See a Social Security Number? Say Something!
Report Privacy Problems to https://public.resource.org/privacy
Or call the IRS Identity Theft Hotline at 1-800-908-4490
Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

The organization may have to use a copy of this return to satisfy state reporting requirements

For the 2001 calendar year, or tax year period beginning

Name of organization

AMERICAN CIVIL LIBERTIES UNION FOUNDATION, INC.

125 BROAD STREET
NEW YORK, NY 10004

Employer identification number

13-6213516

Number and street (or P.O. box if mail is not delivered to street address)

City or town, state or country, and ZIP + 4

Phone number

212-549-2500

Date of Expiration of Federal Registration

WEB SITE N/A

Organization type

501(c)(3) or 4947(a)(1)

Yes

Are all affiliates included?

No

Check if applicable

Yes

If 'No,' enter number of affiliates

Web site N/A

Organization need not file a return with the IRS, but if the organization received a Form 990 Package in the mail, it should file a return without financial data. Some states require a complete return

Gross receipts Add lines 6b, 9b, and 10b to line 12

60,193,025

Part I. Revenue, Expenses, and Changes in Net Assets or Fund Balances

1. Contributions, gifts, grants, and similar amounts received

1a. Direct public support

42,393,279

1b. Indirect public support

1c. Government contributions (grants)

2. Program service revenue including government fees and contracts (from Part VII, line 93)

2. 2,045,656

3. Membership dues and assessments

4. Interest on savings and temporary cash investments

5. Dividends and interest from securities

6. Gross rents

6a. Less rental expenses

6b. Net rental income or (loss) (subtract line 6b from line 6a)

6c. Other investment income (describe )

7. Gross amount from sale of assets other than inventory

(A) SECURITIES

(B) OTHER

8. Less cost or other basis and sales expenses

8a. Gain or (loss) (attach schedule)

8b. Net gain or (loss) (comple line 8c, columns (A) and (B))

9. Special events and activities (attach schedule)

9a. Gross revenue (not including $ of contributions reported on line 1a)

9b. Less direct expenses other than fundraising expenses

9c. Net income or (loss) from special events (subtract line 9b from line 9a)

10. Gross sales of inventory, less returns and allowances

10a. Less cost of goods sold

10b. Gross profit or (loss) from sales of inventory (attach schedule) (subtract line 10b from line 10a)

11. Other revenue (from Part VII, line 103)

12. Total revenue (add lines 1d, 2, 3, 4, 5, 6, 7, 8d, 9c, 10b, and 11)

60,193,025

13. Program services (from line 44, column (B))

14. Management and general (from line 44, column (C))

15. Fundraising (from line 44, column (D))

16. Payments to affiliates (attach schedule)

17. Total expenses (add lines 16 and 44, column (A))

See Statement 1

18. Excess or (deficit) for the year (subtract line 17 from line 12)

19. Net assets or fund balances at beginning of year (from line 73, column (A))

20. Other changes in net assets or fund balances (attach explanation)

21. Net assets or fund balances at end of year (combine lines 18, 19, and 20)

See Statement 2

$16,435,029.94

$122,698,613.94
### Part II Statement of Functional Expenses

All organizations must complete column (A). Columns (B), (C), and (D) are required for organizations and section 4947(a)(1) nonexempt charitable trusts but optional for others.

<table>
<thead>
<tr>
<th></th>
<th>(A) Total</th>
<th>(B) Program services</th>
<th>(C) Management and general</th>
<th>(D) Fundraising</th>
</tr>
</thead>
<tbody>
<tr>
<td>22 Grants and allocations (attach schedule)</td>
<td>8,287,926</td>
<td>5,540,110</td>
<td>1,596,504</td>
<td>1,151,312</td>
</tr>
<tr>
<td>23 Cash</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>24 Noncash</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>25 Specific assistance to individuals (attach schedule)</td>
<td>1,044,365</td>
<td>726,208</td>
<td>200,153</td>
<td>118,004</td>
</tr>
<tr>
<td>26 Other salaries and wages</td>
<td>564,969</td>
<td>391,903</td>
<td>98,118</td>
<td>74,948</td>
</tr>
<tr>
<td>27 Pension plan contributions</td>
<td>371,179</td>
<td>256,716</td>
<td>98,118</td>
<td>118,004</td>
</tr>
<tr>
<td>28 Other employee benefits</td>
<td>371,179</td>
<td>256,716</td>
<td>98,118</td>
<td>118,004</td>
</tr>
<tr>
<td>29 Payroll taxes</td>
<td>371,179</td>
<td>256,716</td>
<td>98,118</td>
<td>118,004</td>
</tr>
<tr>
<td>30 Professional fundraising fees</td>
<td>371,179</td>
<td>256,716</td>
<td>98,118</td>
<td>118,004</td>
</tr>
<tr>
<td>31 Accounting fees</td>
<td>371,179</td>
<td>256,716</td>
<td>98,118</td>
<td>118,004</td>
</tr>
<tr>
<td>32 Legal fees</td>
<td>371,179</td>
<td>256,716</td>
<td>98,118</td>
<td>118,004</td>
</tr>
<tr>
<td>33 Supplies</td>
<td>371,179</td>
<td>256,716</td>
<td>98,118</td>
<td>118,004</td>
</tr>
<tr>
<td>34 Telephone</td>
<td>371,179</td>
<td>256,716</td>
<td>98,118</td>
<td>118,004</td>
</tr>
<tr>
<td>35 Postage and shipping</td>
<td>371,179</td>
<td>256,716</td>
<td>98,118</td>
<td>118,004</td>
</tr>
<tr>
<td>36 Occupancy</td>
<td>371,179</td>
<td>256,716</td>
<td>98,118</td>
<td>118,004</td>
</tr>
<tr>
<td>37 Equipment rental and maintenance</td>
<td>371,179</td>
<td>256,716</td>
<td>98,118</td>
<td>118,004</td>
</tr>
<tr>
<td>38 Printing and publications</td>
<td>371,179</td>
<td>256,716</td>
<td>98,118</td>
<td>118,004</td>
</tr>
<tr>
<td>39 Travel</td>
<td>371,179</td>
<td>256,716</td>
<td>98,118</td>
<td>118,004</td>
</tr>
<tr>
<td>40 Conferences, conventions, and meetings</td>
<td>371,179</td>
<td>256,716</td>
<td>98,118</td>
<td>118,004</td>
</tr>
<tr>
<td>41 Interest</td>
<td>371,179</td>
<td>256,716</td>
<td>98,118</td>
<td>118,004</td>
</tr>
<tr>
<td>42 Depreciation, depletion, etc (attach schedule)</td>
<td>371,179</td>
<td>256,716</td>
<td>98,118</td>
<td>118,004</td>
</tr>
<tr>
<td>43 Other expenses not covered above (itemize)</td>
<td>371,179</td>
<td>256,716</td>
<td>98,118</td>
<td>118,004</td>
</tr>
<tr>
<td>44 Total functional expenses (add lines 32 through 43)</td>
<td>3,918,125</td>
<td>5,562,668</td>
<td>&lt;1,689,470&gt;</td>
<td>44,927</td>
</tr>
<tr>
<td>44 Total functional expenses (add lines 32 through 43)</td>
<td>18,303,484</td>
<td>14,236,201</td>
<td>1,822,848</td>
<td>2,244,435</td>
</tr>
</tbody>
</table>

**Joint Costs:** Check □ if you are following SOP 98-2

Are any joint costs from a combined educational campaign and fundraising solicitation reported in (B) Program services? □ Yes □ No

If "Yes," enter (i) the aggregate amount of these joint costs $ and (ii) the amount allocated to Program services $ and (iii) the amount allocated to Management and general $ and (iv) the amount allocated to Fundraising $.

