R. CHINA</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To support outreach and education of China’s media in order to promote public awareness and local momentum for implementation of energy efficiency and renewable energy policies</td>
<td>$5,000</td>
</tr>
<tr>
<td>Global Village of Beijing</td>
<td>CIAD 301, Yuanmengyuan Xi Lu, Beijing, P. R. CHINA</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To support training of China’s media in order to promote public awareness and local momentum for implementation of energy efficiency and renewable energy policies</td>
<td>$55,000</td>
</tr>
<tr>
<td>Grand Canyon Trust</td>
<td>Route 4, Box 718, Flagstaff, AZ 86001</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To support successful adoption and implementation of state renewable energy standards and other policies in Western states</td>
<td>$20,000</td>
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<tr>
<td>Great Lakes Renewable Energy Association</td>
<td>1546 10 Mule Road, Comstock Park, MI 49321</td>
<td>N/A</td>
<td>509(a)(2)</td>
<td>To coordinate efforts toward a renewable energy portfolio (RPS) standard in Michigan</td>
<td>$5,000</td>
</tr>
</tbody>
</table>

**STATEMENT 12**

**Page 15 of 28**
## Grants and Contributions Paid During the Year or Approved for Future Payment

<table>
<thead>
<tr>
<th>Recipient</th>
<th>If recipient is an individual, show any relationship to any foundation manager or substantial contributor</th>
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<tbody>
<tr>
<td>Guangzhou Institute of Building Science Janyan Building, No 833 Banyun Dadaobei Guangzhou, GuangDong Province 510440 P.R. China</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To develop policies improving the energy efficiency of large commercial buildings in China</td>
<td>$25,000</td>
</tr>
<tr>
<td>Guangzhou Institute of Building Science Janyan Building, No 833 Banyun Dadaobei Guangzhou, GuangDong Province 510440 P.R. China</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To support building code implementation in the Hot-Summer Warm-Winter (South China) climate zone</td>
<td>$20,000</td>
</tr>
<tr>
<td>Hefei Urban Planning Association Fusan Road #51 Hefei 230069 P.R. China</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To assist Hefei municipal government to better understand the Bus Rapid Transit (BRT) system and develop a proper conceptual BRT design</td>
<td>$25,000</td>
</tr>
<tr>
<td>Illinois PIRG Education Fund 202 S State St Chicago, IL 60605</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To expand energy efficiency and renewable energy in Illinois</td>
<td>$25,000</td>
</tr>
<tr>
<td>Information Center of the Ministry of Construction No 9 Sanlihe Road Beijing, 100035 P.R. China</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To develop policies improving the energy efficiency of large commercial buildings in China</td>
<td>$18,000</td>
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<tr>
<td>Information Center of the Ministry of Construction No 9 Sanlihe Road Beijing, 100035 P.R. China</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To improve building energy code implementation in China's central climate zone based on successful administrative mechanisms developed in Shanghai and Chongqing</td>
<td>$105,000</td>
</tr>
<tr>
<td>Information Center of the Ministry of Construction No 9 Sanlihe Road Beijing, 100035 P.R. China</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To support building code implementation in the Hot-Summer Warm-Winter (South China) climate zone</td>
<td>$25,000</td>
</tr>
<tr>
<td>Institute for the Analysis of Global Security, Inc 7811 Montrose Road, Suite 505 Potomac, MD 20854</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To promote Set America Free's blueprint for energy security policy</td>
<td>$128,000</td>
</tr>
<tr>
<td>Institute of Economic Research, National Development and Reform Commission B-1317, Guohong Mansion, Jia 11 Muxidi Bei Street, Xicheng District Beijing, 100038 P.R. China</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To develop national tax and fiscal policies to promote clean energy technology investment</td>
<td>$5,000</td>
</tr>
<tr>
<td>Institute of Economic Research, National Development and Reform Commission B-1317, Guohong Mansion, Jia 11 Muxidi Bei Street, Xicheng District Beijing, 100038 P.R. China</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To develop pricing policies that encourage energy efficiency grid-connected renewable energy, and clean power generation</td>
<td>$7,000</td>
</tr>
<tr>
<td>Name and address (home or business)</td>
<td>If recipient is an individual, show any relationship to any foundation manager or substantial contributor</td>
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<td>Purpose of grant or contribution</td>
<td>Amount</td>
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</tr>
<tr>
<td>Institute of Economic System and Management National Development and Reform Commission No 1 Nan Cao Chang Street Beijing, 100035 P R CHINA</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To build the State Electricity Regulatory Commission’s capacity to develop and enforce policies and regulations encouraging demand-side energy efficiency improvement and renewable energy use</td>
<td>$90,000</td>
</tr>
<tr>
<td>Institute of Economic System and Management National Development and Reform Commission No 1 Nan Cao Chang Street Beijing, 100035 P R CHINA</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To support training of State Electricity Regulatory Commission (SERC) personnel in integrated resource planning and demand-side management, particularly at the SERC regional offices</td>
<td>$10,000</td>
</tr>
<tr>
<td>Institute of Investment Research No 11 Muxidi Bei Street Beijing, 100038 P R China</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To develop national tax and fiscal policies to promote clean energy technology investment</td>
<td>$2,000</td>
</tr>
<tr>
<td>International Council on Clean Transportation One Halladay Plaza, Suite 838 San Francisco, CA 94102</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To assess fuel use reduction potential in the heavy truck sector</td>
<td>$50,000</td>
</tr>
<tr>
<td>Izaak Walton League of America, Inc 707 Conservation Lane Gaithersburg, MD 20878-2983</td>
<td>N/A</td>
<td>509(a)(2)</td>
<td>To support advocacy efforts to promote renewable energy, and to fight power generation derived from pulverized coal in South Dakota</td>
<td>$70,000</td>
</tr>
<tr>
<td>Izaak Walton League of America, Inc 707 Conservation Lane Gaithersburg, MD 20878-2983</td>
<td>N/A</td>
<td>509(a)(2)</td>
<td>To continue working to overcome the barriers to bring wind power to market in the Midwest</td>
<td>$275,000</td>
</tr>
<tr>
<td>Izaak Walton League of America, Inc 707 Conservation Lane Gaithersburg, MD 20878-2983</td>
<td>N/A</td>
<td>509(a)(2)</td>
<td>To promote energy efficiency and renewable energy policies in Minnesota</td>
<td>$150,000</td>
</tr>
<tr>
<td>Jackson Hole Center for Global Affairs P O Box 3040 Jackson, WY 83001</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To support conference expenses for meetings addressing the development, demonstration, and deployment of clean coal technologies in China</td>
<td>$15,000</td>
</tr>
<tr>
<td>Jinan Municipal Engineering Design &amp; Research Institute Co Ltd No 53, Qinhuang Road Jinan 250002 P R China</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To assist Jinan municipal government with developing a bus rapid transit (BRT) development strategy and BRT pilot project</td>
<td>$10,000</td>
</tr>
<tr>
<td>Kunming Urban Transportation Planning Institute 150th Floor, Wing B, More Building 60 Chuncheng Road Kunming, Yunnan 650041 P R China</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To continue assistance to the Kunming Municipal government to make further improvements on their bus priority transit system</td>
<td>$1,000</td>
</tr>
<tr>
<td>Lake Michigan Air Directors Consortium 2250 East Devon Avenue, Suite 250 Des Plaines, IL 60018</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To complete planning for a voluntary Midwest greenhouse gas (GHG) registry</td>
<td>$35,000</td>
</tr>
</tbody>
</table>
## Grants and Contributions Paid During the Year or Approved for Future Payment

<table>
<thead>
<tr>
<th>Recipient</th>
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<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Machinery Industry Agricultural Vehicles Research and Development Center, a project of Chinese Academy of Agricultural Mechanization Sciences Box 37, No 1 Deshengmenwai Beizhuan Beijing, 100083 P R China</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To develop an agricultural vehicle fuel economy management system</td>
<td>$30,000</td>
</tr>
<tr>
<td>Mediators Foundation, Inc 255 South 38th Street Boulder, CO 80305</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To develop a roadmap for Reuniting America's Energy Project</td>
<td>$40,000</td>
</tr>
<tr>
<td>Michigan Environmental Council 119 Pere Marquette Drive Lansing, MI 48912-1270</td>
<td>N/A</td>
<td>509(a)(2)</td>
<td>To promote state and federal policies that help Upper Midwest states retain jobs by manufacturing advanced vehicles and biofuels</td>
<td>$50,000</td>
</tr>
<tr>
<td>Michigan Environmental Council 119 Pere Marquette Drive Lansing, MI 48912-1270</td>
<td>N/A</td>
<td>509(a)(2)</td>
<td>To support an educational campaign promoting a renewable power standard for Michigan</td>
<td>$30,000</td>
</tr>
<tr>
<td>Midwest Energy Efficiency Alliance 645 N Michigan, Suite 990 Chicago, IL 60611-2814</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To support efforts by the Midwest Natural Gas Initiative to establish and expand energy efficiency services for gas and electric utility consumers in the Midwest</td>
<td>$100,000</td>
</tr>
<tr>
<td>Minnesota Center for Environmental Advocacy 26 East Exchange, #206 St Paul, MN 55101</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To support efforts to discourage permitting of the 600-megawatt Big Stone II pulverized coal plant</td>
<td>$25,000</td>
</tr>
<tr>
<td>Minnesota Project, Inc 1885 University Avenue, West St Paul, MN 55104</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To provide regional outreach and organizing of farm groups in support of policies to encourage farm-based energy production</td>
<td>$75,000</td>
</tr>
<tr>
<td>Minnesota Project, Inc 1885 University Avenue, West St Paul, MN 55104</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To support community wind development in state and federal policies</td>
<td>$100,000</td>
</tr>
<tr>
<td>Minnesota Project, Inc 1885 University Avenue, West St Paul, MN 55104</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To support education and outreach on clean energy opportunities in federal farm policy</td>
<td>$25,000</td>
</tr>
<tr>
<td>Minnesota's for an Energy-Efficient Economy dba Fresh Energy 46 East Fourth Street, Suite 600 St Paul, MN 55102</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To continue promoting renewable energy policies in Minnesota through the support of the Minnesota SEED Coalition</td>
<td>$275,000</td>
</tr>
<tr>
<td>STAPPALAPCO 444 North Capital Street, NW Suite 307 Washington, DC 20001</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To educate the media about the benefits of implementing the California automobile emissions standards</td>