### Part III Statement of Program Service Accomplishments

What is the organization's primary exempt purpose? □

**PRESERVATION OF CIVIL LIBERTIES**

All organizations must describe their exempt purpose achievements in a clear and concise manner. State the number of clients served, publications issued, etc. Discuss achievements that are not measurable. (Section 501(c)(3) and (4) organizations and 4947(a)(1) nonexempt charitable trusts must also enter the amount of grants and allocations to others).

- **LEGAL - PROVIDING LEGAL PRESENTATION IN CASES INVOLVING ISSUES OF CIVIL LIBERTIES AND CONSTITUTIONAL RIGHTS - PRESENTATION RANGING FROM ADMINISTRATIVE HEARINGS TO TRIALS AND APPEALS**
  - Grants and allocations $9,344,870

- **EDUCATION - EDUCATING THE PUBLIC AS TO CIVIL LIBERTIES AND CONSTITUTIONAL RIGHTS BY DISSEMINATION OF LITERATURE, ETC.**
  - Grants and allocations $4,891,331

- **Other program services (attach schedule)**
  - Grants and allocations $14,236,201
### Balance Sheets

**Note:** Where required, attached schedules and amounts within the description column should be for end-of-year amounts only.

<table>
<thead>
<tr>
<th></th>
<th>(A) Beginning of year</th>
<th>(B) End of year</th>
</tr>
</thead>
<tbody>
<tr>
<td>45</td>
<td>Cash - non-interest-bearing</td>
<td>2,918,800</td>
</tr>
<tr>
<td>46</td>
<td>Savings and temporary cash investments</td>
<td>20,520,013</td>
</tr>
<tr>
<td>47</td>
<td>Accounts receivable</td>
<td></td>
</tr>
<tr>
<td>47a</td>
<td></td>
<td>1,553,148</td>
</tr>
<tr>
<td>47b</td>
<td>Less allowance for doubtful accounts</td>
<td>2,213,990</td>
</tr>
<tr>
<td>48</td>
<td>Pledges receivable</td>
<td></td>
</tr>
<tr>
<td>48a</td>
<td></td>
<td>4,337,632</td>
</tr>
<tr>
<td>48b</td>
<td>Less allowance for doubtful accounts</td>
<td>2,146,085</td>
</tr>
<tr>
<td>49</td>
<td>Grants receivable</td>
<td></td>
</tr>
<tr>
<td>50</td>
<td>Receivables from officers, directors, trustees, and key employees</td>
<td></td>
</tr>
<tr>
<td>51</td>
<td>Other notes and loans receivable</td>
<td></td>
</tr>
<tr>
<td>51a</td>
<td></td>
<td></td>
</tr>
<tr>
<td>51b</td>
<td>Less allowance for doubtful accounts</td>
<td></td>
</tr>
<tr>
<td>52</td>
<td>Inventories for sale or use</td>
<td></td>
</tr>
<tr>
<td>53</td>
<td>Prepaid expenses and deferred charges</td>
<td></td>
</tr>
<tr>
<td>54</td>
<td>Investments - securities</td>
<td></td>
</tr>
<tr>
<td>54a</td>
<td></td>
<td></td>
</tr>
<tr>
<td>55</td>
<td>Investments, land, buildings, and equipment basis</td>
<td></td>
</tr>
<tr>
<td>55a</td>
<td></td>
<td></td>
</tr>
<tr>
<td>55b</td>
<td>Less accumulated depreciation</td>
<td></td>
</tr>
<tr>
<td>56</td>
<td>Investments - other</td>
<td></td>
</tr>
<tr>
<td>57</td>
<td>Land, buildings, and equipment: basis</td>
<td></td>
</tr>
<tr>
<td>57a</td>
<td></td>
<td>11,354,232</td>
</tr>
<tr>
<td>57b</td>
<td>Less accumulated depreciation</td>
<td>5,028,230</td>
</tr>
<tr>
<td>58</td>
<td>Other assets (describe</td>
<td></td>
</tr>
<tr>
<td></td>
<td>)</td>
<td></td>
</tr>
<tr>
<td>59</td>
<td>Total assets (add lines 45 through 58) (must equal line 74)</td>
<td></td>
</tr>
<tr>
<td>60</td>
<td>Accounts payable and accrued expenses</td>
<td></td>
</tr>
<tr>
<td>61</td>
<td>Grants payable</td>
<td></td>
</tr>
<tr>
<td>62</td>
<td>Deferred revenue</td>
<td></td>
</tr>
<tr>
<td>63</td>
<td>Loans from officers, directors, trustees, and key employees</td>
<td></td>
</tr>
<tr>
<td>64</td>
<td>Tax-exempt bond liabilities</td>
<td></td>
</tr>
<tr>
<td>64a</td>
<td></td>
<td></td>
</tr>
<tr>
<td>64b</td>
<td>Mortgages and other notes payable</td>
<td></td>
</tr>
<tr>
<td>65</td>
<td>Other liabilities (describe</td>
<td></td>
</tr>
<tr>
<td></td>
<td>)</td>
<td></td>
</tr>
<tr>
<td>66</td>
<td>Total liabilities (add lines 60 through 65)</td>
<td></td>
</tr>
<tr>
<td>67</td>
<td>Organizations that follow SFAS 117, check here</td>
<td></td>
</tr>
<tr>
<td>68</td>
<td>Unrestricted</td>
<td></td>
</tr>
<tr>
<td>69</td>
<td>Temporarily restricted</td>
<td></td>
</tr>
<tr>
<td>70</td>
<td>Permanently restricted</td>
<td></td>
</tr>
<tr>
<td>71</td>
<td>Capital stock, trust principal, or current funds</td>
<td></td>
</tr>
<tr>
<td>72</td>
<td>Paid-in or capital surplus, or land, building, and equipment fund</td>
<td></td>
</tr>
<tr>
<td>73</td>
<td>Retained earnings, endowment, accumulated income, or other funds</td>
<td></td>
</tr>
<tr>
<td>74</td>
<td>Total net assets or fund balances (add lines 67 through 69 or lines 70 through 72, column (A) must equal line 19, column (B) must equal line 21)</td>
<td></td>
</tr>
<tr>
<td>73a</td>
<td>Total liabilities and net assets / fund balances (add lines 66 and 73)</td>
<td></td>
</tr>
</tbody>
</table>

Form 990 is available for public inspection and, for some people, serves as the primary or sole source of information about a particular organization. How the public perceives an organization in such cases may be determined by the information presented on its return. Therefore, please make sure the return is complete and accurate and fully describes, in Part III, the organization's programs and accomplishments.
### Part IV-A: Reconciliation of Revenue per Audited Financial Statements with Revenue per Return

<table>
<thead>
<tr>
<th>a</th>
<th>Total revenue, gains, and other support per audited financial statements</th>
<th>43,757,996</th>
</tr>
</thead>
<tbody>
<tr>
<td>b</td>
<td>Amounts included on line a but not on line 12, Form 990</td>
<td></td>
</tr>
<tr>
<td></td>
<td>1) Net unrealized gains on investments</td>
<td></td>
</tr>
<tr>
<td></td>
<td>2) Donated services and use of facilities</td>
<td></td>
</tr>
<tr>
<td></td>
<td>3) Recoveries of prior year grants</td>
<td></td>
</tr>
<tr>
<td></td>
<td>4) Other (specify)</td>
<td>&lt;16435029</td>
</tr>
<tr>
<td>STMT 7</td>
<td></td>
<td>60,193,025</td>
</tr>
<tr>
<td>c</td>
<td>Line a minus line b</td>
<td></td>
</tr>
<tr>
<td>d</td>
<td>Amounts included on line 12, Form 990 but not on line a</td>
<td></td>
</tr>
<tr>
<td></td>
<td>1) Investment expenses not included on line 6b, Form 990</td>
<td></td>
</tr>
<tr>
<td></td>
<td>2) Other (specify)</td>
<td></td>
</tr>
<tr>
<td>e</td>
<td>Total revenue per line 12, Form 990</td>
<td>60,193,025</td>
</tr>
</tbody>
</table>