<td>$10,000</td>
</tr>
<tr>
<td>Bipartisan Policy Center 1250 1 Street, NW Suite 350 Washington, DC 20005</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To support efforts by Senators Dole and D'aschle to draft and publicize a report on American Agriculture in the 21st Century</td>
<td>$195,000</td>
</tr>
<tr>
<td>National Consumer Law Center, Inc 18 Tremont Street, Suite 400 Boston, MA 02110-1006</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To promote the substantial energy, environmental, and economic benefits of state appliance and equipment efficiency standards</td>
<td>$70,000</td>
</tr>
</tbody>
</table>
Grants and Contributions Paid During the Year or Approved for Future Payment

<table>
<thead>
<tr>
<th>Name and address (home or business)</th>
<th>If recipient is an individual, show any relationship to any foundation manager or substantial contributor</th>
<th>Foundation status of recipient</th>
<th>Purpose of grant or contribution</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>National Environmental Trust</td>
<td>N/A</td>
<td>501(a)(1)</td>
<td>To educate policymakers and the media about state and federal policies that can reduce vehicle global warming pollution</td>
<td>$100,000</td>
</tr>
<tr>
<td>1200 18th Street, NW</td>
<td></td>
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<td></td>
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<tr>
<td>Washington, DC 20036</td>
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<tr>
<td>National Environmental Trust</td>
<td>N/A</td>
<td>501(a)(1)</td>
<td>To educate the media about state greenhouse gas vehicle emissions standards</td>
<td>$10,000</td>
</tr>
<tr>
<td>1200 18th Street, NW</td>
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<tr>
<td>Washington, DC 20036</td>
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</tr>
<tr>
<td>National Religious Partnership for the Environment, Inc</td>
<td>N/A</td>
<td>501(a)(1)</td>
<td>To engage the faith community in climate protection policy efforts</td>
<td>$160,000</td>
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<tr>
<td>49 South Pleasant Street</td>
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<td></td>
<td></td>
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<tr>
<td>Suite 301</td>
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<td></td>
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<tr>
<td>Amherst, MA 01002</td>
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<tr>
<td>National Wildlife Federation</td>
<td>N/A</td>
<td>501(a)(1)</td>
<td>To support an educational campaign promoting a renewable power standard for Michigan</td>
<td>$15,000</td>
</tr>
<tr>
<td>11100 Wildlife Center Drive</td>
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<tr>
<td>Reston, VA 20190-5362</td>
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<tr>
<td>Natural Resources Council of Maine</td>
<td>N/A</td>
<td>501(a)(2)</td>
<td>To support clean car programs and advanced biofuels production in Maine</td>
<td>$30,000</td>
</tr>
<tr>
<td>3 Wade Street</td>
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<tr>
<td>Augusta, ME 04330-6351</td>
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<tr>
<td>Natural Resources Defense Council, Inc</td>
<td>N/A</td>
<td>501(a)(1)</td>
<td>To support advocacy on FERC policies that affect the development and use of renewable resources</td>
<td>$75,000</td>
</tr>
<tr>
<td>40 West 20th Street</td>
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<td></td>
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<td></td>
</tr>
<tr>
<td>New York, NY 1001</td>
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<tr>
<td>Natural Resources Defense Council, Inc</td>
<td>N/A</td>
<td>501(a)(1)</td>
<td>To advocate for strong implementation rules for the Montana renewable power standard, and for procurement of renewables in the Northwestern Energy resource plan</td>
<td>$11,250</td>
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<tr>
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<tr>
<td>Natural Resources Defense Council, Inc</td>
<td>N/A</td>
<td>501(a)(1)</td>
<td>To catalyze comprehensive environmental regulatory reform through an assessment of China's State Environmental Protection Administration, provincial environmental protection bureaus, and international best practice recommendations</td>
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</tr>
<tr>
<td>40 West 20th Street</td>
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<tr>
<td>Natural Resources Defense Council, Inc</td>
<td>N/A</td>
<td>501(a)(1)</td>
<td>To conduct technical and economic analyses on new energy efficiency initiatives for buildings and equipment and to assist in their design and implementation</td>
<td>$75,000</td>
</tr>
<tr>
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<td>New York, NY 1001</td>
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<tr>
<td>Natural Resources Defense Council, Inc</td>
<td>N/A</td>
<td>501(a)(1)</td>
<td>To coordinate state and national global warming advocates</td>
<td>$100,000</td>
</tr>
<tr>
<td>40 West 20th Street</td>
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<td>New York, NY 1001</td>
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<tr>
<td>Natural Resources Defense Council, Inc</td>
<td>N/A</td>
<td>501(a)(1)</td>
<td>To develop national DSM policies, advocate the reform of power sector regulatory practices to encourage DSM, and coordinate provincial DSM pilot projects</td>
<td>$90,000</td>
</tr>
<tr>
<td>40 West 20th Street</td>
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</tr>
<tr>
<td>Natural Resources Defense Council, Inc</td>
<td>N/A</td>
<td>501(a)(1)</td>
<td>To develop policies improving the energy efficiency of large commercial buildings in China</td>
<td>$50,000</td>
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<tr>
<td>40 West 20th Street</td>
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<td>New York, NY 1001</td>
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<tr>
<td>Natural Resources Defense Council, Inc</td>
<td>N/A</td>
<td>501(a)(1)</td>
<td>To integrate utility investments in energy efficiency with steady improvements in government efficiency standards</td>
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<tr>
<td>Natural Resources Defense Council, Inc</td>
<td>N/A</td>
<td>501(a)(1)</td>
<td>To promote Set America Free's blueprint for energy security policy, build demand for biofuels, and promote state policies that reduce transportation oil use and global warming pollution</td>
<td>$250,000</td>
</tr>
<tr>
<td>40 West 20th Street</td>
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</tbody>
</table>
| Natural Resources Defense Council, Inc  
40 West 20th Street  
New York, NY 10011 | N/A | 509(a)(1) | To promote the substantial energy, environmental, and economic benefits of state appliance and equipment efficiency standards | $40,000 |
| Natural Resources Defense Council, Inc  
40 West 20th Street  
New York, NY 10011 | N/A | 509(a)(1) | To support a lawsuit against the Department of Energy to enforce congressionally-imposed deadlines to set appliance efficiency standards | $50,000 |
| Natural Resources Defense Council, Inc  
40 West 20th Street  
New York, NY 10011 | N/A | 509(a)(1) | To support climate policy efforts in the Northeast | $10,000 |
| Natural Resources Defense Council, Inc  
40 West 20th Street  
New York, NY 10011 | N/A | 509(a)(1) | To support demand-side management program implementation in Jiangsu Province | $50,000 |
| Natural Resources Defense Council, Inc  
40 West 20th Street  
New York, NY 10011 | N/A | 509(a)(1) | To support expansion of clean energy opportunities and oppose conventional coal power generation in the Intermountain West | $30,000 |
| Natural Resources Defense Council, Inc  
40 West 20th Street  
New York, NY 10011 | N/A | 509(a)(1) | To support state global warming policies in the Northeast, West Coast, and other priority states | $400,000 |
| New Buildings Institute, Inc  
P O Box 653  
White Salmon, WA 98672 | N/A | 509(a)(1) | To improve the energy efficiency of new commercial buildings and support use and extension of federal tax incentives for efficient new buildings | $75,000 |
| New Energy Economy, Inc  
1522 Cerro Gordo Road  
Santa Fe, NM 87501 | N/A | 509(a)(1) | To support implementation of New Mexico’s climate plan, including a carbon cap | $50,000 |
| Northeast Energy Efficiency Partnerships, Inc  
5 Mistletoe Drive  
Lexington, MA 02421 | N/A | 509(a)(1) | To promote the substantial energy, environmental, and economic benefits of state appliance and equipment efficiency standards | $30,000 |
| Northeast States for Coordinated Air Use Management, Inc  
129 Portland Street, Suite 501  
Boston, MA 02114 | N/A | 509(a)(1) | To promote advanced vehicles and fuels in the Northeast | $150,000 |
| Northeast States for Coordinated Air Use Management, Inc  
129 Portland Street, Suite 501  
Boston, MA 02114 | N/A | 509(a)(1) | To support implementation of the Regional Greenhouse Gas Initiative | $69,000 |
| Northeast States for Coordinated Air Use Management, Inc  
129 Portland Street, Suite 501  
Boston, MA 02114 | N/A | 509(a)(1) | To support legal, strategic, and outreach assistance on RGGI implementation | $45,000 |
| Northeast States for Coordinated Air Use Management, Inc  
129 Portland Street, Suite 501  
Boston, MA 02114 | N/A | 509(a)(1) | To support NESCAUM’s purchase of the REMI economic model | $26,000 |
| Northeast States for Coordinated Air Use Management, Inc  
129 Portland Street, Suite 501  
Boston, MA 02114 | N/A | 509(a)(1) | To work with California and other states on a multi-regional GHG registry | $90,000 |
<table>
<thead>
<tr>
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<th>Foundation status of recipient</th>
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<th>Amount</th>
</tr>
</thead>
</table>
| NW Energy Coalition  
219 - 1st Avenue South  
Seattle, WA 98104-2599                                                  | N/A                                                                                                       | 509(a)(1)                        | To promote clean energy policy in the Northwest states                                          | $200,000|
| Oregon Environmental Council  
520 SW 6th Ave, Suite 940  
Portland, OR 97209-3900                                                   | N/A                                                                                                       | 509(a)(1)                        | To build support for clean car programs in Oregon                                               | $60,000 |
| Pace University  
78 North Broadway  
White Plains, NY 10603                                                    | N/A                                                                                                       | 509(a)(1)                        | To advance natural gas efficiency in New York                                                    | $26,000 |
| Pace University  
78 North Broadway  
White Plains, NY 10603                                                    | N/A                                                                                                       | 509(a)(1)                        | To promote electric and natural gas efficiency in New York                                       | $50,000 |
| Pace University  
78 North Broadway  
White Plains, NY 10603                                                    | N/A                                                                                                       | 509(a)(1)                        | To support implementation of the Regional Greenhouse Gas Initiative                             | $50,000 |
| Pacific Forest Trust, Inc  
PO Box 879  
San Francisco, CA 94129                                                   | N/A                                                                                                       | 509(a)(1)                        | To promote the inclusion of forests, and participation of forest owners, in global warming reporting and reduction programs | $40,000 |
| Partnership for a Secure America  
1111 19th Street NW, 12th Floor  
Washington, DC 20036                                                      | N/A                                                                                                       | 509(a)(1)                        | To develop and promote bipartisan principles for a national energy strategy                    | $25,000 |
| Peking (Beijing) University  
Beijing, 100871  
P R CHINA                                                                 | N/A                                                                                                       | Expenditure Responsibility - See STMT 8 | To develop policies to guide the National Development and Reform Commission's Top-1,000 Enterprise Energy Efficiency Program | $35,000 |
| Peking (Beijing) University  
Beijing, 100871  
P R CHINA                                                                 | N/A                                                                                                       | Expenditure Responsibility - See STMT 8 | To support development of pollution levy policies in conjunction with energy efficiency agreements in China's iron and steel sector | $3,000 |
| Peking (Beijing) University, Health Science Center  
Beijing, 100083  
P R China                                                                 | N/A                                                                                                       | Expenditure Responsibility - See STMT 8 | To catalyze comprehensive environmental regulatory reform through an assessment of China's State Environmental Protection Administration, provincial environmental protection bureaus, and international best practice recommendations | $28,000 |
| PennEnvironment Research and Policy Center  
1420 Walnut Street, Suite 650  
Philadelphia, PA 19107                                                      | N/A                                                                                                       | 509(a)(1)                        | To build support for clean car policies in Pennsylvania.                                        | $20,000 |
| PIRGIM Education Fund, Inc  
103 E. Liberty Street  
Suite 202  
Ann Arbor, MI 48104                                                          | N/A                                                                                                       | 509(a)(1)                        | To support a report that will provide recommendations for energy conservation, efficiency, and renewables in Michigan, and to provide support for the New Energy Future program | $15,000 |
| President and Fellows of Harvard College  
79 John F Kennedy Street  
Cambridge, MA 02138-3800                                                    | N/A                                                                                                       | 509(a)(1)                        | To document and popularize the need for additional research, demonstration, and development (RDD) funds in energy, and document feasibility of geologic carbon sequestration | $100,000|
## Grants and Contributions Paid During the Year or Approved for Future Payment

<table>
<thead>
<tr>
<th>Recipient</th>
<th>If recipient is an individual, show any relationship to any foundation manager or substantial contributor</th>
<th>Foundation status of recipient</th>
<th>Purpose of grant or contribution</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Public Citizen Foundation, Inc</td>
<td>N/A</td>
<td>501(a)(3)</td>
<td>To provide research, education, and advocacy aimed at reducing vehicle fuel use and associated consumer expenses</td>
<td>$0,000</td>
</tr>
<tr>
<td>215 Pennsylvania Avenue, SE Washington, DC 20009-1001</td>
<td></td>
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<tr>
<td>Public Citizen Foundation, Inc</td>
<td>N/A</td>
<td>501(a)(1)</td>
<td>To support Public Citizen Texas’ work to fight coal-fired power plants, increase energy efficiency, adopt new appliance standards, and implement renewable energy goals in Texas</td>
<td>$65,000</td>
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<tr>
<td>215 Pennsylvania Avenue, SE Washington, DC 20009-1001</td>
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</tr>
<tr>
<td>Public Citizen Foundation, Inc</td>
<td>N/A</td>
<td>501(a)(1)</td>
<td>To support successful implementation of Texas’ renewable portfolio standard and energy efficiency programs, and advocate for clean energy alternatives to proposed coal plants</td>
<td>$33,000</td>
</tr>
<tr>
<td>215 Pennsylvania Avenue, SE Washington, DC 20009-1001</td>
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<tr>
<td>Public Interest Fund of the Citizens Utility Board</td>
<td>N/A</td>
<td>501(a)(1)</td>
<td>To strengthen energy efficiency and renewable energy investment in Wisconsin</td>
<td>$90,000</td>
</tr>
<tr>
<td>16 N Carroll Street, Suite 720 Madison, WI 53703</td>
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<td></td>
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<tr>
<td>Public Interest Research Foundation of New Jersey</td>
<td>N/A</td>
<td>501(a)(1)</td>
<td>To advocate for a strong cap-and-trade program in New Jersey</td>
<td>$30,000</td>
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<tr>
<td>11 North Willow St Trenton, NJ 08608</td>
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<tr>
<td>Quebec Labrador Foundation, Inc</td>
<td>N/A</td>
<td>501(a)(1)</td>
<td>To support the design of national and provincial mandatory market share policies and implementation plans</td>
<td>$15,000</td>
</tr>
<tr>
<td>PO Box 217 Montpelier, VT 05602</td>
<td></td>
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</tr>
<tr>
<td>Redefining Progress</td>
<td>N/A</td>
<td>501(a)(1)</td>
<td>To perform economic analysis on the benefits of carbon mitigation in California and the Northeast</td>
<td>$85,000</td>
</tr>
<tr>
<td>1 Kearny Street Oakland, CA 94612</td>
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<tr>
<td>Regents of the University of California, Berkeley</td>
<td>N/A</td>
<td>501(a)(1)</td>
<td>To support the Energy and Resources Group as a premier training ground for analysis and design of clean energy policies</td>
<td>$125,000</td>
</tr>
<tr>
<td>336 Sproul Hall Berkeley, CA 94704-5940</td>
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</tr>
<tr>
<td>Regents of the University of Michigan</td>
<td>N/A</td>
<td>501(a)(1)</td>
<td>To assess the carbon reductions and economic impacts of global warming policies for Michigan</td>
<td>$11,500</td>
</tr>
<tr>
<td>3003 South State Street, Room 1054 Ann Arbor, MI 48109-1274</td>
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<tr>
<td>Regulatory Assistance Project</td>
<td>N/A</td>
<td>501(a)(1)</td>
<td>To educate utility regulators and key policymakers on the benefits of energy efficiency standards and long-term resource planning, and to advocate for smart electric transmission growth</td>
<td>$200,000</td>
</tr>
<tr>
<td>177 Water Street Montpelier, VT 05602</td>
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<tr>
<td>Regulatory Assistance Project</td>
<td>N/A</td>
<td>501(a)(1)</td>
<td>To provide technical analysis for the RGGI process</td>
<td>$45,000</td>
</tr>
<tr>
<td>177 Water Street Montpelier, VT 05602</td>
<td></td>
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<tr>
<td>Regulatory Assistance Project</td>
<td>N/A</td>
<td>501(a)(1)</td>
<td>To provide technical support and training to Chinese government and research institutions in power sector regulations that encourage demand-side energy efficiency and renewable energy</td>
<td>$200,000</td>
</tr>
<tr>
<td>177 Water Street Montpelier, VT 05602</td>
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<tr>
<td>Renewable Energy in Wisconsin, Inc</td>
<td>N/A</td>
<td>501(a)(1)</td>
<td>To facilitate siting of new wind power projects in Wisconsin and to work with utilities on development of renewable energy plans</td>
<td>$40,000</td>
</tr>
<tr>
<td>222 South Hamilton Street Madison, WI 53703</td>
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<tr>
<td>Renewable Northwest Project</td>
<td>N/A</td>
<td>501(a)(1)</td>
<td>To diversify the electricity mix in the Northwest, such that 10 percent of the supply comes from non-hydro renewable resources by 2010</td>
<td>$150,000</td>
</tr>
<tr>
<td>1130 SW Morrison St Portland, OR 97205</td>
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## Grants and Contributions Paid During the Year or Approved for Future Payment

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<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Research Institute for Fiscal Science, Ministry of Finance of the People's Republic of China Xinhui Mansson, No. 28, Fucheng Road, Haidian District Beijing, 100036 P. R. China</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To assist the Ministry of Finance and the National Development and Reform Commission in developing tax and fiscal policies that promote energy-efficient products</td>
<td>$5,000</td>
</tr>
<tr>
<td>Research Institute for Fiscal Science, Ministry of Finance of the People's Republic of China Xinhui Mansson, No. 28, Fucheng Road, Haidian District Beijing, 100036 P. R. China</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To develop national tax and fiscal policies to promote clean energy technology investment</td>
<td>$10,000</td>
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<tr>
<td>Research Institute for Standards and Norms, Ministry of Construction Bai Wan Zhuang Beijing, 100035 P. R. China</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To help China implement an energy-efficient windows labeling and certification program</td>
<td>$75,000</td>
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<tr>
<td>Resource Innovation Group, Inc PO Box 51182 Eugene, OR 97405</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To assess the potential economic impacts of global warming on Washington State</td>
<td>$45,000</td>
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<tr>
<td>Securing America's Future Energy Foundation 2555 Pennsylvania Avenue NW, Suite 719 Washington, DC 20037</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To develop oil savings policy concepts for the Energy Security Leadership Council</td>
<td>$30,000</td>
</tr>
<tr>
<td>Securing America's Future Energy Foundation 2555 Pennsylvania Avenue NW, Suite 719 Washington, DC 20037</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To fund a business leaders roundtable on oil dependence and initiate the SAFE Business Council</td>
<td>$50,000</td>
</tr>
<tr>