### Part IV-B: Reconciliation of Expenses per Audited Financial Statements with Expenses per Return

<table>
<thead>
<tr>
<th>a</th>
<th>Total expenses and losses per audited financial statements</th>
<th>19,844,851</th>
</tr>
</thead>
<tbody>
<tr>
<td>b</td>
<td>Amounts included on line a but not on line 17, Form 990</td>
<td></td>
</tr>
<tr>
<td></td>
<td>1) Donated services and use of facilities</td>
<td></td>
</tr>
<tr>
<td></td>
<td>2) Prior year adjustments reported on line 20, Form 990</td>
<td></td>
</tr>
<tr>
<td></td>
<td>3) Losses reported on line 20, Form 990</td>
<td></td>
</tr>
<tr>
<td></td>
<td>4) Other (specify)</td>
<td></td>
</tr>
</tbody>
</table>

### Part V: List of Officers, Directors, Trustees, and Key Employees

<table>
<thead>
<tr>
<th>(A) Name and address</th>
<th>(B) Title and average hours per week devoted to position</th>
<th>(C) Compensation if not paid, enter (D) Contributions to employee benefit plans &amp; deferred compensation</th>
<th>(E) Expense account and other allowances</th>
</tr>
</thead>
</table>

- SEE STATEMENT 8

---

75 Did any officer, director, trustee, or key employee receive aggregate compensation of more than $100,000 from your organization and all related organizations, of which more than $10,000 was provided by the related organizations? If "Yes," attach schedule □ Yes □ No
AMERICAN CIVIL LIBERTIES UNION
FOUNDATION, INC.

Part VI
Other Information

76 Did the organization engage in any activity not previously reported to the IRS? If "Yes," attach a detailed description of each activity

77 Were any changes made in the organizing or governing documents but not reported to the IRS?

78 a Did the organization have unrelated business gross income of $1,000 or more during the year covered by this return?

b If "Yes," has it filed a tax return on Form 990-T for this year?

79 Was there a liquidation, dissolution, termination, or substantial contraction during the year?

78a X

78b N/A

79 X

80 a Is the organization related (either by association with a statewide or nationwide organization) through common membership, governing bodies, trustees, officers, etc., to any other exempt or nonexempt organization?

b If "Yes," enter the name of the organization

80a X

and check whether it is exempt or nonexempt.

80b

81 a Enter direct or indirect political expenditures. See line 81 instructions

81a exempt OR nonexempt.

81b

82 a Did the organization receive donated services or the use of materials, equipment, or facilities at no charge or at substantially less than fair rental value?

b If "Yes," you may indicate the value of these items here. Do not include this amount as revenue in Part I or as an expense in Part II (See instructions In Part III)

82a X

82b

83 a Did the organization comply with public inspection requirements for returns and exemption applications?

b Did the organization comply with the disclosure requirements relating to quid pro quo contributions?

83a X

83b X

84 a Did the organization solicit any contributions or gifts that were not tax deductible?

b If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?

84a X

84b

85 501(c)(4), (5), or (6) organizations

a Were substantially all dues nondeductible by members?

b Did the organization make only in-house lobbying expenditures of $2,000 or less?

N/A

85a

85b

86 501(c)(7) organizations

Enter initiation fees and capital contributions included on line 12

86a

86b

87 501(c)(12) organizations

Enter gross income from members or shareholders

87a

87b

88 At any time during the year, did the organization own a 50% or greater interest in a taxable corporation or partnership, or an entity disregarded as separate from the organization under Sections 301 7701-2 and 301 7701-3?

88 X

89 a 501(c)(3) organizations

Enter amount of tax imposed on the organization during the year under section 4911

b 501(c)(3) and 501(c)(4) organizations. Did the organization engage in any section 4958 excess benefit transaction during the year or did it become aware of an excess benefit transaction from a prior year?

If "Yes," attach a statement explaining each transaction

89b X

90 a List the states with which a copy of this return is filed

b Number of employees employed in the pay period that includes March 12, 2001

90b

119

91 The books are in care of

ALVIN MOORE, CONTROLLER
Telephone no 212-549-2500

Located at 125 BROAD STREET, NEW YORK, NY
ZIP + 4 10004

92 Section 4947(a)(1) nonexempt charitable trusts filing Form 990 in lieu of Form 1041- Check here and enter the amount of tax-exempt interest received or accrued during the tax year

N/A

12051003 785048 0114503.0 2001.06020 AMERICAN CIVIL LIBERTIES UNION 01145031
### Part VII | Analysis of Income-Producing Activities

<table>
<thead>
<tr>
<th>(A) Business code</th>
<th>(B) Amount</th>
<th>(C) Exclusion code</th>
<th>(D) Amount</th>
<th>(E) Related or exempt function income</th>
</tr>
</thead>
<tbody>
<tr>
<td>93 Program service revenue</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>a LEGAL FEE AWARDS</td>
<td></td>
<td></td>
<td></td>
<td>2,035,449.</td>
</tr>
<tr>
<td>b LITERATURE SALES</td>
<td></td>
<td></td>
<td></td>
<td>10,207.</td>
</tr>
<tr>
<td>94 Member dues and assessments</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>95 Interest on savings and temporary cash investments</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>96 Dividends and interest from securities</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>97 Net rental income or (loss) from real estate</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>a debt-financed property</td>
<td></td>
<td></td>
<td></td>
<td>5,301,808.</td>
</tr>
<tr>
<td>b not debt-financed property</td>
<td></td>
<td></td>
<td></td>
<td>10,376,414.</td>
</tr>
<tr>
<td>98 Net rental income or (loss) from personal property</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>99 Other investment income</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>100 Gain or (loss) from sales of assets other than inventory</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>101 Net income or (loss) from special events</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>102 Gross profit or (loss) from sales of inventory</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>103 Other revenue</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>a ROYALTIES</td>
<td></td>
<td></td>
<td></td>
<td>75,868.</td>
</tr>
<tr>
<td>104 Subtotal (add columns (B), (D), and (E))</td>
<td>15,754,090.</td>
<td>2,045,656.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>105 Total (add line 104, columns (B), (D), and (E))</td>
<td></td>
<td></td>
<td></td>
<td>17,799,746.</td>
</tr>
</tbody>
</table>

Note: Line 105 plus line 1d, Part I, shall equal the amount on line 12, Part I.

### Part VIII | Relationship of Activities to the Accomplishment of Exempt Purposes

**SEE STATEMENT 9**

### Part IX | Information Regarding Taxable Subsidiaries and Disregarded Entities

<table>
<thead>
<tr>
<th>(A) Name, address, and EIN of corporation, partnership, or disregarded entity</th>
<th>(B) Percentage of ownership interest</th>
<th>(C) Nature of activities</th>
<th>(D) Total income</th>
<th>(E) End-of-year assets</th>
</tr>
</thead>
<tbody>
<tr>
<td>N/A</td>
<td>%</td>
<td></td>
<td>%</td>
<td>%</td>
</tr>
</tbody>
</table>

### Part X | Information Regarding Transfers Associated with Personal Benefit Contracts

(a) Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? [ ] Yes [ ] No

(b) Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? [ ] Yes [ ] No

Note: If "Yes" to (b), file Form 8870 and Form 4720 (see instructions)