<td>SeniervNovem Utrecht Catharijnesneng 59, 3511 GG Utrecht P. O. Box 8242 Utrecht, 6130 AA 3511 RE Netherlands</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To support the implementation of the sector target pilot program for two iron and steel enterprises in China's Shandong province</td>
<td>$1,207</td>
</tr>
<tr>
<td>Shandong Association of Resources Comprehensive Utilization Room 11-116, The Golden Building, No 16, Jiefang Road Jinan City, Shandong Province 250013 P. R. China</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To create a province-wide energy efficiency agreement program in China's Shandong province</td>
<td>$45,000</td>
</tr>
<tr>
<td>Shandong Association of Resources Comprehensive Utilization Room 11-116, The Golden Building, No 16, Jiefang Road Jinan City, Shandong Province 250013 P. R. China</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To support the implementation of the industrial sector Energy Efficiency Agreement pilot program in China's Shandong province</td>
<td>$5,000</td>
</tr>
<tr>
<td>Shanghai Academy of Environmental Sciences 508 Qinzhou Road Shanghai, 200233 P. R. CHINA</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To support analysis of the environmental impacts and public health costs of vehicle emissions in order to encourage a vehicle emissions control policy and advanced technology development in Shanghai</td>
<td>$5,000</td>
</tr>
<tr>
<td>Name and address (home or business)</td>
<td>If recipient is an individual, show any relationship to any foundation manager or substantial contributor</td>
<td>Foundation status of recipient</td>
<td>Purpose of grant or contribution</td>
<td>Amount</td>
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</tr>
</tbody>
</table>
| Shanghai Academy of Environmental Sciences  
508 Qinzhou Road  
Shanghai,  
200233  
P R CHINA | N/A | Expenditure Responsibility - See STMT 8 | To support Shanghai’s efforts to develop and implement a low-carbon policy action plan that encourages energy efficiency and renewable energy technology investment | $5,000 |
| Shanghai Energy Conservation Supervision Center  
27 Zhuziaoyu Road, 8th Floor  
Shanghai,  
200011  
P R CHINA | N/A | Expenditure Responsibility - See STMT 8 | To develop and implement demand-side management policies and programs in Shanghai | $30,000 |
| Shanghai Energy Conservation Supervision Center  
27 Zhuziaoyu Road, 8th Floor  
Shanghai,  
200011  
P R CHINA | N/A | Expenditure Responsibility - See STMT 8 | To develop national demand side management (DSM) policies, to incorporate DSM into power sector reforms, and to coordinate provincial DSM pilots | $5,000 |
| Shanghai Energy Conservation Supervision Center  
27 Zhuziaoyu Road, 8th Floor  
Shanghai,  
200011  
P R CHINA | N/A | Expenditure Responsibility - See STMT 8 | To support the design of a regulatory and certification system for Shanghai’s green pricing program | $2,000 |
| Shanghai Municipal Housing Development Bureau  
No 99 Beijing Xi Road  
Shanghai,  
200003  
P R CHINA | N/A | Expenditure Responsibility - See STMT 8 | To assist China in developing incentive policies and market-driven programs for building code implementation in the Hot Summer Cold-Winter region | $5,000 |
| Shenzhen Institute of Building Research  
5F, Design Building, 8 Zhonghua Road  
Guangdong Province  
Shenzhen City,  
518031  
P R CHINA | N/A | Expenditure Responsibility - See STMT 8 | To develop policies improving the energy efficiency of large commercial buildings in China | $25,000 |
| Shenzhen Institute of Building Research  
5F, Design Building, 8 Zhonghua Road  
Guangdong Province  
Shenzhen City,  
518031  
P R CHINA | N/A | Expenditure Responsibility - See STMT 8 | To support building code implementation in the Hot-Summer Warm-Winter (South China) climate zone | $20,000 |
| Shenzhen Institute of Environmental Science  
No 50, Hongsu St 1  
Hongsu Road  
Shenzhen,  
518008  
P R CHINA | N/A | Expenditure Responsibility - See STMT 8 | To develop a comprehensive vehicle emissions control strategy for Shenzhen | $60,000 |
| Shenzhen Research Centre of Municipal Development  
Room 602, 1034th Shanghai Road Central  
Shenzhen,  
518001  
P R China | N/A | Expenditure Responsibility - See STMT 8 | To assist the Shenzhen Environmental Protection Bureau with developing policies to manage and control vehicle emissions in Shenzhen | $10,000 |
<table>
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<th>Purpose of grant or contribution</th>
<th>Amount</th>
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</thead>
<tbody>
<tr>
<td>Sichuan University</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To continue support for renewable energy mandatory market share policy pilots in Fujian and Sichuan provinces</td>
<td>$5,000</td>
</tr>
<tr>
<td>29 Wanguang Road</td>
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<tr>
<td>Chengdu, Sichuan</td>
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<tr>
<td>610064</td>
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<tr>
<td>P R. CHINA</td>
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<tr>
<td>Sierra Club Foundation</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To build support for clean car policies in Pennsylvania</td>
<td>$20,000</td>
</tr>
<tr>
<td>220 Sansome Street</td>
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<tr>
<td>San Francisco, CA 94105</td>
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<tr>
<td>Sierra Club Foundation</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To educate the media about state greenhouse gas vehicle emissions standards</td>
<td>$25,000</td>
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<tr>
<td>220 Sansome Street</td>
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<td>San Francisco, CA 94105</td>
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<tr>
<td>Sierra Club Foundation</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To educate the media about state greenhouse gas vehicle emissions standards</td>
<td>$30,000</td>
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<tr>
<td>220 Sansome Street</td>
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<tr>
<td>San Francisco, CA 94105</td>
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<tr>
<td>Sierra Club Foundation</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To oppose permitting of new coal-fired power plants in the Midwest</td>
<td>$150,000</td>
</tr>
<tr>
<td>220 Sansome Street</td>
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<td>San Francisco, CA 94105</td>
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<tr>
<td>Sierra Club Foundation</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To support the Sierra Club Foundation's Fuel Economy Campaign</td>
<td>$150,000</td>
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<tr>
<td>220 Sansome Street</td>
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<tr>
<td>San Francisco, CA 94105</td>
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<tr>
<td>Southern Alliance for Clean Energy</td>
<td>N/A</td>
<td>509(a)(3)</td>
<td>To provide analytic support on global warming policy to Southeast states</td>
<td>$75,000</td>
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<tr>
<td>PO Box 1842</td>
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<td></td>
<td></td>
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</tr>
<tr>
<td>Knoxville, TN 37901-1842</td>
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<tr>
<td>Southern Alliance for Clean Energy</td>
<td>N/A</td>
<td>509(a)(3)</td>
<td>To push for a strong global warming plan for North Carolina</td>
<td>$105,000</td>
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<tr>
<td>PO Box 1842</td>
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<td>Knoxville, TN 37901-1842</td>
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<tr>
<td>South-North Institute for Sustainable Development</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To analyze China's Energy Conservation Law and compare it to international best practice policies for promoting energy efficiency</td>
<td>$5,000</td>
</tr>
<tr>
<td>Suite 3028, Beijing International Hotel</td>
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<tr>
<td>Beijing, 100031</td>
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<tr>
<td>P R. CHINA</td>
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<tr>
<td>South-North Institute for Sustainable Development</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To catalyze comprehensive environmental regulatory reform through an assessment of China's State Environmental Protection Administration, provincial environmental protection bureaus, and international best practice recommendations</td>
<td>$30,000</td>
</tr>
<tr>
<td>Suite 3028, Beijing International Hotel</td>
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<tr>
<td>South-North Institute for Sustainable Development</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To research international energy efficiency policy implementation procedures and formulate recommendations for developing similar institutional and administrative procedures in China</td>
<td>$45,000</td>
</tr>
<tr>
<td>Suite 3028, Beijing International Hotel</td>
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<tr>
<td>Southwest Energy Efficiency Project (SWEEP)</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To promote utility and state energy efficiency programs in Southwestern and Rocky Mountain states</td>
<td>$225,000</td>
</tr>
<tr>
<td>2260 Baseline Road, Suite 212</td>
<td></td>
<td></td>
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<tr>
<td>Boulder, CO 80302-7740</td>
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<tr>
<td>Southwest Research and Information Center</td>
<td>N/A</td>
<td>509(a)(2)</td>
<td>To support successful adoption and implementation of state renewable energy standards and other policies in Western states</td>
<td>$50,000</td>
</tr>
<tr>
<td>105 Stanford SE, PO Box 4524</td>
<td></td>
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<tr>
<td>Albuquerque, NM 87106</td>
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</tbody>
</table>
| State Power Economic Research Institute  
China State Power Corporation  
1 Ernai Lane, Baigang Road, Xuanwu District  
Beijing, 100761  
P R CHINA | N/A Expenditure Responsibility - See STMT 8 | To develop national DSM policies, advocate the reform of power sector regulatory practices to encourage DSM, and coordinate provincial DSM pilot projects | $50,000 |
| State Power Economic Research Institute  
China State Power Corporation  
1 Ernai Lane, Baigang Road, Xuanwu District  
Beijing, 100761  
P R CHINA | N/A Expenditure Responsibility - See STMT 8 | To develop national DSM policies, to incorporate DSM into power sector reforms, and to coordinate provincial DSM pilots | $8,000  |
| Susen, Godfrey LLP Dallas Office  
Suite 5100  
901 Main Street  
Dallas, TX 75202-3775 | N/A Expenditure Responsibility - See STMT 8 | To hire and manage expert witnesses in connection with administrative permit and judicial interventions in connection with a number of proposed new coal-fired power plants in Texas | $125,000 |
| Churches’ Center for Theology and Public Policy, The  
4500 Massachusetts Avenue, NW  
Washington, DC 20016-5690 | N/A 509(a)(1) | To support Greater Washington Interfaith Power and Light | $20,000 |
| Climate Group, The  
436 14th Street, Ste 1106  
Oakland, CA 94612 | N/A 509(a)(1) | To organize California businesses in favor of climate action | $75,000 |
| Great Plains Institute for Sustainable Development, Inc.  
The 2801 21st Avenue South, Suite 230  
Minneapolis, MN 55407 | N/A 509(a)(1) | To convene stakeholders in the Upper Midwest to develop a package of policies promoting advanced biofuels | $75,000 |
| Institute of Energy Law, The,  
North China Electric Power University  
No 2, Beinong Road, Deshengmenwa Zhuxinzhuang  
Beijing, 102206  
P R China | N/A Expenditure Responsibility - See STMT 8 | To develop recommendations for a comprehensive national energy policy to guide China’s energy policy decisions | $90,000 |
| North Carolina Council of Churches, The  
1307 Glenwood Avenue, Suite 156  
Raleigh, NC 27605 | N/A 509(a)(1) | To engage North Carolina's faith community on global warming issues | $10,000 |
| Tides Center  
Presidio Building 1014, Lincoln Boulevard and Toreny Avenue  
San Francisco, CA 94119-0907 | N/A 509(a)(1) | To engage the California religious community in efforts to reduce California global warming pollution | $25,000 |
| Tongji University  
1239 Siping Road  
Shanghai, 200092  
P R CHINA | N/A Expenditure Responsibility - See STMT 8 | To assist the Shanghai Municipal Government to promote and demonstrate advanced technology vehicles | $1,000 |
| Tongji University  
1239 Siping Road  
Shanghai, 200092  
P R CHINA | N/A Expenditure Responsibility - See STMT 8 | To conduct an analysis of energy savings potential as the basis for developing best practice "reach" air conditioner standards in Shanghai | $5,000 |
## Grants and Contributions Paid During the Year or Approved for Future Payment

<table>
<thead>
<tr>
<th>Name and address (home or business)</th>
<th>If recipient is an individual, show any relationship to any foundation manager or substantial contributor</th>
<th>Foundation status of recipient</th>
<th>Purpose of grant or contribution</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Tsinghua University Education Foundation, Tsinghua University, Haidian District, Beijing, 100084, P R CHINA</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To develop a program to train provincial government officials in renewable energy policy development and set up a fellowship program for graduate students studying renewable energy</td>
<td>$45,000</td>
</tr>
<tr>
<td>Tsinghua University Education Foundation, Tsinghua University, Haidian District, Beijing, 100084, P R CHINA</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To develop national tax and fiscal policies to promote clean energy technology investment</td>
<td>$3,000</td>
</tr>
<tr>
<td>Tsinghua University Education Foundation, Tsinghua University, Haidian District, Beijing, 100084, P R CHINA</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To investigate and design mechanisms for implementing a comprehensive renewable energy policy in China</td>
<td>$5,000</td>
</tr>
<tr>
<td>Tsinghua University Education Foundation, Tsinghua University, Haidian District, Beijing, 100084, P R CHINA</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To improve industrial enterprises' access to up-to-date energy efficiency technology information, including development of an information dissemination system</td>
<td>$25,000</td>
</tr>
<tr>
<td>Union of Concerned Scientists, Inc, PO Box 9105, Cambridge, MA 02238-9105</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To educate the media about state greenhouse gas vehicle emissions standards</td>
<td>$25,000</td>
</tr>
<tr>
<td>Union of Concerned Scientists, Inc, PO Box 9105, Cambridge, MA 02238-9105</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To produce a report on potential global warming impacts in the Northeast</td>
<td>$75,000</td>
</tr>
<tr>
<td>Union of Concerned Scientists, Inc, PO Box 9105, Cambridge, MA 02238-9105</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To promote the efficient use of clean renewable energy through advocacy and outreach on state and federal policies</td>
<td>$320,000</td>
</tr>
<tr>
<td>Union of Concerned Scientists, Inc, PO Box 9105, Cambridge, MA 02238-9105</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To support a senior analyst, who will research technical and policy issues around biofuels</td>
<td>$130,000</td>
</tr>
<tr>
<td>Union of Concerned Scientists, Inc, PO Box 9105, Cambridge, MA 02238-9105</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To support implementation of California's carbon cap</td>
<td>$100,000</td>
</tr>
<tr>
<td>Union of Concerned Scientists, Inc, PO Box 9105, Cambridge, MA 02238-9105</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To support implementation of the Regional Greenhouse Gas Initiative</td>
<td>$50,000</td>
</tr>
<tr>
<td>Union of Concerned Scientists, Inc, PO Box 9105, Cambridge, MA 02238-9105</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To support the UCS Clean Vehicles Program</td>
<td>$380,000</td>
</tr>
<tr>
<td>United States Public Interest Research Group Education Fund, 218 D Street, SE, Washington, DC 20003</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To defend state authority to control mobile source emissions and promote national oil savings policies</td>
<td>$75,000</td>
</tr>
<tr>
<td>Name and address (home or business)</td>
<td>If recipient is an individual, show any relationship to any foundation manager or substantial contributor</td>
<td>Foundation status of recipient</td>
<td>Purpose of grant or contribution</td>
<td>Amount</td>
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<tr>
<td>United States Public Interest Research Group Education Fund 218 D Street, SE Washington, DC 20003</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To educate the media about state greenhouse gas emission standards</td>
<td>$50,000</td>
</tr>
<tr>
<td>Utah Clean Energy Alliance, Inc 1014 2nd Avenue Salt Lake City, UT 84103</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To increase investment in energy efficiency in Utah</td>
<td>$35,000</td>
</tr>
<tr>
<td>Utah Clean Energy Alliance, Inc 1014 2nd Avenue Salt Lake City, UT 84103</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To support successful adoption and implementation of state renewable energy standards and other policies in Western states</td>
<td>$40,000</td>
</tr>
<tr>
<td>Western Mining Action Project P.O. Box 349 Lyons, CO 80540</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To challenge recent permitting by the Office Of Surface Mining of a coal combustion waste dump in the Four Corners region and to develop a test case based on federal hazardous waste disposal and surface mining laws</td>
<td>$40,000</td>
</tr>
<tr>
<td>Western Resource Advocates 2260 Baseline Road, Suite 200 Boulder, CO 80302-7740</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To defeat proposed conventional coal power plants in Western states</td>
<td>$347,000</td>
</tr>
<tr>
<td>Western Resource Advocates 2260 Baseline Road, Suite 200 Boulder, CO 80302-7740</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To defeat proposed conventional coal power plants in Western states</td>
<td>$45,000</td>
</tr>
<tr>
<td>Western Resource Advocates 2260 Baseline Road, Suite 200 Boulder, CO 80302-7740</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To develop policies and model approaches to promote clean energy technologies and reduce air emissions in the Interior West</td>
<td>$150,000</td>
</tr>
<tr>
<td>Western Resource Advocates 2260 Baseline Road, Suite 200 Boulder, CO 80302-7740</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To provide expert technical and legal advice opposing conventional coal-fired power plants in the Interior West</td>
<td>$280,000</td>
</tr>
<tr>
<td>Western Resource Advocates 2260 Baseline Road, Suite 200 Boulder, CO 80302-7740</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To support successful adoption and implementation of state renewable energy standards and other policies in Western states</td>
<td>$25,000</td>
</tr>
<tr>
<td>World Resources Institute 10 G Street, NE Washington, DC 20002</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To assist in the creation of a multi-regional GHG registry</td>
<td>$60,000</td>
</tr>
<tr>
<td>Xiamen Academy of Building Research Ltd No 62 Southern Hubin Road Xiamen, 361004 P.R. CHINA</td>
<td>N/A</td>
<td>Expended Responsibility - See STMT 8</td>
<td>To develop policies improving the energy efficiency of large commercial buildings in China</td>
<td>$25,000</td>
</tr>
<tr>
<td>Xiamen Academy of Building Research Ltd No 62 Southern Hubin Road Xiamen, 361004 P.R. CHINA</td>
<td>N/A</td>
<td>Expended Responsibility - See STMT 8</td>
<td>To support building code implementation in the Hot-Summer Warm-Winter (South China) climate zone</td>
<td>$20,000</td>
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</tbody>
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$19,992,211
<table>
<thead>
<tr>
<th>Name and address (home or business)</th>
<th>If recipient is an individual, show any relationship to any foundation manager or substantial contributor</th>
<th>Foundation status of recipient</th>
<th>Purpose of grant or contribution</th>
<th>Amount</th>
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</thead>
<tbody>
<tr>
<td>Alliance for Clean Energy New York</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To promote the use of clean, renewable electricity technologies and energy efficiency in New York state</td>
<td>$1,000</td>
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<tr>
<td>194 Washington Avenue, Suite 605</td>
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<tr>
<td>Albany, NY 12210</td>
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<tr>
<td>American Council for an Energy-Efficient Economy</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To continue to promote utility-related energy efficiency services and funding</td>
<td>$100,000</td>
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<tr>
<td>1001 Connecticut Ave, NW, Ste 801</td>
<td></td>
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<tr>
<td>Washington, DC 20036</td>
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<td>Asian Development Bank</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To support the establishment of the China Air Quality Management Network</td>
<td>$75,000</td>
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<tr>
<td>7th Floor, Block D</td>
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<tr>
<td>Beijing International Financial Building</td>
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<tr>
<td>156 Fuxingmenmen Avenue</td>
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<tr>
<td>Beijing, 100031</td>
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<tr>
<td>P.R. China</td>
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<tr>
<td>Association of Mayors of Guangxi</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To develop policies encouraging municipal BRT development in Guangxi Province</td>
<td>$50,000</td>
</tr>
<tr>
<td>58 Jinhu Road</td>
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<tr>
<td>Nanning, 510028</td>
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<tr>
<td>P.R. China</td>
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<tr>
<td>Beijing Association of Sustainable Development</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To accelerate the implementation of air conditioner energy efficiency standards in Beijing and Shanghai</td>
<td>$5,000</td>
</tr>
<tr>
<td>15th Floor, Venture Plaza B, No 11</td>
<td></td>
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<tr>
<td>Aoxingbeil, Chaoyang District</td>
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<tr>
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<td>P.R. China</td>
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<tr>
<td>Beijing Automotive Research Institute Co., Ltd</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To develop an action plan to retrofit Beijing's diesel vehicle fleet</td>
<td>$40,000</td>
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<tr>
<td>9 South Fangzhuang Road, Fengtun District</td>
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<tr>
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<td>P.R. China</td>
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<tr>
<td>Beijing Energy Efficiency Center</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To support a team of leading energy policy research institutes, led by the Beijing Energy Efficiency Center, to develop a &quot;bottom-up&quot; modeling tool in order to implement the National Energy Plan 2020 and help China achieve its 2020 development goals through sustainable energy development</td>