---

**Signature of Officer**

**Date**

**Preparer's Signature**

**Preparer's SSN or PTIN**

**Address**

---

**Executive Director**

**Date**

**Preparer's SSN or PTIN**

**Address**

---

**Preparer's Signature**

**Preparer's Date**

**Preparer's SSN or PTIN**

**Address**

---

**Preparer's Signature**

**Preparer's Date**

**Preparer's SSN or PTIN**

**Address**

---

**Preparer's Signature**

**Preparer's Date**

**Preparer's SSN or PTIN**

**Address**

---

**Preparer's Signature**

**Preparer's Date**

**Preparer's SSN or PTIN**

**Address**

---

**Preparer's Signature**

**Preparer's Date**

**Preparer's SSN or PTIN**

**Address**

---

**Preparer's Signature**

**Preparer's Date**

**Preparer's SSN or PTIN**

**Address**
### Part I: Compensation of the Five Highest Paid Employees Other Than Officers, Directors, and Trustees

<table>
<thead>
<tr>
<th>Name</th>
<th>Position</th>
<th>Hours Devoted</th>
<th>Compensation (Total)</th>
</tr>
</thead>
<tbody>
<tr>
<td>JANET SCOTT</td>
<td>DEV DIRECTOR</td>
<td>FULL TIME</td>
<td>162,150.00 19,446.46</td>
</tr>
<tr>
<td>NEW YORK, NY</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>STEVEN SHAPIRO</td>
<td>LEGAL DIRECTO</td>
<td>FULL TIME</td>
<td>147,775.00 19,253.46</td>
</tr>
<tr>
<td>NEW YORK, NY</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>LOREN SIEGEL</td>
<td>PUB ED DIRECT</td>
<td>FULL TIME</td>
<td>118,749.00 18,808.76</td>
</tr>
<tr>
<td>NEW YORK, NY</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>ELIZABETH ALEXANDER</td>
<td>PROJ DIRECTOR</td>
<td>FULL TIME</td>
<td>108,884.00 18,697.15</td>
</tr>
<tr>
<td>WASHINGTON, D.C.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>LAUGHLIN MCDONALD</td>
<td>PROJ DIRECTOR</td>
<td>FULL TIME</td>
<td>108,721.00 18,697.15</td>
</tr>
<tr>
<td>NEW YORK, NY</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Total number of other employees paid over $50,000: 45

### Part II: Compensation of the Five Highest Paid Independent Contractors for Professional Services

<table>
<thead>
<tr>
<th>Name</th>
<th>Type of Service</th>
<th>Compensation</th>
</tr>
</thead>
<tbody>
<tr>
<td>CRAVER, MATHews, SMITH &amp; CO.</td>
<td>FUNDRAISING</td>
<td>155,703.15</td>
</tr>
<tr>
<td>4121 WILSON BLVD, ARLINGTON, VA 22203</td>
<td></td>
<td></td>
</tr>
<tr>
<td>DEVTIO/VERDI</td>
<td>CONSULTANT</td>
<td>1335360.00</td>
</tr>
<tr>
<td>THE CONDE NASTE PUBLICATIONS</td>
<td>CONSULTANT</td>
<td>100,005.00</td>
</tr>
<tr>
<td>Belden RUSsENELLO &amp; STEWART</td>
<td>JUDICIAL STUDY</td>
<td>300,518.00</td>
</tr>
<tr>
<td>COMMUNITY COUNSELING SERVICE CO.</td>
<td>FUNDRAISING</td>
<td>215,476.00</td>
</tr>
</tbody>
</table>

Total number of others receiving over $50,000 for professional services: 0
**Part III. Statements About Activities** (See page 2 of the instructions)

<table>
<thead>
<tr>
<th>Activity</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. During the year, has the organization attempted to influence national, state, or local legislation, including any attempt to influence public opinion on a legislative matter or referendum? If &quot;Yes,&quot; enter the total expenses paid or incurred in connection with the lobbying activities.</td>
<td>$437,551</td>
<td></td>
</tr>
<tr>
<td>Organizations that made an election under section 501(h) of Title 11 of the Code of Federal Regulations must complete Part VI-A. Other organizations checking &quot;Yes,&quot; must complete Part VI-B and attach a statement giving a detailed description of the lobbying activities.</td>
<td>1</td>
<td>X</td>
</tr>
<tr>
<td>2. During the year, has the organization, either directly or indirectly, engaged in any of the following acts with any substantial contributors, trustees, directors, officers, creators, key employees, or members of their families, or with any taxable organization with which any such person is affiliated as an officer, director, trustee, or principal beneficiary? (If the answer to any question is &quot;Yes,&quot; attach a detailed statement explaining the transactions.)</td>
<td></td>
<td>2a</td>
</tr>
<tr>
<td>a. Sale, exchange, or leasing of property?</td>
<td></td>
<td>2b</td>
</tr>
<tr>
<td>b. Lending of money or other extension of credit?</td>
<td></td>
<td>2c</td>
</tr>
<tr>
<td>c. Furnishing of goods, services, or facilities?</td>
<td></td>
<td>2d</td>
</tr>
<tr>
<td>d. Payment of compensation (or payment or reimbursement of expenses if more than $1,000)?</td>
<td></td>
<td>2e</td>
</tr>
<tr>
<td>e. Transfer of any part of its income or assets?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3. Does the organization make grants for scholarships, fellowships, student loans, etc.? (See Note below.)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4. Do you have a section 403(b) annuity plan for your employees?</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Note:** Attach a statement to explain how the organization determines that individuals or organizations receiving grants or loans from it in furtherance of its charitable programs qualify to receive payments.

**Part IV. Reason for Non-Private Foundation Status** (See pages 3 through 6 of the instructions)

The organization is not a private foundation because it is (Please check only ONE applicable box)

<table>
<thead>
<tr>
<th>Reason for Non-Private Foundation Status</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>5. A church, convention of churches, or association of churches Section 170(b)(1A)(i)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>6. A school Section 170(b)(1A)(ii) (Also complete Part V)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7. A hospital or a cooperative hospital service organization Section 170(b)(1A)(iii)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>8. A Federal, state, or local government or governmental unit. Section 170(b)(1A)(iv)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>9. A medical research organization operated in conjunction with a hospital Section 170(b)(1A)(v) Enter the hospital's name, city, and state</td>
<td></td>
<td></td>
</tr>
<tr>
<td>10. An organization operated for the benefit of a college or university owned or operated by a governmental unit. Section 170(b)(1A)(vi) (Also complete the Support Schedule in Part IV-A)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>11a. An organization that normally receives a substantial part of its support from a governmental unit or from the general public Section 170(b)(1A)(vii) (Also complete the Support Schedule in Part IV-A)</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>11b. A community trust Section 170(b)(1A)(viii) (Also complete the Support Schedule in Part IV-A)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>12. An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its charitable, etc., functions, subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 taxes) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2) (Also complete the Support Schedule in Part IV-A)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>13. An organization that is not controlled by any disqualified persons (other than foundation managers) and supports organizations described in (1) lines 5 through 12 above, or (2) section 501(c)(4), (5), or (6), if they meet the test of section 509(a)(2) (See section 509(a)(3))</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Provide the following information about the supported organizations. (See page 5 of the instructions)

<table>
<thead>
<tr>
<th>(a) Name(s) of supported organization(s)</th>
<th>(b) Line number from above</th>
</tr>
</thead>
</table>

14. An organization organized and operated to test for public safety Section 509(a)(4) (See page 6 of the instructions)
<table>
<thead>
<tr>
<th>Calendar year (or fiscal year beginning on)</th>
<th>(a) 2000</th>
<th>(b) 1999</th>
<th>(c) 1998</th>
<th>(d) 1997</th>
<th>(e) Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>15 Gifts, grants and contributions received (Do not include unusual grants. See line 28)</td>
<td>37,415,620</td>
<td>27,620,336</td>
<td>17,923,971</td>
<td>15,593,726</td>
<td>98,553,653</td>
</tr>
<tr>
<td>16 Membership fees received</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>17 Gross receipts from admissions, merchandise sold or services performed, or furnishing of facilities in any activity that is related to the organization's charitable, etc., purpose</td>
<td>3,189,879</td>
<td>1,636,120</td>
<td>1,516,524</td>
<td>1,768,083</td>
<td>8,110,606</td>
</tr>
<tr>
<td>18 Gross income from interest, dividends, amounts received from payments on securities loans (section 512(a)(5)), rents, royalties, and unrelated business taxable income (less section 511 taxes) from businesses acquired by the organization after June 30, 1975</td>
<td>4,726,923</td>
<td>4,303,499</td>
<td>2,786,638</td>
<td>2,218,264</td>
<td>14,035,324</td>
</tr>
<tr>
<td>19 Net income from unrelated business activities not included in line 18</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>20 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>21 The value of services or facilities furnished to the organization by a governmental unit without charge. Do not include the value of services or facilities furnished to the public without charge</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>22 Other income. Attach a schedule. Do not include gain or (loss) from sale of capital assets</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>23 Total of lines 15 through 22</td>
<td>45,332,422</td>
<td>33,559,955</td>
<td>22,227,133</td>
<td>19,580,073</td>
<td>120,699,583</td>
</tr>
<tr>
<td>24 Line 23 minus line 17</td>
<td>42,142,543</td>
<td>31,923,835</td>
<td>20,710,609</td>
<td>17,811,990</td>
<td>112,588,977</td>
</tr>
<tr>
<td>25 Enter 1% of line 23</td>
<td>453,324</td>
<td>335,600</td>
<td>222,271</td>
<td>195,801</td>
<td></td>
</tr>
<tr>
<td>26 Organizations described on lines 10 or 11</td>
<td>Enter 2% of amount in column (e), line 24</td>
<td>2,251,780</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>27 Organizations described on line 12</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>a For amounts included in lines 15, 16, and 17 that were received from a &quot;disqualified person,&quot; prepare a list for your records to show the name of, and total amounts received in each year from, each &quot;disqualified person.&quot; Do not file this list with your return</td>
<td>Enter the sum of such amounts for each year</td>
<td>N/A</td>
<td>(2000)</td>
<td>(1999)</td>
<td>(1998)</td>
</tr>
<tr>
<td>b For any amount included in line 17 that was received from each person (other than &quot;disqualified persons&quot;), prepare a list for your records to show the name and amount received for each year, that was more than the larger of (1) the amount on line 25 for the year or (2) $5,000. (Include in the list organizations described in lines 5 through 11, as well as individuals.) Do not file this list with your return. After computing the difference between the amount received and the larger amount described in (1) or (2), enter the sum of these differences (the excess amounts) for each year</td>
<td>N/A</td>
<td>(2000)</td>
<td>(1999)</td>
<td>(1998)</td>
<td>(1997)</td>
</tr>
<tr>
<td>28 Unusual Grants</td>
<td>For an organization described in line 10, 11, or 12, that received any unusual grants during 1997 through 2000, prepare a list for your records to show, for each year, the name of the contributor, the date and amount of the grant, and a brief description of the nature of the grant. Do not file this list with your return. Do not include these grants in line 15</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**NONE**
<table>
<thead>
<tr>
<th>Question</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>29 Does the organization have a racially nondiscriminatory policy toward</td>
<td></td>
<td></td>
</tr>
<tr>
<td>students by statement in its charter, bylaws, other governing instrument,</td>
<td></td>
<td></td>
</tr>
<tr>
<td>or in a resolution of its governing body?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>30 Does the organization include a statement of its racially nondiscrimi</td>
<td></td>
<td></td>
</tr>
<tr>
<td>inatory policy toward students in all its brochures, catalogues, and o</td>
<td></td>
<td></td>
</tr>
<tr>
<td>ther written communications with the public dealing with student admis</td>
<td></td>
<td></td>
</tr>
<tr>
<td>sions, programs, and scholarships?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>31 Has the organization publicized its racially nondiscriminatory poli</td>
<td></td>
<td></td>
</tr>
<tr>
<td>cy through newspaper or broadcast media during the period of solicita</td>
<td></td>
<td></td>
</tr>
<tr>
<td>tion for students, or during the registration period if it has no sol</td>
<td></td>
<td></td>
</tr>
<tr>
<td>icitation program, in a way that makes the policy known to all parts</td>
<td></td>
<td></td>
</tr>
<tr>
<td>of the general community it serves?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>If &quot;Yes,&quot; please describe, if &quot;No,&quot; please explain (If you need more</td>
<td></td>
<td></td>
</tr>
<tr>
<td>space, attach a separate statement)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>32 Does the organization maintain the following</td>
<td></td>
<td></td>
</tr>
<tr>
<td>a Records indicating the racial composition of the student body, facu</td>
<td></td>
<td></td>
</tr>
<tr>
<td>lty, and administrative staff?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>b Records documenting that scholarships and other financial assistance</td>
<td></td>
<td></td>
</tr>
<tr>
<td>are awarded on a racially nondiscriminatory basis?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>c Copies of all catalogues, brochures, announcements, and other writ</td>
<td></td>
<td></td>
</tr>
<tr>
<td>ten communications to the public dealing with student admissions, pr</td>
<td></td>
<td></td>
</tr>
<tr>
<td>ograms, and scholarships?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>d Copies of all material used by the organization or on its behalf t</td>
<td></td>
<td></td>
</tr>
<tr>
<td>o solicit contributions?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>If you answered &quot;No&quot; to any of the above, please explain (If you need</td>
<td></td>
<td></td>
</tr>
<tr>
<td>more space, attach a separate statement)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>33 Does the organization discriminate by race in any way with respect</td>
<td></td>
<td></td>
</tr>
<tr>
<td>to</td>
<td></td>
<td></td>
</tr>
<tr>
<td>a Students' rights or privileges?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>b Admissions policies?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>c Employment of faculty or administrative staff?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>d Scholarships or other financial assistance?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>e Educational policies?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>f Use of facilities?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>g Athletic programs?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>h Other extracurricular activities?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>If you answered &quot;Yes&quot; to any of the above, please explain (If you nee</td>
<td></td>
<td></td>
</tr>
<tr>
<td>d more space, attach a separate statement)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>34 a Does the organization receive any financial aid or assistance fr</td>
<td></td>
<td></td>
</tr>
<tr>
<td>om a governmental agency?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>b Has the organization's right to such aid ever been revoked or suspe</td>
<td></td>
<td></td>
</tr>
<tr>
<td>nded?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>If you answered &quot;Yes&quot; to either 34a or b, please explain using an at</td>
<td></td>
<td></td>
</tr>
<tr>
<td>tached statement.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>35 Does the organization certify that it has complied with the applic</td>
<td></td>
<td></td>
</tr>
<tr>
<td>able requirements of sections 401 through 405 of Rev. Proc. 75-50, 19</td>
<td></td>
<td></td>
</tr>
<tr>
<td>75-2 C B 567, covering racial nondiscrimination? If &quot;No,&quot; attach an e</td>
<td></td>
<td></td>
</tr>
<tr>
<td>xplanation</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
# Limits on Lobbying Expenditures

(The term "expenditures" means amounts paid or incurred)

<table>
<thead>
<tr>
<th></th>
<th>Affiliated group totals</th>
<th>To be completed for ALL electing organizations</th>
</tr>
</thead>
<tbody>
<tr>
<td>36</td>
<td>Total lobbying expenditures to influence public opinion (grassroots lobbying)</td>
<td>N/A</td>
</tr>
<tr>
<td>37</td>
<td>Total lobbying expenditures to influence a legislative body (direct lobbying)</td>
<td>37</td>
</tr>
<tr>
<td>38</td>
<td>Total lobbying expenditures (add lines 36 and 37)</td>
<td>38</td>
</tr>
<tr>
<td>39</td>
<td>Other exempt purpose expenditures</td>
<td>39</td>
</tr>
<tr>
<td>40</td>
<td>Total exempt purpose expenditures (add lines 38 and 39)</td>
<td>40</td>
</tr>
<tr>
<td>41</td>
<td>Lobbying nontaxable amount. Enter the amount from the following table.</td>
<td>41</td>
</tr>
<tr>
<td></td>
<td>If the amount on line 40 is:</td>
<td></td>
</tr>
<tr>
<td>Not over $500,000</td>
<td>The lobbying nontaxable amount is:</td>
<td></td>
</tr>
<tr>
<td>Over $500,000 but not over $1,000,000</td>
<td>$100,000 plus 15% of the excess over $500,000</td>
<td></td>
</tr>
<tr>
<td>Over $1,000,000 but not over $1,500,000</td>
<td>$175,000 plus 10% of the excess over $1,000,000</td>
<td></td>
</tr>
<tr>
<td>Over $1,500,000 but not over $17,000,000</td>
<td>$225,000 plus 5% of the excess over $1,500,000</td>
<td></td>
</tr>
<tr>
<td>Over $17,000,000</td>
<td>$1,000,000</td>
<td></td>
</tr>
</tbody>
</table>

Caution: If there is an amount on either line 43 or line 44, you must file Form 4720.