<td>$10,000</td>
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<tr>
<td>Zhanansnen Road, Shaha</td>
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<tr>
<td>Beijing, 100038</td>
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<tr>
<td>P.R. CHINA</td>
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<tr>
<td>Beijing Energy Efficiency Center</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To develop national demand-side management (DSM) policies, advocate the reform of power sector regulatory practices to encourage DSM, and coordinate provincial DSM pilot projects</td>
<td>$5,000</td>
</tr>
<tr>
<td>Zhanansnen Road, Shaha</td>
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<tr>
<td>Beijing, 100038</td>
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<tr>
<td>P.R. CHINA</td>
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<tr>
<td>Beijing Transportation Development Research Center</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To assist Beijing Municipal Committee of Communication with developing its first bus rapid transit (BRT) operation/management plan and implementing the near-term BRT network development plan</td>
<td>$10,000</td>
</tr>
<tr>
<td>Rm 416, No 9 Building, Benhine Rd</td>
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<tr>
<td>Xuan Wu District</td>
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<tr>
<td>Beijing, 100055</td>
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<tr>
<td>PR CHINA</td>
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<tr>
<td>Center for Energy Efficiency and Renewable Technologies</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To promote policies that drive new renewable energy markets in California</td>
<td>$150,000</td>
</tr>
<tr>
<td>1100-11th Street, Suite 311</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>Sacramento, CA 95814</td>
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<tr>
<td>Recipient</td>
<td>If recipient is an individual, show any relationship to any foundation manager or substantial contributor</td>
<td>Foundation status of recipient</td>
<td>Purpose of grant or contribution</td>
<td>Amount</td>
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</tr>
<tr>
<td>Center for Energy Efficiency and Renewable Technologies 1100-11th Street, Suite 311 Sacramento, CA 95814</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To support CEERT’s Cleaner Transportation Policy Project</td>
<td>$100,000</td>
</tr>
<tr>
<td>Chang An University P O Box 487 Chang An University Middle of South 2nd Ring Road X'ian 710064 P R China</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To support the Xi'an municipal government to construct bus rapid transit (BRT) corridors and network plans</td>
<td>$5,000</td>
</tr>
<tr>
<td>China Automotive Technology and Research Center Tianhanlukou, Chenglinzhuangdao P O Box No 59 Tianjin, 300162 P R CHINA</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To develop a fuel tax for China aimed at encouraging a shift to clean fuels and advanced vehicle technologies</td>
<td>$5,000</td>
</tr>
<tr>
<td>China Automotive Technology and Research Center Tianhanlukou, Chenglinzhuangdao P O Box No 59 Tianjin, 300162 P R CHINA</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To support the development of a fuel tax in China to encourage cleaner, low-sulfur vehicle fuels and the deployment of fuel efficient, advanced vehicle technologies</td>
<td>$150,000</td>
</tr>
<tr>
<td>China Automotive Technology and Research Center Tianhanlukou, Chenglinzhuangdao P O Box No 59 Tianjin, 300162 P R CHINA</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To develop a heavy-duty vehicle fuel economy standard</td>
<td>$80,000</td>
</tr>
<tr>
<td>China Automotive Technology and Research Center Tianhanlukou, Chenglinzhuangdao P O Box No 59 Tianjin, 300162 P R CHINA</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To develop a vehicle sales tax that favors purchases of fuel efficient and cleaner-burning vehicles</td>
<td>$100,000</td>
</tr>
<tr>
<td>China Automotive Technology and Research Center Tianhanlukou, Chenglinzhuangdao P O Box No 59 Tianjin, 300162 P R CHINA</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To evaluate the implementation to date of China’s light-duty vehicle fuel economy standards</td>
<td>$5,000</td>
</tr>
<tr>
<td>China Building Energy Efficiency Association 1 Xinhua Road, Nanyuan Beijing, 100076 P.R. CHINA</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To develop national reach building codes</td>
<td>$5,000</td>
</tr>
<tr>
<td>China Electric Power Promotion Council Room 503, Zonghe Building, No 1, Ertsa, Baguanglu Road, Xuanwu District Beijing, 100761 P R China</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To identify least-cost ways to meet China’s growing energy demand using integrated resource planning (IRP), build IRP capacity in China, and select pilot cities to demonstrate the use of IRP principles in planning power sector development</td>
<td>$20,000</td>
</tr>
<tr>
<td>Name and address (home or business)</td>
<td>If recipient is an individual, show any relationship to any foundation manager or substantial contributor</td>
<td>Foundation status of recipient</td>
<td>Purpose of grant or contribution</td>
<td>Amount</td>
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<tr>
<td>China Energy Conservation Association</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To promote the nationwide use of energy efficiency agreements in the industrial sector through an information exchange platform</td>
<td>$3,000</td>
</tr>
<tr>
<td>No 18, Bei San Huan Dong Lu</td>
<td></td>
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<td>To publish and distribute policy recommendations developed by China Sustainable Energy Program (CSEP) grantees to senior policy decision-makers at the central and local government levels</td>
<td>$30,000</td>
</tr>
<tr>
<td>Beijing, 100013 P R CHINA</td>
<td></td>
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<td>To assist China in the revision of the refrigerator energy efficiency “reach” standard</td>
<td>$5,000</td>
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<tr>
<td>China National Institute of Standardization</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To evaluate the implementation of China’s mandatory energy efficiency labeling program</td>
<td>$5,000</td>
</tr>
<tr>
<td>No 3 Yu Hu South Road, Chaoyang District</td>
<td></td>
<td></td>
<td>To develop energy efficiency standards and labels for variable-speed air conditioners</td>
<td>$5,000</td>
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<tr>
<td>Beijing, 100088 P R CHINA</td>
<td></td>
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<td>To develop standards governing the amount of energy consumed to produce key industrial products</td>
<td>$5,000</td>
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<tr>
<td>China National Institute of Standardization</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To support China’s efforts to develop “reach” energy efficiency standards for industrial pumps</td>
<td>$5,000</td>
</tr>
<tr>
<td>No 3 Yu Hu South Road, Chaoyang District</td>
<td></td>
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<td>To develop monitoring and enforcement mechanisms to improve the implementation of appliance energy efficiency standards in China</td>
<td>$5,000</td>
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<tr>
<td>Beijing, 100088 P R CHINA</td>
<td></td>
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<td>To develop an aggressive service station emissions control strategy for Beijing</td>
<td>$50,000</td>
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<tr>
<td>China Productivity Centre for Machinery</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To support CUPTA in establishing a Bus Rapid Transit (BRT) Sub-Association and to organize a BRT training program</td>
<td>$5,000</td>
</tr>
<tr>
<td>No 2 Shouhui South Rd</td>
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<td></td>
<td></td>
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<tr>
<td>Haidian District</td>
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<tr>
<td>Beijing, 100044 P R China</td>
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<tr>
<td>China Urban Public Transport Association</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
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<tr>
<td>No 2, South Yuquan Road</td>
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<td>Beijing, 100040 P R China</td>
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</tbody>
</table>
# Grants and Contributions Paid During the Year or Approved for Future Payment

<table>
<thead>
<tr>
<th>Name and address (home or business)</th>
<th>If recipient is an individual, show any relationship to any foundation manager or substantial contributor</th>
<th>Foundation status of recipient</th>
<th>Purpose of grant or contribution</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Chinese Academy for Environmental Planning No 8, Dayangfang Street Anwai, Benyuan, Chaoyang District Beijing, 100012 P R China</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To catalyze comprehensive environmental regulatory reform through an assessment of China’s State Environmental Protection Administration, provincial environmental protection bureaus, and international best practice recommendations</td>
<td>$5,000</td>
</tr>
<tr>
<td>Chinese Academy for Environmental Planning No 8, Dayangfang Street Anwai, Benyuan, Chaoyang District Beijing, 100012 P R China</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To redesign China’s pollution levy in order to bolster implementation incentives for the Top-1000 Enterprises Energy-Efficiency Program</td>
<td>$5,000</td>
</tr>
<tr>
<td>Chinese Academy of Social Sciences No 5 Jiangguomennei Dajie Beijing, 100732 P R CHINA</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To support the development of local, regional, and sectoral action plans and an advanced energy technology development roadmap to direct China’s economy toward a less-energy-intensive development path</td>
<td>$5,000</td>
</tr>
<tr>
<td>Chinese Research Academy of Environmental Sciences No 8, Dayangfang Street Anwai, Benyuan, Chaoyang District Beijing, 100012 P R CHINA</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To design and implement a plan for introducing low-sulfur fuels in China</td>
<td>$45,000</td>
</tr>
<tr>
<td>Chinese Research Academy of Environmental Sciences No 8, Dayangfang Street Anwai, Benyuan, Chaoyang District Beijing, 100012 P R CHINA</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To develop policies and regulations to implement a generation performance standards-based cap-and-trade program on thermal power plant emissions in order to improve the energy efficiency of those plants</td>
<td>$10,000</td>
</tr>
<tr>
<td>Chongqing Construction Technology Development Center 69 Shangqian Rd, 7th Floor Chongqing, 400015 P R CHINA</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To establish local regulations and policies for building energy code compliance in Chongqing</td>
<td>$5,000</td>
</tr>
<tr>
<td>Chongqing University 83 North Street Shapingba Chongqing, 400045 P R China</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To develop energy performance standards for both central air conditioners and large-scale commercial buildings in the Hot-Summer Cold-Winter (Central China) region</td>
<td>$20,000</td>
</tr>
<tr>
<td>Development Research Center of the State Council No 225 Chaoyangmen N Wajie, Dongcheng District Beijing, 100010 P R CHINA</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To support the Development Research Center to work with all CSEP grantees to submit energy efficiency and renewable energy policy recommendations to the State Council</td>
<td>$50,000</td>
</tr>
<tr>
<td>Development Research Center of the State Council No 225 Chaoyangmen N Wajie, Dongcheng District Beijing, 100010 P R CHINA</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To support the development of local, regional, and sectoral action plans and an advanced energy technology development roadmap to direct China’s economy toward a less-energy-intensive development path</td>
<td>$5,000</td>
</tr>
<tr>
<td>Name and address (home or business)</td>
<td>Foundation status of recipient</td>
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<tr>
<td>Energy and Transportation Technologies, LLC 325 Cordova Street, Suite 331 Pasadena, CA 91011</td>
<td>N/A Expenditure Responsibility - See STMT 8</td>
<td>To support initial analytical work in the development of a fuel efficiency standard for light-duty commercial vehicles in China</td>
<td>$1,000</td>
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<tr>
<td>Energy and Transportation Technologies, LLC 325 Cordova Street, Suite 331 Pasadena, CA 91011</td>
<td>N/A Expenditure Responsibility - See STMT 8</td>
<td>To provide consultation on the development of a fuel efficiency standard for heavy-duty vehicles and on evaluating implementation to date of China's light-duty passenger vehicle and light-duty truck fuel economy standards</td>
<td>$1,000</td>
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<tr>
<td>Energy Research Institute Zhansimen Road, Shahe Beijing, 100038 P.R. CHINA</td>
<td>N/A Expenditure Responsibility - See STMT 8</td>
<td>To build the capacity of provincial governments to develop and implement plans and policies promoting renewable energy development</td>
<td>$10,000</td>
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<tr>
<td>Energy Research Institute Zhansimen Road, Shahe Beijing, 100038 P.R. CHINA</td>
<td>N/A Expenditure Responsibility - See STMT 8</td>
<td>To catalyze comprehensive environmental regulatory reform through an assessment of China's State Environmental Protection Administration, provincial environmental protection bureaus, and international best practice recommendations</td>
<td>$10,000</td>
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<tr>
<td>Energy Research Institute Zhansimen Road, Shahe Beijing, 100038 P.R. CHINA</td>
<td>N/A Expenditure Responsibility - See STMT 8</td>
<td>To develop a national development strategy for biofuels</td>
<td>$35,000</td>
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<tr>
<td>Energy Research Institute Zhansimen Road, Shahe Beijing, 100038 P.R. CHINA</td>
<td>N/A Expenditure Responsibility - See STMT 8</td>
<td>To develop energy efficiency evaluation indicators to monitor the energy use of industrial enterprises participating in China's Top-1,000 Enterprises Energy Efficiency Program</td>
<td>$30,000</td>
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<tr>
<td>Energy Research Institute Zhansimen Road, Shahe Beijing, 100038 P.R. CHINA</td>
<td>N/A Expenditure Responsibility - See STMT 8</td>
<td>To develop policies improving the energy efficiency of large commercial buildings in China</td>
<td>$5,000</td>
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<tr>
<td>Energy Research Institute Zhansimen Road, Shahe Beijing, 100038 P.R. CHINA</td>
<td>N/A Expenditure Responsibility - See STMT 8</td>
<td>To develop recommendations for a national energy tax for China</td>
<td>$5,000</td>
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<tr>
<td>Energy Research Institute Zhansimen Road, Shahe Beijing, 100038 P.R. CHINA</td>
<td>N/A Expenditure Responsibility - See STMT 8</td>
<td>To develop statistical energy use indicators in order to monitor the energy use of industrial enterprises participating in China's Top-1000 Enterprises Energy Efficiency Program</td>
<td>$5,000</td>
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<tr>
<td>Energy Research Institute Zhansimen Road, Shahe Beijing, 100038 P.R. CHINA</td>
<td>N/A Expenditure Responsibility - See STMT 8</td>
<td>To develop strategies to ensure the stability of China's wind energy market</td>
<td>$10,000</td>
<td></td>
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<tr>
<td>Name and address (home or business)</td>
<td>If recipient is an individual, show any relationship to any foundation manager or substantial contributor</td>
<td>Foundation status of recipient</td>
<td>Purpose of grant or contribution</td>
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<tr>
<td>Energy Research Institute</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To support the development of local, regional, and sectoral action plans and an advanced energy technology development roadmap to direct China's economy toward a less-energy-intensive development path</td>
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<tr>
<td>Zhaoyamen Road, Shaxi</td>
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<td>Beijing, P R. CHINA</td>
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<tr>
<td>Fujian Energy Research Society</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To develop a detailed roadmap for renewable energy development in Fujian Province</td>
<td>$5,000</td>
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<tr>
<td>8F, Block A, Zhongmin Building, No 9 East Yangquao Road</td>
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<tr>
<td>Fuzhou, Fujian Province</td>
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<tr>
<td>Fuzhou Sixun Science Development and Promotion Center</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To develop policies improving the energy efficiency of large commercial buildings in China</td>
<td>$5,000</td>
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<tr>
<td>No 54 at Middle WuYi Road</td>
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<tr>
<td>Fuzhou, Fujian Province</td>
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<tr>
<td>Gansu Huaying New Energy Design and Research Institute</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To develop an action plan to help Gansu Province achieve its provincial renewable energy development targets</td>
<td>$20,000</td>
</tr>
<tr>
<td>Jinhua Building, 18th Floor, No 329 Wuda Road</td>
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<td>Lanzhou, Gansu P R. CHINA</td>
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<tr>
<td>Global Environmental Institute</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To support the development of an energy efficiency policy curriculum and study materials for training senior decision makers at the central and local government levels in the elements of clean energy policy development and implementation</td>
<td>$10,000</td>
</tr>
<tr>
<td>B Building #1, Suite 1-401</td>
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<tr>
<td>The New World Villa, Chongwen District</td>
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<td>Beijing, P R. CHINA</td>
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<tr>
<td>Global Village of Beijing</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To support training of China's media in order to promote public awareness and local momentum for implementation of energy efficiency and renewable energy policies</td>
<td>$5,000</td>
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<tr>
<td>CIAD 301, Yuanmingyuan Xi Lu</td>
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<td>Beijing, P R. CHINA</td>
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<tr>
<td>Governors' Ethanol Coalition</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To create incentives for the more rapid transition away from imported oil and toward clean, domestic biofuel production and use</td>
<td>$50,000</td>
</tr>
<tr>
<td>P O Box 95085</td>
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<tr>
<td>Lincoln, NE 68509-5085</td>
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<tr>
<td>Guangzhou Institute of Building Science</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To develop policies improving the energy efficiency of large commercial buildings in China</td>
<td>$5,000</td>
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<tr>
<td>Jinian Building, No 833 Haysun Dadaobei</td>
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<tr>
<td>Guangzhou, GuangDong Province</td>
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<tr>
<td>P R. China</td>
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<tr>
<td>Hefei Urban Planning Association</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To assist Hefei municipal government to better understand the Bus Rapid Transit (BRT) system and develop a proper conceptual BRT design</td>
<td>$5,000</td>
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<tr>
<td>Funan Road #51</td>
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<tr>
<td>Hefei</td>
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<tr>
<td>P R. China</td>
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<tr>
<td>Information Center of the Ministry of Construction No 9 Sanlihe Road Beijing, 100035 P R China</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To develop policies improving the energy efficiency of large commercial buildings in China</td>
<td>$2,000</td>
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<tr>
<td>Information Center of the Ministry of Construction No 9 Sanlihe Road Beijing, 100035 P R China</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To improve building energy code implementation in China’s central climate zone based on successful administrative mechanisms developed in Shanghai and Chongqing</td>
<td>$5,000</td>
</tr>
<tr>
<td>Institute for America’s Future, Inc 1025 Connecticut Ave., NW Suite 205 Washington, DC 20036</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To support analysis and educational outreach aimed at reducing oil use and global warming pollution from California vehicles</td>
<td>$15,000</td>
</tr>
<tr>
<td>Institute of Economic System and Management National Development and Reform Commission No 1 Nan Cao Chang Street Beijing, 100035 P R CHINA</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To build the State Electricity Regulatory Commission’s capacity to develop and enforce policies and regulations encouraging demand-side energy efficiency improvement and renewable energy use</td>
<td>$10,000</td>
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<tr>
<td>The Institute of Energy Law, North China Electric Power University No 2, Benzong Road, Deshengmenwai Zhuxinzhuan Beijing, 102206 P R CHINA</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To develop recommendations for a comprehensive national energy policy to guide China’s energy policy decisions</td>
<td>$10,000</td>
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<tr>
<td>International Sustainable Systems Research Center 21573 Ambushers Street Diamond Bar, CA 91765</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To support ISSRC to measure heavy-duty diesel vehicle emissions, develop an emissions model, and assist grantees in building an emissions database</td>
<td>$80,000</td>
</tr>
<tr>
<td>InterAcademy Council P O Box 19121 Amsterdam, CA 1000 GC The Netherlands</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To support an international study entitled, “Transitions to Sustainable Energy Systems,” by the InterAcademy Council</td>
<td>$25,000</td>
</tr>
<tr>
<td>Izak Walton League of America, Inc 707 Conservation Lane Gaithersburg, MD 20878-2983</td>
<td>N/A</td>
<td>509(a)(2)</td>
<td>To promote energy efficiency and renewable energy policies in Minnesota</td>
<td>$150,000</td>
</tr>
<tr>
<td>Jinan Municipal Engineering Design &amp; Research Institute Co Ltd No 53, Qishan Road Jinan 250002 P R China</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To assist Jinan municipal government with developing a bus rapid transit (BRT) development strategy and BRT pilot project</td>
<td>$5,000</td>
</tr>
<tr>
<td>Kunming Urban Transportation Planning Institute 15th Floor, Wing B, More Building 60 Chunping Road Kunming, Yunnan 650041 P R China</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To continue assistance to the Kunming Municipal government to make further improvements on their bus priority transit system</td>
<td>$2,000</td>
</tr>
<tr>
<td>Name and address (home or business)</td>
<td>If recipient is an individual, show any relationship to any foundation manager or substantial contributor</td>
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<tr>
<td>Machinery Industry Agricultural Vehicles Research and Development Center, a project of Chinese Academy of Agricultural Mechanization Sciences Box 37, No 1 Denchengmenwai Beiataan Beijing, 100083 P R China</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To develop an agricultural vehicle fuel economy management system</td>
<td>$20,000</td>
</tr>
<tr>
<td>Natural Resources Defense Council, Inc 40 West 20th Street New York, NY 10011</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To continue support for the Farm Energy Policy Project and build awareness of the potential paths for sustainable biofuels development.</td>