## 4-Year Averaging Period Under Section 501(h)

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 45 through 50 on page 11 of the instructions.)

<table>
<thead>
<tr>
<th>Calendar year (or fiscal year beginning in)</th>
<th>(a)</th>
<th>(b)</th>
<th>(c)</th>
<th>(d)</th>
<th>(e)</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>45</td>
<td>Lobbying nontaxable amount</td>
<td>1,000,000.</td>
<td>779,897.</td>
<td>720,891.</td>
<td>632,965.</td>
<td>3,133,753.</td>
</tr>
<tr>
<td>46</td>
<td>Lobbying ceiling amount (150% of line 45)</td>
<td>4,700,630.</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>47</td>
<td>Total lobbying expenditures</td>
<td>437,551.</td>
<td>450,971.</td>
<td>538,590.</td>
<td>424,630.</td>
<td>1,851,742.</td>
</tr>
<tr>
<td>48</td>
<td>Grassroots nontaxable amount</td>
<td>250,000.</td>
<td>194,974.</td>
<td>180,223.</td>
<td>158,241.</td>
<td>783,438.</td>
</tr>
<tr>
<td>49</td>
<td>Grassroots ceiling amount (150% of line 48)</td>
<td>1,175,157.</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Part VI-B Lobbying Activity by Nonelecting Public Charities

(For reporting only by organizations that did not complete Part VI-A. See page 12 of the instructions.)

During the year, did the organization attempt to influence national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:

- [ ] Yes
- [ ] No

- a Volunteers
- b Paid staff or management (Include compensation in expenses reported on lines c through h)
- c Media advertisements
- d Mailings to members, legislators, or the public
- e Publications, or printed or broadcast statements
- f Grants to other organizations for lobbying purposes
- g Direct contact with legislators, their staffs, government officials, or a legislative body
- h Rally, demonstration, seminar, convention, speeches, lectures, or any other means
- i Total lobbying expenditures (Add lines j through h)

If "Yes" to any of the above, also attach a statement giving a detailed description of the lobbying activities.

0.
AMERICAN CIVIL LIBERTIES UNION
FOUNDATION, INC.

Schedule A (Form 990 or 990-EZ) 2001

Part VII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations (See page 12 of the instructions)

51 Did the reporting organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a) Transfers from the reporting organization to a noncharitable exempt organization or

(i) Cash

(ii) Other assets

b) Other transactions

(i) Sales or exchanges of assets with a noncharitable exempt organization

(ii) Purchases of assets from a noncharitable exempt organization

(iii) Rental of facilities, equipment, or other assets

(iv) Reimbursement arrangements

(v) Loans or loan guarantees

(vi) Performance of services or membership or fundraising solicitations

c) Sharing of facilities, equipment, mailing lists, other assets, or paid employees

d) If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting organization. If the organization received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

<table>
<thead>
<tr>
<th>Line no</th>
<th>Amount involved</th>
<th>Name of noncharitable exempt organization</th>
<th>Description of transfers, transactions, and sharing arrangements</th>
</tr>
</thead>
<tbody>
<tr>
<td>2III</td>
<td>205,000</td>
<td>ACLU, INC. AND NYCLU, INC.</td>
<td>RENTAL OF OFFICE SPACE</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>ACLU &amp; ACLUF SHARE CERTAIN</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>EQUIPMENT, FACILITIES AND PAID</td>
</tr>
<tr>
<td>C</td>
<td></td>
<td>ACLU, INC.</td>
<td>EMPLOYEES BENEFITS</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>AND EACH COMPANY PAYS ITS OWN</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>PORTION OF THESE EXPENSES</td>
</tr>
</tbody>
</table>

52 a) Is the organization directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

X Yes No

b) If "Yes," complete the following schedule

<table>
<thead>
<tr>
<th>(a) Name of organization</th>
<th>(b) Type of organization</th>
<th>(c) Description of relationship</th>
</tr>
</thead>
<tbody>
<tr>
<td>ACLU, INC.</td>
<td>501 (C) (4)</td>
<td>EMPLOYEE BENEFITS</td>
</tr>
<tr>
<td></td>
<td></td>
<td>SHARING OF CERTAIN EXPENSES</td>
</tr>
<tr>
<td></td>
<td></td>
<td>PREDOMINANTLY SALARIES AND</td>
</tr>
</tbody>
</table>
Schedule B  
(Former 990, 990-EZ, or 990-PF)
Department of the Treasury  
Internal Revenue Service

Schedule of Contributors  
Supplementary Information for  
line 1 of Form 990, 990-EZ and 990-PF (see instructions)

<table>
<thead>
<tr>
<th>Name of organization</th>
<th>Employer identification number</th>
</tr>
</thead>
<tbody>
<tr>
<td>AMERICAN CIVIL LIBERTIES UNION FOUNDATION, INC.</td>
<td>13-6213516</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Organization type (check one)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Filers of Form 990 or 990-EZ</td>
</tr>
<tr>
<td>□ 501(c)(3) exempt private foundation</td>
</tr>
<tr>
<td>□ 4947(a)(1) nonexempt charitable trust treated as a private foundation</td>
</tr>
<tr>
<td>□ 527 political organization</td>
</tr>
<tr>
<td>□ 501(c)(4) taxable private foundation</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Section</th>
</tr>
</thead>
<tbody>
<tr>
<td>□ 501(c)(3) (enter number) organization</td>
</tr>
</tbody>
</table>

Check if your organization is covered by the General rule or a Special rule.  
(Note: Only a section 501(c)(7), (8), or (10) organization can check box(es) for both the General rule and a Special rule—see instructions.)