<td>$190,000</td>
</tr>
<tr>
<td>Natural Resources Defense Council, Inc 40 West 20th Street New York, NY 10011</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To conduct technical and economic analyses on new energy efficiency initiatives for buildings and equipment and to assist in their design and implementation.</td>
<td>$75,000</td>
</tr>
<tr>
<td>Peking (Beijing) University Beijing, 100871</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To develop policies to guide the National Development and Reform Commission's Top-1,000 Enterprise Energy Efficiency Program</td>
<td>$5,000</td>
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<tr>
<td>Peking (Beijing) University Beijing, 100871</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To set energy efficiency targets and develop regulations, incentive policies, and monitoring mechanisms for the China Top-1000 Enterprises Energy-Efficiency Program</td>
<td>$5,000</td>
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<tr>
<td>Peking (Beijing) University, Health Science Center Beijing, 100083 P R China</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To catalyze comprehensive environmental regulatory reform through an assessment of China's State Environmental Protection Administration, provincial environmental protection bureaus, and international best practice recommendations</td>
<td>$2,000</td>
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<tr>
<td>Regulatory Assistance Project 177 Water Street Montpelier, VT 05602</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To support implementation of RGGI and California's utility carbon cap</td>
<td>$150,000</td>
</tr>
<tr>
<td>Regulatory Assistance Project 177 Water Street Montpelier, VT 05602</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To educate state and federal electric utility regulators and other public officials on policy options to increase investment in energy efficiency and clean distributed generation</td>
<td>$250,000</td>
</tr>
<tr>
<td>Renewable Northwest Project 1130 SW Morrison St Portland, OR 97205</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To diversify the electricity mix in the Northwest, such that 10 percent of the supply comes from non-hydro renewable resources by 2010</td>
<td>$150,000</td>
</tr>
<tr>
<td>Research Institute for Standards and Norms, Ministry of Construction Bao Wan Zhiang Beijing, 100835 P R China</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To help China implement an energy-efficient windows labeling and certification program</td>
<td>$5,000</td>
</tr>
<tr>
<td>Shandong Association of Resources Comprehensive Utilization Room 11-116, The Golden Building, No 16, Jiefang Road Jinan City, Shandong Province 250013 P R China</td>
<td>N/A</td>
<td>Expenditure Responsibility - See STMT 8</td>
<td>To create a province-wide energy efficiency agreement program in China's Shandong province</td>
<td>$5,000</td>
</tr>
<tr>
<td>Recipient</td>
<td>If recipient is an individual, show any relationship to any foundation manager or substantial contributor</td>
<td>Foundation status of recipient</td>
<td>Purpose of grant or contribution</td>
<td>Amount</td>
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<tr>
<td>Shanghai Energy Conservation Supervision Center</td>
<td>N/A</td>
<td>Expenditure Responsibility</td>
<td>To develop and implement demand-side management policies and programs in Shanghai</td>
<td>$10,000</td>
</tr>
<tr>
<td>27 Zhongzao Road, 8th Floor, Shanghai, 200031, P R. CHINA</td>
<td>See STMT 8</td>
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<tr>
<td>Shanghai Energy Conservation Supervision Center</td>
<td>N/A</td>
<td>Expenditure Responsibility</td>
<td>To accelerate Shanghai's adoption of reach appliance energy efficiency standards</td>
<td>$4,000</td>
</tr>
<tr>
<td>27 Zhongzao Road, 8th Floor, Shanghai, 200031, P R. CHINA</td>
<td>See STMT 8</td>
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<tr>
<td>Shenzhen Institute of Building Research</td>
<td>N/A</td>
<td>Expenditure Responsibility</td>
<td>To develop policies improving the energy efficiency of large commercial buildings in China</td>
<td>$5,000</td>
</tr>
<tr>
<td>5F, Design Building, 8 Zhonghua Road, Guangdong Province</td>
<td>See STMT 8</td>
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<tr>
<td>Shenzhen City, 518031, P R. CHINA</td>
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<tr>
<td>Shenzhen Institute of Environmental Science</td>
<td>N/A</td>
<td>Expenditure Responsibility</td>
<td>To develop a comprehensive vehicle emissions control strategy for Shenzhen</td>
<td>$40,000</td>
</tr>
<tr>
<td>No 50, Hongguo St 1, Hongguo Road, Shenzhen, 518008, P R. China</td>
<td>See STMT 8</td>
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<tr>
<td>Sichuan University</td>
<td>N/A</td>
<td>Expenditure Responsibility</td>
<td>To support the development and implementation of a mandatory market share (MMS) policy for renewable energy development in Sichuan Province</td>
<td>$50,000</td>
</tr>
<tr>
<td>29 Wangguang Road, Chengdu, Sichuan, 610064, P R. CHINA</td>
<td>See STMT 8</td>
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</tr>
<tr>
<td>South-North Institute for Sustainable Development</td>
<td>N/A</td>
<td>Expenditure Responsibility</td>
<td>To catalyze comprehensive environmental regulatory reform through an assessment of China's State Environmental Protection Administration, provincial environmental protection bureaus, and international best practice recommendations</td>
<td>$30,000</td>
</tr>
<tr>
<td>Suite 3028, Beijing International Hotel Beijing, 100031, P R. CHINA</td>
<td>See STMT 8</td>
<td></td>
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<td></td>
</tr>
<tr>
<td>South-North Institute for Sustainable Development</td>
<td>N/A</td>
<td>Expenditure Responsibility</td>
<td>To research international energy efficiency policy implementation procedures and formulate recommendations for developing similar institutional and administrative procedures in China</td>
<td>$5,000</td>
</tr>
<tr>
<td>Suite 3028, Beijing International Hotel Beijing, 100031, P R. CHINA</td>
<td>See STMT 8</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>State Grid Corporation DSM Instruction Center</td>
<td>N/A</td>
<td>Expenditure Responsibility</td>
<td>To continue developing and to implement a demand-side energy efficiency program in Jiangsu Province</td>
<td>$15,000</td>
</tr>
<tr>
<td>No 249 Zhongshan Road, Jiangsu Province Nanjing, 210008, P R. China</td>
<td>See STMT 8</td>
<td></td>
<td></td>
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</tr>
<tr>
<td>State Power Economic Research Institute</td>
<td>N/A</td>
<td>Expenditure Responsibility</td>
<td>To develop national DSM policies, advocate the reform of power sector regulatory practices to encourage DSM, and coordinate provincial DSM pilot projects</td>
<td>$5,000</td>
</tr>
<tr>
<td>China State Power Corporation</td>
<td>See STMT 8</td>
<td></td>
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</tr>
<tr>
<td>1 Ernao Lane, Baoguang Road, Xuanwu District Beijing, 100761, P R. CHINA</td>
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</tr>
</tbody>
</table>
### Grants and Contributions Paid During the Year or Approved for Future Payment

<table>
<thead>
<tr>
<th>Recipient</th>
<th>If recipient is an individual, show any relationship to any foundation manager or substantial contributor</th>
<th>Foundation status of recipient</th>
<th>Purpose of grant or contribution</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Tianjin Electric Drive Vehicle Research Center</td>
<td>N/A</td>
<td>Expenditure</td>
<td>To encourage the Tianjin municipal government to demonstrate and commercialize hybrid-electric bus technologies</td>
<td>$1,000</td>
</tr>
<tr>
<td>287 Heping Road, Heping District</td>
<td></td>
<td>Responsibility - See STMT 8</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Tianjin, 300041</td>
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<tr>
<td>P R. China</td>
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</tr>
<tr>
<td>Tsinghua University Education Foundation</td>
<td>N/A</td>
<td>Expenditure</td>
<td>To develop a program to train provincial government officials in renewable energy policy development and set up a fellowship program for graduate students studying renewable energy</td>
<td>$5,000</td>
</tr>
<tr>
<td>Tsinghua University</td>
<td></td>
<td>Responsibility - See STMT 8</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Haidian District, Beijing, 100084</td>
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<tr>
<td>P R. CHINA</td>
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</tr>
<tr>
<td>Tsinghua University Education Foundation</td>
<td>N/A</td>
<td>Expenditure</td>
<td>To develop a model of vehicle emissions in Chinese cities to use in creating transportation-related pollution control policies</td>
<td>$5,000</td>
</tr>
<tr>
<td>Tsinghua University</td>
<td></td>
<td>Responsibility - See STMT 8</td>
<td></td>
<td></td>
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<tr>
<td>Haidian District, Beijing, 100084</td>
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<tr>
<td>P R. CHINA</td>
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</tr>
<tr>
<td>Tsinghua University Education Foundation</td>
<td>N/A</td>
<td>Expenditure</td>
<td>To improve industrial enterprises' access to up-to-date energy efficiency technology information, including development of an information dissemination system</td>
<td>$5,000</td>
</tr>
<tr>
<td>Tsinghua University</td>
<td></td>
<td>Responsibility - See STMT 8</td>
<td></td>
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<tr>
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<tr>
<td>Union of Concerned Scientists, Inc</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To support a senior analyst, who will research technical and policy issues around biofuels</td>
<td>$130,000</td>
</tr>
<tr>
<td>PO Box 9105, Cambridge, MA 02238-9105</td>
<td></td>
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<tr>
<td>Western Resource Advocates</td>
<td>N/A</td>
<td>509(a)(1)</td>
<td>To develop policies and model approaches to promote clean energy technologies and reduce air emissions in the Interior West</td>
<td>$150,000</td>
</tr>
<tr>
<td>2260 Baseline Road, Suite 200, Boulder, CO 80302-7740</td>
<td></td>
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<td></td>
<td></td>
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<tr>
<td>Xiamen Academy of Building Research Ltd</td>
<td>N/A</td>
<td>Expenditure</td>
<td>To develop policies improving the energy efficiency of large commercial buildings in China</td>
<td>$5,000</td>
</tr>
<tr>
<td>No 62 Southern Hubon Road, Xiamen, 361004</td>
<td></td>
<td>Responsibility - See STMT 8</td>
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</tr>
<tr>
<td>P R. CHINA</td>
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</tbody>
</table>

**Total: $3,032,000**
<table>
<thead>
<tr>
<th>Form/Statement</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Form 990-PF</td>
<td>Return of Private Foundation</td>
</tr>
<tr>
<td>Schedule B</td>
<td>Schedule of Contributors</td>
</tr>
<tr>
<td>Statement 1</td>
<td>Legal fees schedule</td>
</tr>
<tr>
<td>Statement 1</td>
<td>Accounting fees schedule</td>
</tr>
<tr>
<td>Statement 1</td>
<td>Other professional fees schedule</td>
</tr>
<tr>
<td>Statement 2</td>
<td>Taxes schedule</td>
</tr>
<tr>
<td>Statement 3</td>
<td>Depreciation schedule</td>
</tr>
<tr>
<td>Statement 4</td>
<td>Other expenses schedule</td>
</tr>
<tr>
<td>Statement 5</td>
<td>Land, buildings, and equipment schedule</td>
</tr>
<tr>
<td>Statement 6</td>
<td>Form 872 – Consent to Extend the Time to Assess Tax</td>
</tr>
<tr>
<td>Statement 7</td>
<td>IRS Letter 2245 – Notice to terminate private foundation status under section 507(b)(1)(B)</td>
</tr>
<tr>
<td>Statement 8</td>
<td>Schedule of Expenditure Responsibility Grants</td>
</tr>
<tr>
<td>Statement 9</td>
<td>List all officers, directors, trustees, foundation managers and their Compensation</td>
</tr>
<tr>
<td>Statement 10</td>
<td>Summary of Direct Charitable Activities</td>
</tr>
<tr>
<td>Statement 11</td>
<td>Supplementary Information – any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors</td>
</tr>
<tr>
<td>Statement 12</td>
<td>Grants and Contributions Paid During the Year</td>
</tr>
<tr>
<td>Statement 13</td>
<td>Grants and Contributions Approved for Future Payment</td>
</tr>
</tbody>
</table>