General Rule-

□ For organizations filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor (Complete Parts I and II)

Special Rules-

□ For a section 501(c)(7), (8), or (10) organization filing Form 990, or Form 990-EZ, that received from any one contributor, during the year, aggregate contributions or bequests of more than $1,000 for use exclusively for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals (Complete Parts I, II, and III)

□ For a section 501(c)(7), (8), or (10) organization filing Form 990, or Form 990-EZ, that received from any one contributor, during the year, some contributions for use exclusively for religious, charitable, etc., purposes, but these contributions did not aggregate to more than $1,000.  
(If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose.  
Do not complete any of the Parts unless the General rule applies to this organization because it received nonexclusively religious, charitable, etc., contributions of $5,000 or more during the year)  

Caution: Organizations that are not covered by the General rule and/or the Special rules do not file Schedule B (Form 990, 990-EZ, or 990-PF), but they must check the box in the heading of their Form 990, Form 990-EZ, or on line 1 of their Form 990-PF, to certify that they do not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)
### Part I Contributors (See Specific Instructions)

<table>
<thead>
<tr>
<th>No.</th>
<th>Name, address and ZIP + 4</th>
<th>Aggregate contributions</th>
<th>Type of contribution</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td></td>
<td>$1,440,000</td>
<td>Person [ ] Payroll [ ] Noncash [ ]</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>(Complete Part II if there is a noncash contribution)</td>
</tr>
<tr>
<td>2</td>
<td>SUM OF ANONYMOUS CONTRIBUTIONS—MULTIPLE DONORS (DETAIL ON FILE)</td>
<td>$16,334,147</td>
<td>Person [ ] Payroll [ ] Noncash [ ]</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>(Complete Part II if there is a noncash contribution)</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>$1,234,567</td>
<td>Person [ ] Payroll [ ] Noncash [ ]</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>(Complete Part II if there is a noncash contribution)</td>
</tr>
<tr>
<td></td>
<td></td>
<td>$1,123,456</td>
<td>Person [ ] Payroll [ ] Noncash [ ]</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>(Complete Part II if there is a noncash contribution)</td>
</tr>
<tr>
<td></td>
<td></td>
<td>$1,000,000</td>
<td>Person [ ] Payroll [ ] Noncash [ ]</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>(Complete Part II if there is a noncash contribution)</td>
</tr>
</tbody>
</table>
## Form 990 Payments to Affiliates

### Affiliate's Name

**Affiliate's Address**

**Affiliated State Foundations - Various Throughout Us**

### Purpose of Payment

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>To Support Program Activities</td>
<td>1,541,367</td>
</tr>
</tbody>
</table>

### Total to Form 990, Part I, Line 16

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>1,541,367</td>
</tr>
</tbody>
</table>

## Form 990 Other Changes in Net Assets or Fund Balances

### Description

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Change in Value of Split Interest Agreements</td>
<td>&lt;272,438&gt;</td>
</tr>
<tr>
<td>Unrealized Gain/(Loss)</td>
<td>&lt;16,162,591&gt;</td>
</tr>
</tbody>
</table>

### Total to Form 990, Part I, Line 20

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>&lt;16,435,029&gt;</td>
</tr>
</tbody>
</table>

## Form 990 Other Expenses

### Description

<table>
<thead>
<tr>
<th>Description</th>
<th>(A)</th>
<th>(B) Program Services</th>
<th>(C) Management and General</th>
<th>(D) Fundraising</th>
</tr>
</thead>
<tbody>
<tr>
<td>Other Expenses</td>
<td>614,543</td>
<td>169,490.</td>
<td>376,567.</td>
<td>68,486.</td>
</tr>
<tr>
<td>Books</td>
<td>157,546</td>
<td>146,367.</td>
<td>1,430.</td>
<td>9,749.</td>
</tr>
<tr>
<td>Allocated Overhead Services</td>
<td>0.</td>
<td>2,287,192.</td>
<td>&lt;2,170,944.&gt;</td>
<td>&lt;116,248.&gt;</td>
</tr>
<tr>
<td>Professional Services</td>
<td>2,570,966</td>
<td>2,465,460.</td>
<td>82,183.</td>
<td>23,323.</td>
</tr>
<tr>
<td>Grants to Affiliates</td>
<td>126,000</td>
<td>126,000.</td>
<td>82,183.</td>
<td>23,323.</td>
</tr>
<tr>
<td>Other Case Expenses</td>
<td>226,616</td>
<td>226,616.</td>
<td>82,183.</td>
<td>23,323.</td>
</tr>
<tr>
<td>Insurance</td>
<td>24,229.</td>
<td>14,192.</td>
<td>10,037.</td>
<td>16,231.</td>
</tr>
<tr>
<td>Temporary Help</td>
<td>154,395</td>
<td>126,907.</td>
<td>11,257.</td>
<td>16,231.</td>
</tr>
<tr>
<td>Fees Adn Expenses</td>
<td>43,830.</td>
<td>444.</td>
<td></td>
<td>43,386.</td>
</tr>
</tbody>
</table>

### Total to Form 990, Ln 43

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>3,918,125.</td>
</tr>
<tr>
<td>Total</td>
<td>5,562,668.</td>
</tr>
<tr>
<td>&lt;1,689,470.&gt;</td>
<td>44,927.</td>
</tr>
</tbody>
</table>

12051003 785048 0114503.0 15 13-6213516 2001.06020 AMERICAN CIVIL LIBERTIES UN 01145031
## Non-Government Securities

<table>
<thead>
<tr>
<th>Security Description</th>
<th>Corporate Stocks</th>
<th>Corporate Bonds</th>
<th>Other Publicly Traded Securities</th>
<th>Other Securities</th>
<th>Total Non-Government Securities</th>
</tr>
</thead>
<tbody>
<tr>
<td>To 990, Ln 54 Col B</td>
<td>117104983</td>
<td>117104983</td>
<td>117104983</td>
<td></td>
<td>117104983</td>
</tr>
</tbody>
</table>

## Other Assets

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sundry Receivables/Prepaid Expense</td>
<td>2,096,837.</td>
</tr>
<tr>
<td>IDA Bond Cost - Net of $181,557 Amortization</td>
<td>423,640.</td>
</tr>
<tr>
<td><strong>Total to Form 990, Part IV, Line 58, Column B</strong></td>
<td><strong>2,520,477.</strong></td>
</tr>
</tbody>
</table>

## Other Liabilities

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Split Interest Liability</td>
<td>4,771,201.</td>
</tr>
<tr>
<td>Due To ACLU</td>
<td>517,744.</td>
</tr>
<tr>
<td>IDA Bond Payable</td>
<td>4,745,000.</td>
</tr>
<tr>
<td>Trust For The Bill Of Rights</td>
<td>7,839,084.</td>
</tr>
<tr>
<td><strong>Total to Form 990, Part IV, Line 65, Column B</strong></td>
<td><strong>17,873,029.</strong></td>
</tr>
</tbody>
</table>

## Other Revenue Not Included On Form 990

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Change In Value Of Split Interest Agreements</td>
<td>&lt;272,438.&gt;</td>
</tr>
<tr>
<td>Unrealized Gain/(Loss)</td>
<td>&lt;16,162,591.&gt;</td>
</tr>
<tr>
<td><strong>Total to Form 990, Part IV-A</strong></td>
<td><strong>&lt;16,435,029.&gt;</strong></td>
</tr>
<tr>
<td>Name and Address</td>
<td>Title and Avrg Hrs/Wk</td>
</tr>
<tr>
<td>------------------------------------------</td>
<td>------------------------</td>
</tr>
<tr>
<td>Anthony Romero</td>
<td>Executive Director</td>
</tr>
<tr>
<td>125 Broad Street 18th Floor</td>
<td></td>
</tr>
<tr>
<td>New York, NY 10004</td>
<td></td>
</tr>
<tr>
<td>Milton Estes</td>
<td>Director</td>
</tr>
<tr>
<td>23 Monte Cimas</td>
<td></td>
</tr>
<tr>
<td>Mill Valley, CA 94941</td>
<td></td>
</tr>
<tr>
<td>James E. Ferguson, II</td>
<td>Director</td>
</tr>
<tr>
<td>741 Kenilworth Avenue, Suite 300</td>
<td></td>
</tr>
<tr>
<td>Charlotte, N.C 28204</td>
<td></td>
</tr>
<tr>
<td>Diane Geraghty</td>
<td>Director</td>
</tr>
<tr>
<td>344 Palos</td>
<td></td>
</tr>
<tr>
<td>Glencoe, IL 60022</td>
<td></td>
</tr>
<tr>
<td>Susan N. Herman</td>
<td>Director</td>
</tr>
<tr>
<td>Brooklyn Law School, 250 Joralemon Street</td>
<td></td>
</tr>
<tr>
<td>Brooklyn, NY 11201</td>
<td></td>
</tr>
<tr>
<td>Marina Hsieh</td>
<td>Director</td>
</tr>
<tr>
<td>4602 Cheltenham Drive</td>
<td></td>
</tr>
<tr>
<td>Bethesda, MD 20814</td>
<td></td>
</tr>
<tr>
<td>Michael Meyers</td>
<td>Director</td>
</tr>
<tr>
<td>New York Civil Rights Coalition, 3 West</td>
<td></td>
</tr>
<tr>
<td>35th Street, Penthouse</td>
<td></td>
</tr>
<tr>
<td>New York, NY 10001</td>
<td></td>
</tr>
<tr>
<td>Robert B. Remar, Esq</td>
<td>Director</td>
</tr>
<tr>
<td>Rogers &amp; Hardin, 229 Peachtree Street, 2</td>
<td></td>
</tr>
<tr>
<td>700 International Tower</td>
<td></td>
</tr>
<tr>
<td>Atlanta, GA 30303</td>
<td></td>
</tr>
<tr>
<td>Nadine Strossen</td>
<td>President</td>
</tr>
<tr>
<td>New York Law School, 57 Worth Street</td>
<td></td>
</tr>
<tr>
<td>New York, NY 10013</td>
<td></td>
</tr>
<tr>
<td>Richard Zacks</td>
<td>Treasurer</td>
</tr>
<tr>
<td>49 Orchard Avenue</td>
<td></td>
</tr>
<tr>
<td>Providence, RI 02906-5417</td>
<td></td>
</tr>
</tbody>
</table>
AMERICAN CIVIL LIBERTIES UNION FOUNDATION

JULIE DAVIS
VORYS, SLATERT, SEYMOUR & PEASE, 52 EAST GAY ST
COLUMBUS, OHIO 43215
DIRECTOR
PART TIME
0. 0. 0.

ROSLYN LITMAN
LITMAN LAW FIRM, 1 OXFORD CENTRE, 34TH FL
PITTSBURGH, PA 15219
DIRECTOR
PART TIME
0. 0. 0.

ALMA MONTCLAIR
125 BROAD STREET 18TH FLOOR
NEW YORK, NY 10001
ASST SEC
PART TIME
0. 0. 0.

TOTALS INCLUDED ON FORM 990, PART V
0. 0. 0.

FORM 990 PART VIII - RELATIONSHIP OF ACTIVITIES TO ACCOMPLISHMENT OF EXEMPT PURPOSES

LINE EXPLANATION OF RELATIONSHIP OF ACTIVITIES

93A COURT AWARDED LEGAL FEES WHICH CAN ONLY BE EXPENDED TO FINANCE THE COST OF OTHER LITIGATION CASES - THE BASIS FOR THE LEGAL PROGRAM.

93B LITERATURE SALES - INCOME FROM EDUCATIONAL MATERIALS PERTAINING TO CIVIL LIBERTIES AND CONSTITUTIONAL RIGHTS AN INTEGRAL FUNCTION OF THE EDUCATION PROGRAM.
Form 8868 (December 2000)

Application for Extension of Time to File an Exempt Organization Return

File a separate application for each return

OMB No 1545-1709

• If you are filing for an Automatic 3-Month Extension, complete only Part I and check this box  ▶

• If you are filing for an Additional (not automatic) 3-Month Extension, complete only Part II (on page 2 of this form)

Note: Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Part I: Automatic 3-Month Extension of Time - Only submit original (no copies needed)

Note: Form 990-T corporations requesting an automatic 6-month extension - check this box and complete Part I only

All other corporations (including Form 990-C filers) must use Form 7004 to request an extension of time to file income tax returns. Partnerships, REMICs, and trusts must use Form 8736 to request an extension of time to file Form 1065, 1066, or 1041

Type or print

Name of Exempt Organization

AMERICAN CIVIL LIBERTIES UNION FOUNDATION, INC.

Employer identification number

13-6213516

File by the due date for filing your return. See instructions

Number, street, and room or suite no. If a P.O. box, see instructions

125 BROAD STREET

City, town, or post office, state, and ZIP code. For a foreign address, see instructions

NEW YORK, NY 10004

Check type of return to be filed (file a separate application for each return)

X Form 990

Form 990-BL

Form 990-EZ

Form 990 PF

Form 990-T (corporation)

Form 990-T (sec. 401(a) or 408(a) trust)

Form 990 T (trust other than above)

Form 1041-A

Form 4720

Form 5227

Form 6069

Form 8870

If this organization does not have an office or place of business in the United States, check this box ▶

If this is a Group Return, enter the organization's four digit Group Exemption Number (GEN) _______ If this is for the whole group, check this box ▶

If it is for part of the group, check this box ▶ and attach a list with the names and EINs of all members the extension will cover

1 I request an automatic 3 month (6 month, for 990-T corporation) extension of time until AUGUST 15, 2002 to file the exempt organization return for the organization named above. The extension is for the organization's return for:

X calendar year 2001 or

☐ tax year beginning __________________ and ending __________________

2 If this tax year is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990 BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions

☐ $ __________________

b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit

☐ $ __________________

c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTDP coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions

$ N/A

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶

Title ▶ CPA

Date ▶ 5/1/02

Form 8868 (12-2000)

LHA For Paperwork Reduction Act Notice, see Instruction

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Form 8868 (12-2000)  
Page 2

**Part I**

Additional (Not Automatic) 3-Month Extension of Time - Must file Original and One Copy.

**Type of Print**

- Name of Exempt Organization: AMERICAN CIVIL LIBERTIES UNION FOUNDATION, INC.
- Employer identification number: 13-6213516
- Number, street, and room or suite no (If a P O. box, see instructions): 125 BROAD STREET
- City, town or post office, state, and ZIP code (For a foreign address, see instructions): NEW YORK, NY 10004

Check type of return to be filed (File a separate application for each return):
- Form 990
- Form 990 EZ
- Form 990 T (sec 401(a) or 408(a) trust)
- Form 1041 A
- Form 5227
- Form 8870
- Form 990 BL
- Form 990-PF
- Form 4720
- Form 5069

**Note:** Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868

- If the organization does not have an office or place of business in the United States, check this box □
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) □

I request an additional 3 month extension of time until NOVEMBER 15, 2002.

For calendar year 2001, or other tax year beginning □ and ending □

If this tax year is for less than 12 months, check reason □ Initial return □ Final return □ Change in accounting period

State in detail why you need the extension:

THERE HAS NOT BEEN SUFFICIENT TIME TO GATHER THE INFORMATION NECESSARY TO PREPARE A COMPLETE AND ACCURATE RETURN

8a. If this application is for Form 990 BL, 990 PF, 990 T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions
8b. If this application is for Form 990 PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868
8c. Balance Due: Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions

$ N/A

**Signature and Verification**

I declare under penalties of perjury, that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature □

Title □ CPA

Date □ 8/9/02

**Notice to Applicant - To Be Completed by the IRS**

☐ We have approved this application. Please attach this form to the organization's return.

☐ We have not approved this application. However, we have granted a 10 day grace period from the later of the date shown below or the due date of the organization’s return (including any prior extensions). This grace period is considered to be a valid extension of time for elections otherwise required to be made on a timely return. Please attach this form to the organization’s return.

☐ We have not approved this application. After considering the reasons stated in item 7, we cannot grant your request for an extension of time to file. We are not granting the 10-day grace period.

☐ We cannot consider this application because it was filed after the due date of the return for which an extension was requested.

☐ Other

By □

**Alternate Mailing Address** - Enter the address if you want the copy of this application for an additional 3-month extension different than the one entered above.

- Name: JH COHN LLP
- Number and street (including suite, room, or apt. no.) or a P O. box number: 1212 6TH AVENUE
- City or town, province or state, and country (including postal or ZIP code): NY NY 10036

Form 8868 (12-2000)